

**CITY OF SANTA BARBARA
CITY COUNCIL**

Randy Rowse
Mayor

Meagan Harmon
Mayor Pro Tempore

Kristen W. Sneddon
Ordinance Committee Chair

Eric Friedman
Finance Committee Chair

Alejandra Gutierrez
Oscar Gutierrez
Mike Jordan



Rebecca Bjork
City Administrator

Sarah J. Knecht
Acting City Attorney

City Hall
735 Anacapa Street
<http://www.SantaBarbaraCA.gov>

**NOVEMBER 15, 2022, 2:00 PM
AGENDA**

IN-PERSON PUBLIC COMMENT: At the beginning of each meeting of the City Council, Finance Committee, or Ordinance Committee, any member of the public may address the City Council concerning any item not on the Council's agenda. Any person wishing to make such address should first complete and deliver a "Request to Speak" form prior to the time that public comment is taken up by the City Council. Each speaker will be given a total of 3 minutes to address the Council. Pooling of time is not allowed during general public comment. The time allotted for general public comment at the beginning of the 2:00 p.m. session is 30 minutes. Any member of the public who did not speak during the 2:00 p.m. session but who submitted a request to do so during the 2:00 p.m. 30 minute session may do so at the end of the meeting when the additional "Public Comment (If Necessary)" is announced. The City Council, upon majority vote, may decline to hear a speaker on the grounds that the subject matter is beyond their jurisdiction.

REMOTE PUBLIC COMMENT: Members of the public wishing to speak must "raise their hand" in the Zoom platform by selecting the virtual hand icon when their item is called. The keyboard shortcut for this is Alt+Y for Windows and Option+Y for Macs. City staff will activate the speaker's microphone when the speaker's name is called. The speaker will then need to unmute themselves. The keyboard shortcut for this is Alt+M for Windows or Command-Shift-A for Macs.

HOW TO REMOTELY OBSERVE AND/OR SPEAK LIVE AT A MEETING

- **Web:** https://santabarbaraca.gov.zoom.us/webinar/register/WN_BHXU9bk1SWqOntGHplRq0Q
(Participation via Zoom)
- **Telephone:** Dial 1-669-900-6833, Enter Webinar ID: 948 7481 3299
(press *9 to raise/lower hand; press *6 to mute/unmute)
- **Online Streaming:** Council meetings are streamed live at www.SantaBarbaraCA.gov/CAP
- **TV:** Each regular City Council meeting is broadcast live in English and Spanish on City TV Channel 18 and rebroadcast in English on Wednesdays and Thursdays at 7:00 p.m. and Saturdays at 8:00 a.m., and in Spanish on Sundays at 3:00 p.m. Each televised Council meeting is closed captioned for the hearing impaired. Check the City TV program guide at www.santabarbaraca.gov/citytv for rebroadcasts of Finance and Ordinance Committee meetings.

WRITTEN PUBLIC COMMENT: Public comments may also be submitted via email to Clerk@SantaBarbaraCA.gov prior to the beginning of the Council Meeting. All public comments submitted via email will be provided to City Council and will become part of the public record.

ORDER OF BUSINESS: Regular meetings of the Finance Committee and the Ordinance Committee begin at 12:30 p.m. The regular City Council meeting begins at 2:00 p.m. in the Council Chambers at City Hall.

REPORTS: Copies of the reports relating to agenda items are available for review at <http://www.SantaBarbaraCA.gov/CAP>. In accordance with state law requirements, this agenda generally contains only a brief general description of each item of business to

be transacted or discussed at the meeting. Should you wish more detailed information regarding any particular agenda item, you are encouraged to obtain a copy of the Council Agenda Report (a "CAR") online at the City's website (<http://www.SantaBarbaraCA.gov/CAP>). Materials related to an item on this agenda submitted to the City Council after distribution of the agenda packet are posted to the City's website as soon as reasonably feasible.

CONSENT CALENDAR: The Consent Calendar is comprised of items that will not usually require discussion by the City Council. A Consent Calendar item is open for discussion by the City Council upon request of a Councilmember, City staff, or member of the public. Items on the Consent Calendar may be approved by a single motion. Should you wish to comment on an item listed on the Consent Agenda, after turning in your "Request to Speak" form, you should come forward to speak or raise your hand in Zoom at the time the Council considers the Consent Calendar.

SPANISH INTERPRETATION: If you need interpretation of your communications to Council from Spanish into English, please contact the City Clerk's Office at 564-5309 or by email at Clerk@SantaBarbaraCA.gov. If possible, notification of at least 48 hours will usually enable the City to make arrangements.

INTERPRETACIÓN EN ESPAÑOL: Si necesita una interpretación del español al inglés, para sus comunicaciones al Consejo, comuníquese con la Oficina del Secretario Municipal al 564-5309, o por correo electrónico a Clerk@SantaBarbaraCA.gov. Si es posible, la notificación de al menos 48 horas generalmente permitirá a la Ciudad hacer los arreglos.

AMERICANS WITH DISABILITIES ACT: If you need auxiliary aids or services or staff assistance to attend or participate in this meeting, please contact the City Administrator's Office at 564-5305 or by email at Clerk@SantaBarbaraCA.gov. If possible, notification at least 48 hours prior to the meeting will usually enable the City to make reasonable arrangements. For those who need accessibility accommodation in using the "raise hand" function and/or registering to participate in the Zoom session, please contact the Clerk's office by 5:00 p.m. the day before the meeting for assistance. Additionally, a speaker may email Clerk@SantaBarbaraCA.gov by 5:00 p.m. the day before a meeting, stating which item they wish to speak on. Specialized services, such as sign language interpretation or documents in Braille, may require additional lead time to arrange.

NOVEMBER 15, 2022 AGENDA

FINANCE COMMITTEE MEETING - 12:30 P.M. IN THE DAVID GEBHARD PUBLIC MEETING ROOM, 630 GARDEN STREET (120.03)

1. Subject: Fiscal Year 2023 First-Quarter Review (120.03)

Recommendation: That Finance Committee:

- A. Receive a report from staff on the status of revenues and expenditures in relation to the budget for the three months ended September 30, 2022;
- B. Accept the Fiscal Year 2023 Interim Financial Statements for the three months ended September 30, 2022; and
- C. Recommend that Council approve the proposed First Quarter adjustments to Fiscal Year 2023 appropriations and estimated revenues as detailed in the attached schedule of Proposed First Quarter Adjustments.

[https://santabarbaraca-
gov.zoom.us/webinar/register/WN_6nGGpaMfS8OQzfYCDGWUtg](https://santabarbaraca.gov.zoom.us/webinar/register/WN_6nGGpaMfS8OQzfYCDGWUtg)

REGULAR CITY COUNCIL MEETING – 2:00 P.M.

CALL TO ORDER

PLEDGE OF ALLEGIANCE

ROLL CALL

CEREMONIAL ITEMS

- 1. Subject: Family Stories Month Honoring the 50th Anniversary of the SBC Genealogical Society - November 2022 (120.04)**

CHANGES TO THE AGENDA

PUBLIC COMMENT

CONSENT CALENDAR

- 2. Subject: Minutes**

Recommendation: That Council waive reading and approve the minutes of the regular meeting of October 25, 2022.

- 3. Subject: Restructure of South Coast Housing Inc. Loan (Holiday Mobile Home Park) 1212 Punta Gorda Street [Ordinance Adoption] (660.04)**

Recommendation: That City Council adopt, by reading of title only, an Ordinance of the Council of the City of Santa Barbara Approving a Restated Loan Agreement with South Coast Housing, Inc. a California Nonprofit Public Benefit Corporation, Secured by a Restated Deed of Trust, and New Ninety-Year Affordability Control Covenant Imposed on Real Property Located at 1212 Punta Gorda; and Authorizing the Community Development Director to Execute Such Agreements, Subject to Approval as to Form by the City Attorney, as Necessary.

4. Subject: 2022 California Building and Fire Codes Adoption [Ordinance Adoption] (640.04)

Recommendation: That Council:

- A. Adopt, by reading of title only, an Ordinance of the Council of the City of Santa Barbara Amending Chapter 22.04 of the Municipal Code and Adopting by Reference the 2022 Edition of the California Building Code, Volumes 1 and 2; the 2022 Edition of the California Residential Code; the 2022 Edition of the California Electrical Code; the 2022 Edition of the California Mechanical Code; the 2022 Edition of the California Plumbing Code; the 2022 Edition of the California Energy Code; the 2022 Edition of the California Historical Building Code; the 2022 Edition of the California Existing Buildings Code; the 2022 Edition of the California Green Building Standards Code; the 2022 Edition of the California Referenced Standards Code; and the 2021 International Property Maintenance Code; Adopting Local Revisions to Those Codes; and Repealing Ordinance Number 5919; and
- B. Adopt, by reading of title only, an Ordinance of the Council of the City of Santa Barbara Amending Chapter 8.04 of the Municipal Code and Adopting by Reference the 2021 Edition of the International Fire Code, Including Appendix Chapter 4 and Appendices B, BB, C, CC, and H of That Code, and the 2022 California Fire Code with Local Amendments to Both Codes.

5. Subject: Designation of the Sustainability and Resilience Department as the Entity Responsible for Enforcement of Municipal Code Chapters 9.150 and 9.165 [Ordinance Introduction] (630.02)

Recommendation: That Council introduce and subsequently adopt, by reading of title only, an Ordinance of the Council of the City of Santa Barbara Amending Sections 9.150.070 and 9.165.050 of the Santa Barbara Municipal Code (SBMC) to Designate the Sustainability and Resilience Department as the Entity Responsible for Enforcement and Make Corresponding Changes Consistent with State Law.

6. Subject: Fiscal Year 2023 First-Quarter Review (250.02)

Recommendation: That Council:

- A. Receive a report from staff on the status of revenues and expenditures in relation to the budget for the three months ended September 30, 2022;
- B. Accept the Fiscal Year 2023 Interim Financial Statements for the three months ended September 30, 2022; and
- C. Approve the proposed first quarter adjustments to Fiscal Year 2023 appropriations and estimated revenues as detailed in the attached schedule of Proposed First Quarter Adjustments.

7. Subject: Construction Management Services for Fiscal Year 2021B Water Main Replacement Project [Agreement] (540.06)

Recommendation: That Council authorize the Public Works Director to execute a professional services contract with Flowers & Associates, Inc. in the amount of \$344,577 for construction management and inspection services for the Fiscal Year 2021B Water Main Replacement Project, and approve expenditures of up to \$34,458 for potential extra services that may result from necessary changes in the scope of work.

8. Subject: On-Call Water Main Design Services for Water Main Replacement Program [Agreements] (540.06)

Recommendation: That Council:

- A. Authorize the Public Works Director to execute a City Professional Services Agreement with Stantec Consulting Services Inc. in an amount not to exceed \$3,000,000 for on-call water main design services for a term of up to five years; and
- B. Authorize the Public Works Director to execute a City Professional Services Agreement with Cannon Corporation in an amount not to exceed \$3,000,000 for on-call water main design services for a term of up to five years.

9. Subject: Cancellation of Certain Council Meetings in 2023 (120.09)

Recommendation: That Council cancel the regular Council Meetings on the following dates: January 3, January 17, February 21, March 28, April 4, May 30, June 20, July 4, July 11, August 8, August 15, September 5, November 14, November 21, November 28, December 19, and December 26, 2023.

This concludes the Consent Calendar.

REPORT FROM THE FINANCE COMMITTEE

CITY COUNCIL ADMINISTRATIVE AND ATTORNEY REPORTS

PUBLIC WORKS DEPARTMENT

10. Subject: Update on Santa Barbara County Association of Governments Broadband Strategic Plan (150.03)

Recommendation: That Council receive and comment on a presentation by the Santa Barbara County Association of Governments and the Broadband Consortium of the Pacific Coast on the published Strategic Plan.

SUSTAINABILITY AND RESILIENCE

11. Subject: Consideration of Changes to Solid Waste Programs and Services for Inclusion in a New Solid Waste Franchise Agreement with MarBorg Industries (630.02)

Recommendation: That Council direct staff to include proposed changes to solid waste programs and services in negotiations for a new Solid Waste Franchise Agreement with MarBorg Industries, including implementation of industry standard residential curbside cart collection.

CEREMONIAL ITEM (ESTIMATED TIME 6 P.M.)

12. Subject: United Boys and Girls Club Gold Card Recipients - 6 p.m. Estimated Time (120.04)

MAYOR AND COUNCIL REPORTS

13. Subject: Interviews for City Advisory Groups (140.05)

Recommendation: That Council hold interviews of applicants to various City Advisory Groups (Est. time 6:00 p.m.).

COUNCIL AND STAFF COMMUNICATIONS

COUNCILMEMBER COMMITTEE ASSIGNMENT REPORTS

PUBLIC COMMENT (IF NECESSARY)

ADJOURNMENT

CITY OF SANTA BARBARA
FINANCE COMMITTEE MEETING
MEETING AGENDA

DATE: November 15, 2022

TIME: 12:30 PM

PLACE: David Gebhard Public Meeting Room
630 Garden Street

Eric Friedman, Chair

Alejandra Gutierrez

Meagan Harmon

Rebecca Bjork
City Administrator

Keith DeMartini
Finance Director

Members of the public may participate in person or via Zoom. Zoom instructions are below.

- **Web:** (Participation via Zoom)
Register in advance for this webinar:
https://santabarbaraca.gov.zoom.us/webinar/register/WN_6nGGpaMfS8OQzfYCDGWUtg

Webinar ID: 824 5489 3002

Passcode: 124935

After registering, you will receive a confirmation email containing information about joining the webinar.

- **Telephone:** Dial 1-669-900-6833, Enter Webinar ID: 824 5489 3002
(press *9 to raise/lower hand; press *6 to mute/unmute)

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ITEM FOR CONSIDERATION:

Subject: Fiscal Year 2023 First-Quarter Review

Recommendation: That Finance Committee:

- A. Receive a report from staff on the status of revenues and expenditures in relation to the budget for the three months ended September 30, 2022;
- B. Accept the Fiscal Year 2023 Interim Financial Statements for the three months ended September 30, 2022; and
- C. Recommend that Council approve the proposed First Quarter adjustments to Fiscal Year 2023 appropriations and estimated revenues as detailed in the attached schedule of Proposed First Quarter Adjustments.



CITY OF SANTA BARBARA

FINANCE COMMITTEE AGENDA REPORT

AGENDA DATE: November 15, 2022

TO: Finance Committee

FROM: Accounting Division, Finance Department

SUBJECT: Fiscal Year 2023 First-Quarter Review

RECOMMENDATION: That Finance Committee:

- A. Receive a report from staff on the status of revenues and expenditures in relation to the budget for the three months ended September 30, 2022;
- B. Accept the Fiscal Year 2023 Interim Financial Statements for the three months ended September 30, 2022; and
- C. Recommend that Council approve the proposed First Quarter adjustments to Fiscal Year 2023 appropriations and estimated revenues as detailed in the attached schedule of Proposed First Quarter Adjustments.

DISCUSSION:

Each month, staff presents the interim financial statements (Attachment 1) showing the status of revenues and expenditures in relation to budget for each of the City's Funds. Each quarter, the interim financial statements are expanded to include a detailed narrative analysis of the General Fund and Enterprise Funds. This narrative analysis is included in Attachment 2.

In addition to the First Quarter budget analysis, staff brings forward recommended adjustments for City Council approval. These adjustments are the result of new information and/or unanticipated events that occurred since the adoption of the budget in June 2022. A listing and description of each proposed adjustment to the current year budget is provided in Attachment 3.

Due to the economic uncertainties articulated in the FY2023 adopted budget and the need to implement \$1 Million of budget reduction plans spread amongst all General Funded City departments, staff implemented a first quarter review process to review revenue and expenditure line items to assess any material changes that may occur during the fiscal year. This information was reviewed and discussed with department and Finance staff and consolidated into this first quarter report for the City Council's review.

For General Funded departments, staff have reviewed their operating budget reduction targets and have identified specific plans in order to meet these targets. This approach to identify and confirm specific budget reduction line items will best ensure required cost savings in order to achieve a balance budget by the end of the fiscal year.

The table below summarizes the General Fund revenue and expenditure budget including actuals through First Quarter. The total adopted revenue budget is \$184.6 Million and the total adopted expenditure budget is \$185.7 Million, resulting an operating deficit of \$1.1 Million. The revised budget includes additional expenditures from encumbrances from prior year contractual commitments.

General Fund	FY2023 Adopted Budget	FY2023 Revised Budget	Q1 Actuals	Q1 Actuals Percent of Revised Budget
Revenues	\$184,618,453	\$184,523,307	\$39,878,529	21.6%
Expenditures	185,678,749	191,907,700	42,159,349	22.0%
Surplus/(Deficit)	(\$1,060,296)	(\$7,384,393)	(\$2,280,820)	

Staff are anticipating continued economic recovery from the impacts of COVID that will likely result in additional sales tax and transient occupancy tax revenues compared to last fiscal year and the FY2023 adopted budget. Staff are projecting that property tax revenue will be \$1.2 Million more than the adopted budget. General Fund departments are projected to implement the \$1 Million of expenditure reduction targets included in the adopted budget. Additional expenditure savings are likely to be realized due to continuing high staff vacancy rates.

At this time, staff are not recommending any additional budget actions in order to balance the budget by fiscal year end. Furthermore, staff will continue to closely monitor revenues and expenditures each month, and provide a comprehensive financial projection during the mid-year report in preparation for the upcoming FY2024 budget cycle. Also, staff will continue to monitor fund balance and reserve implications due to any additional economic changes and present potential impacts in the mid-year report.

ATTACHMENTS:

1. Summary by Fund Statement of Revenues and Expenditures for the Three Months Ended September 30, 2022
2. Interim Financial Statements for the Three Months Ended September 30, 2022 (Narrative Analysis)
3. Schedule of Proposed First Quarter Adjustments

PREPARED BY: Doug Smith, Accounting Manager

SUBMITTED BY: Keith DeMartini, Finance Director

APPROVED BY: City Administrator's Office

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
Summary by Fund
For the Three Months Ended September 30, 2022 (25% of Fiscal Year)

	Revised Budget	YTD Actual	Encum- brances	Remaining Balance	Percent of Budget	Previous YTD
GENERAL FUND (pages 3-7)						
Revenue	184,523,308	39,878,531	3,005	144,647,782	21.6%	38,122,175
Expenditures	<u>191,907,700</u>	<u>42,159,349</u>	<u>4,730,329</u>	145,018,022	24.4%	<u>43,117,047</u>
Addition to / (use of) reserves	<u>(7,384,392)</u>	<u>(2,280,818)</u>	<u>(4,733,334)</u>			<u>(4,994,872)</u>
SOLID WASTE FUND (page 9)						
Revenue	34,140,749	8,431,160	-	25,709,589	24.7%	8,743,968
Expenditures	<u>34,402,810</u>	<u>6,219,736</u>	<u>493,949</u>	27,689,125	19.5%	<u>6,623,200</u>
Addition to / (use of) reserves	<u>(262,061)</u>	<u>2,211,424</u>	<u>(493,949)</u>			<u>2,120,769</u>
WATER OPERATING FUND (page 10)						
Revenue	70,492,814	18,491,656	-	52,001,158	26.2%	17,972,162
Expenditures	<u>70,960,432</u>	<u>13,758,305</u>	<u>7,499,669</u>	49,702,458	30.0%	<u>18,816,906</u>
Addition to / (use of) reserves	<u>(467,618)</u>	<u>4,733,351</u>	<u>(7,499,669)</u>			<u>(844,744)</u>
WASTEWATER OPERATING FUND (page 11)						
Revenue	27,441,554	6,780,995	-	20,660,559	24.7%	6,482,721
Expenditures	<u>28,321,916</u>	<u>5,218,368</u>	<u>2,134,277</u>	20,969,271	26.0%	<u>5,224,887</u>
Addition to / (use of) reserves	<u>(880,362)</u>	<u>1,562,627</u>	<u>(2,134,277)</u>			<u>1,257,833</u>
DOWNTOWN PARKING FUND (page 12)						
Revenue	10,266,997	2,408,266	-	7,858,731	23.5%	1,596,291
Expenditures	<u>10,750,478</u>	<u>2,186,189</u>	<u>1,509,400</u>	7,054,888	34.4%	<u>1,895,066</u>
Addition to / (use of) reserves	<u>(483,481)</u>	<u>222,076</u>	<u>(1,509,400)</u>			<u>(298,775)</u>
AIRPORT OPERATING FUND (page 13)						
Revenue	27,185,151	6,332,773	-	20,852,378	23.3%	6,179,360
Expenditures	<u>30,040,741</u>	<u>5,555,445</u>	<u>3,572,728</u>	20,912,569	30.4%	<u>6,070,352</u>
Addition to / (use of) reserves	<u>(2,855,590)</u>	<u>777,329</u>	<u>(3,572,728)</u>			<u>109,008</u>
GOLF COURSE FUND (page 14)						
Revenue	3,837,489	1,247,974	-	2,589,515	32.5%	1,094,894
Expenditures	<u>3,294,658</u>	<u>697,744</u>	<u>2,170,709</u>	426,205	87.1%	<u>603,387</u>
Addition to / (use of) reserves	<u>542,831</u>	<u>550,230</u>	<u>(2,170,709)</u>			<u>491,507</u>
FACILITIES MANAGEMENT FUND (page 15)						
Revenue	6,974,899	1,703,976	-	5,270,923	24.4%	1,458,556
Expenditures	<u>7,277,427</u>	<u>1,527,523</u>	<u>707,817</u>	5,042,088	30.7%	<u>1,474,968</u>
Addition to / (use of) reserves	<u>(302,528)</u>	<u>176,454</u>	<u>(707,817)</u>			<u>(16,412)</u>

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
Summary by Fund
For the Three Months Ended September 30, 2022 (25% of Fiscal Year)

	Revised Budget	YTD Actual	Encum- brances	Remaining Balance	Percent of Budget	Previous YTD
FLEET REPLACEMENT FUND (page 16)						
Revenue	3,761,445	836,664	-	2,924,781	22.2%	813,589
Expenditures	5,340,104	78,355	864,106	4,397,643	17.6%	706,232
Addition to / (use of) reserves	(1,578,659)	758,309	(864,106)			107,357
FLEET MAINTENANCE FUND (page 17)						
Revenue	3,226,802	831,317	-	2,395,485	25.8%	745,944
Expenditures	3,282,091	709,455	340,517	2,232,120	32.0%	708,287
Addition to / (use of) reserves	(55,289)	121,862	(340,517)			37,656
SELF INSURANCE TRUST FUND (page 18)						
Revenue	13,067,765	3,255,512	-	9,812,253	24.9%	2,232,639
Expenditures	14,203,713	2,654,396	360,525	11,188,791	21.2%	2,392,971
Addition to / (use of) reserves	(1,135,948)	601,116	(360,525)			(160,332)
INFORMATION TECHNOLOGY FUND (page 19)						
Revenue	5,261,982	1,259,501	-	4,002,481	23.9%	1,121,496
Expenditures	5,158,122	1,430,710	129,525	3,597,887	30.2%	1,489,235
Addition to / (use of) reserves	103,860	(171,209)	(129,525)			(367,739)
WATERFRONT OPERATING FUND (page 20)						
Revenue	17,036,131	5,340,214	-	11,695,917	31.3%	5,017,279
Expenditures	19,027,445	4,490,943	1,081,898	13,454,604	29.3%	3,927,784
Addition to / (use of) reserves	(1,991,314)	849,271	(1,081,898)			1,089,495
SANTA BARBARA CLEAN ENERGY FUND (page 21)						
Revenue	34,000,000	5,647,233	-	28,352,767	16.6%	200,140
Expenditures	33,400,235	3,548,853	1,548,661	28,302,722	15.3%	63,851
Addition to / (use of) reserves	599,765	2,098,380	(1,548,661)			136,290
ENERGY AND CLIMATE MANAGEMENT FUND (page 22)						
Revenue	2,552,568	638,142	-	1,914,426	25.0%	761,868
Expenditures	2,922,691	412,782	256,099	2,253,810	22.9%	295,563
Addition to / (use of) reserves	(370,123)	225,360	(256,099)			466,305
TOTAL FOR ALL FUNDS						
Revenue	443,769,654	103,083,914	3,005	340,688,744	23.2%	92,543,081
Expenditures	460,290,564	90,648,153	27,400,208	342,242,203	25.6%	93,409,735
Addition to / (use of) reserves	(16,520,910)	12,435,761	(27,403,213)			(866,654)

*** It is City policy to adopt a balanced budget. In most cases, encumbrance balances exist at year-end. These encumbrance balances are obligations of each fund and must be reported at the beginning of each fiscal year. In addition, a corresponding appropriations entry must be made in order to accommodate the 'carried-over' encumbrance amount. Most differences between budgeted annual revenues and expenses are due to these encumbrance carryovers.*

CITY OF SANTA BARBARA
General Fund
Interim Statement of Budgeted and Actual Revenues
For the Three Months Ended September 30, 2022 (25% of Fiscal Year)

	Revised Budget	YTD Actual	Remaining Balance	Percent Received	Previous YTD	Change Over Prior Year
TAXES						
Sales and Use	26,704,042	7,408,720	19,295,322	27.7%	7,458,934	-0.7%
Measure C Sales Tax	28,323,342	8,104,704	20,218,638	28.6%	7,647,258	6.0%
Property Taxes	43,250,489	-	43,250,489	0.0%	-	0.0%
Utility Users Tax	7,779,112	2,138,098	5,641,014	27.5%	1,926,902	11.0%
Transient Occupancy Tax	23,583,553	9,047,587	14,535,966	38.4%	8,311,110	8.9%
Business License	2,714,006	506,812	2,207,194	18.7%	523,132	-3.1%
Cannabis Excise Tax	1,879,977	368,558	1,511,419	19.6%	484,519	-23.9%
Real Property Transfer Tax	1,328,218	294,470	1,033,748	22.2%	321,276	-8.3%
<i>Total Tax Revenues</i>	<u>135,562,739</u>	<u>27,868,950</u>	<u>107,693,790</u>	<u>20.6%</u>	<u>26,673,131</u>	<u>4.5%</u>
LICENSES & PERMITS						
Licenses & Permits	159,858	39,539	120,319	24.7%	53,137	-25.6%
<i>Total</i>	<u>159,858</u>	<u>39,539</u>	<u>120,319</u>	<u>24.7%</u>	<u>53,137</u>	<u>-25.6%</u>
FINES & FORFEITURES						
Police	2,358,618	276,092	2,082,526	11.7%	837,863	-67.0%
Other Fines & Forfeitures	660,302	297,607	362,695	45.1%	5,729	5095.1%
<i>Total</i>	<u>3,018,920</u>	<u>573,699</u>	<u>2,445,221</u>	<u>19.0%</u>	<u>843,591</u>	<u>-32.0%</u>
USE OF MONEY & PROPERTY						
Investment Income	529,185	246,429	282,756	46.6%	87,090	183.0%
Rents & Concessions	1,392,631	376,270	1,016,361	27.0%	192,512	95.5%
<i>Total</i>	<u>1,921,816</u>	<u>622,700</u>	<u>1,299,117</u>	<u>32.4%</u>	<u>279,602</u>	<u>122.7%</u>
INTERGOVERNMENTAL						
Library	905,598	16,454	889,143	1.8%	42,649	-61.4%
Fire	1,000,000	19,123	980,877	1.9%	5,930	222.5%
Other Intergovernmental	709,932	50,690	659,242	7.1%	129,275	-60.8%
<i>Total</i>	<u>2,615,530</u>	<u>86,267</u>	<u>2,529,262</u>	<u>3.3%</u>	<u>177,854</u>	<u>-51.5%</u>
FEES & SERVICE CHARGES						
Community Development	6,313,279	1,866,861	4,446,418	29.6%	1,713,878	8.9%
Parks & Recreation	4,511,330	1,524,955	2,986,375	33.8%	1,417,558	7.6%
Other Service Charges	4,042,475	1,198,461	2,844,014	29.6%	763,112	57.0%
<i>Total</i>	<u>14,867,084</u>	<u>4,590,277</u>	<u>10,276,807</u>	<u>30.9%</u>	<u>3,894,548</u>	<u>17.9%</u>
OTHER REVENUES						
Interfund Charges & Reimbursement	11,922,594	2,594,714	9,327,880	21.8%	2,522,668	2.9%
Overhead Indirect Allocations	9,654,155	2,402,595	7,251,560	24.9%	2,246,765	6.9%
Interfund Transfers	562,510	124,034	438,476	22.1%	412,935	-70.0%
Interfund Loans	(24,140)	6,035	(30,175)	-25.0%	6,035	0.0%
Miscellaneous	116,442	21,742	94,701	18.7%	54,957	-60.4%
Donations	138,709	724	137,986	0.5%	1,490	-51.4%
Franchise Fees	4,007,090	947,256	3,059,834	23.6%	955,462	-0.9%
<i>Total</i>	<u>26,377,360</u>	<u>6,097,099</u>	<u>20,280,261</u>	<u>23.1%</u>	<u>6,200,311</u>	<u>-1.7%</u>
<i>Subtotal Non-Tax Revenues</i>	<u>48,960,569</u>	<u>12,009,581</u>	<u>36,950,987</u>	<u>24.5%</u>	<u>11,449,044</u>	<u>4.9%</u>
TOTAL REVENUES	<u>184,523,308</u>	<u>39,878,531</u>	<u>144,644,777</u>	<u>21.6%</u>	<u>38,122,175</u>	<u>4.6%</u>

CITY OF SANTA BARBARA
General Fund
Interim Statement of Appropriations, Expenditures and Encumbrances
For the Three Months Ended September 30, 2022 (25% of Fiscal Year)

	Revised Budget	YTD Actual	Encum- brances	Remaining Balance	YTD Expended and Encumbered	Previous YTD
GENERAL GOVERNMENT						
<u>Mayor & City Council</u>						
MAYOR & CITY COUNCIL	1,036,953	234,980	2,936	799,036	22.9%	228,243
ARTS AND COMMUNITY PROMOTIONS	2,335,503	423,679	1,207,432	704,392	69.8%	597,438
<i>Total</i>	<u>3,372,456</u>	<u>658,659</u>	<u>1,210,368</u>	<u>1,503,429</u>	<u>55.4%</u>	<u>825,680</u>
<u>City Attorney</u>						
CITY ATTORNEY-ADMINISTRATION	1,040,676	236,995	237,311	566,370	45.6%	244,417
CITY ATTORNEY-ADVISORY	1,520,447	348,942	-	1,171,504	22.9%	364,199
CITY ATTORNEY-CIVIL LITIGATION	1,429,249	288,011	-	1,141,238	20.2%	247,793
CITY ATTORNEY-CODE ENFORCEMENT	506,545	95,502	-	411,043	18.9%	123,249
<i>Total</i>	<u>4,496,917</u>	<u>969,451</u>	<u>237,311</u>	<u>3,290,155</u>	<u>26.8%</u>	<u>979,657</u>
<u>Administration</u>						
CITY ADMINISTRATOR	2,481,641	466,272	82,216	1,933,153	22.1%	510,702
CITY TV	729,923	166,690	158,353	404,880	44.5%	135,577
CITY CLERK	721,579	147,020	37,000	537,559	25.5%	152,795
<i>Total</i>	<u>3,933,142</u>	<u>779,981</u>	<u>277,569</u>	<u>2,875,592</u>	<u>26.9%</u>	<u>799,073</u>
<u>Human Resources</u>						
HUMAN RESOURCES	2,579,904	458,690	40,600	2,080,614	19.4%	410,964
EMPLOYEE RELATIONS	425,310	61,685	93,112	270,514	36.4%	54,171
EMPLOYEE DEVELOPMENT	42,699	4,461	-	38,238	10.4%	-
<i>Total</i>	<u>3,047,913</u>	<u>524,836</u>	<u>133,712</u>	<u>2,389,365</u>	<u>21.6%</u>	<u>465,135</u>
<u>Finance</u>						
ADMINISTRATION	334,970	69,719	24,339	240,912	28.1%	87,283
REVENUE & CASH MANAGEMENT	773,693	145,998	3,365	624,330	19.3%	134,551
CASHIERING & COLLECTION	636,968	131,803	-	505,166	20.7%	146,716
LICENSES & PERMITS	766,641	132,718	2,942	630,981	17.7%	114,344
BUDGET MANAGEMENT	816,288	128,032	56,483	631,773	22.6%	135,342
ACCOUNTING	1,141,852	242,881	84,216	814,756	28.6%	235,285
PAYROLL	479,984	111,487	-	368,497	23.2%	115,544
ACCOUNTS PAYABLE	278,278	66,395	-	211,883	23.9%	66,222
CITY BILLING & CUSTOMER SERVICE	833,618	139,234	3,355	691,029	17.1%	133,627
PURCHASING	840,556	197,335	96	643,126	23.5%	227,262
CENTRAL WAREHOUSE	244,738	61,965	-	182,773	25.3%	59,727
MAIL SERVICES	163,466	35,696	5,457	122,313	25.2%	34,971
<i>Total</i>	<u>7,311,052</u>	<u>1,463,262</u>	<u>180,253</u>	<u>5,667,538</u>	<u>22.5%</u>	<u>1,490,874</u>
TOTAL GENERAL GOVERNMENT	<u>22,161,481</u>	<u>4,396,188</u>	<u>2,039,214</u>	<u>15,726,079</u>	<u>29.0%</u>	<u>4,560,421</u>

CITY OF SANTA BARBARA
General Fund
Interim Statement of Appropriations, Expenditures and Encumbrances
For the Three Months Ended September 30, 2022 (25% of Fiscal Year)

	Revised Budget	YTD Actual	Encum- brances	Remaining Balance	YTD Expended and Encumbered	Previous YTD
PUBLIC SAFETY						
<u>Police</u>						
CHIEF'S STAFF	717,769	295,231	4,821	417,717	41.8%	440,878
BUSINESS OFFICE	675,625	133,154	2,849	539,621	20.1%	136,275
RECORDS BUREAU	2,142,109	376,461	2,883	1,762,765	17.7%	436,814
STRATEGIC OPERATIONS AND PERSONNEL	1,902,857	432,445	265,756	1,204,656	36.7%	498,115
PROPERTY ROOM	259,996	62,511	-	197,485	24.0%	51,624
TRAINING, RECRUITMENT AND WELLNESS	2,118,941	405,950	64,918	1,648,072	22.2%	392,499
RANGE AND EQUIPMENT	1,550,872	368,465	14,601	1,167,806	24.7%	356,290
COMMUNITY & MEDIA RELATIONS	488,574	37,038	-	451,536	7.6%	92,141
INFORMATION TECHNOLOGY/CRIME ANALYSIS	1,736,115	529,677	75,852	1,130,587	34.9%	580,039
CRIMINAL INVESTIGATIONS & INTERNAL OP'S	6,549,715	1,328,790	6,442	5,214,482	20.4%	1,426,545
CRIME LAB	170,298	36,213	-	134,085	21.3%	38,841
FIELD OPERATIONS DIVISION	23,293,045	4,794,096	27,639	18,471,310	20.7%	4,686,860
TRAFFIC	772,855	120,286	-	652,569	15.6%	269,445
SPECIAL EVENTS	627,643	297,076	14,998	315,569	49.7%	332,135
STREET CRIMES UNIT	2,731,971	358,839	-	2,373,131	13.1%	313,059
SPECIAL ENFORCEMENT TEAM	884,466	57,100	-	827,366	6.5%	241,194
PARKING AND STREET SWEEPING	397,555	104,358	-	293,197	26.2%	96,358
PARKING ENFORCEMENT	1,482,760	190,105	100,406	1,192,248	19.6%	226,427
COMBINED COMMUNICATIONS CENTER	3,129,417	791,533	-	2,337,883	25.3%	837,611
ANIMAL CONTROL	954,668	101,997	4,142	848,528	11.1%	119,808
<i>Total</i>	<u>52,587,249</u>	<u>10,821,326</u>	<u>585,307</u>	<u>41,180,616</u>	<u>21.7%</u>	<u>11,572,956</u>
<u>Fire</u>						
ADMINISTRATION	1,322,186	287,382	851	1,033,952	21.8%	281,745
EMERGENCY SERVICES AND PUBLIC ED	578,117	114,685	-	463,432	19.8%	120,991
PREVENTION	1,598,680	304,012	525	1,294,143	19.0%	338,042
WILDLAND FIRE MITIGATION PROGRAM	738,902	86,422	17,643	634,837	14.1%	55,849
OPERATIONS	26,377,093	6,239,873	99,569	20,037,650	24.0%	7,307,555
TRAINING AND RECRUITMENT	1,187,072	169,810	164	1,017,098	14.3%	135,384
ARFF	3,105,504	769,241	-	2,336,263	24.8%	775,386
<i>Total</i>	<u>34,907,554</u>	<u>7,971,426</u>	<u>118,753</u>	<u>26,817,376</u>	<u>23.2%</u>	<u>9,014,953</u>
TOTAL PUBLIC SAFETY	<u>87,494,803</u>	<u>18,792,752</u>	<u>704,059</u>	<u>67,997,992</u>	<u>22.3%</u>	<u>20,587,910</u>
PUBLIC WORKS						
<u>Public Works</u>						
ADMINISTRATION	1,464,933	311,608	27,522	1,125,804	23.1%	317,569
ENGINEERING SVCS	7,094,124	1,312,839	51,782	5,729,503	19.2%	1,442,028
PUBLIC RT OF WAY MGMT	2,092,273	369,724	52,069	1,670,480	20.2%	402,010
ENVIRONMENTAL PROGRAMS	806,576	88,255	211,131	507,190	37.1%	99,480
<i>Total</i>	<u>11,457,906</u>	<u>2,082,427</u>	<u>342,503</u>	<u>9,032,976</u>	<u>21.2%</u>	<u>2,261,088</u>
TOTAL PUBLIC WORKS	<u>11,457,906</u>	<u>2,082,427</u>	<u>342,503</u>	<u>9,032,976</u>	<u>21.2%</u>	<u>2,261,088</u>

CITY OF SANTA BARBARA
General Fund
Interim Statement of Appropriations, Expenditures and Encumbrances
For the Three Months Ended September 30, 2022 (25% of Fiscal Year)

	Revised Budget	YTD Actual	Encum- brances	Remaining Balance	YTD Expended and Encumbered	Previous YTD
COMMUNITY SERVICES						
<u>Parks & Recreation</u>						
REC PROGRAM MGMT	1,047,254	219,465	3,854	823,934	21.3%	146,711
FACILITIES & SPECIAL EVENTS	1,574,152	347,893	138,527	1,087,732	30.9%	275,041
YOUTH ACTIVITIES	1,631,330	481,396	13,759	1,136,175	30.4%	403,515
ACTIVE ADULTS	1,109,737	262,193	8,341	839,204	24.4%	252,003
AQUATICS	2,230,584	683,552	149,834	1,397,198	37.4%	689,658
SPORTS	856,188	189,395	160,436	506,357	40.9%	203,170
TENNIS	566,645	111,278	173,057	282,310	50.2%	112,933
NEIGHBORHOOD & OUTREACH SERV	1,235,466	257,102	6,745	971,619	21.4%	255,500
ADMINISTRATION	1,269,735	270,739	901	998,095	21.4%	254,233
PROJECT MANAGEMENT TEAM	740,712	145,488	29,001	566,223	23.6%	128,379
PARK OPERATIONS MANAGEMENT	1,043,643	232,913	11,683	799,047	23.4%	161,553
GROUND & FACILITIES MAINTENANCE	5,926,303	1,312,741	147,702	4,465,859	24.6%	1,276,254
FORESTRY	1,609,092	324,865	101,901	1,182,327	26.5%	312,008
BEACH MAINTENANCE	158,254	27,477	8,610	122,167	22.8%	34,345
MEDIANS PARKWAYS & CONTRACTS	607,447	110,836	120,030	376,581	38.0%	105,524
<i>Total</i>	<u>21,606,542</u>	<u>4,977,335</u>	<u>1,074,378</u>	<u>15,554,829</u>	<u>28.0%</u>	<u>4,610,828</u>
<u>Library</u>						
ADMINISTRATION	932,821	245,949	99,654	587,218	37.0%	137,209
CENTRAL LIBRARY	5,946,744	1,145,827	7,400	4,793,518	19.4%	1,109,099
EASTSIDE LIBRARY	386,328	68,670	2,595	315,063	18.4%	82,499
LIBRARY ON THE GO	192,691	26,957	-	165,733	14.0%	21,809
ADULT EDUCATION	212,056	7,105	-	204,951	3.4%	-
<i>Total</i>	<u>7,670,640</u>	<u>1,494,508</u>	<u>109,649</u>	<u>6,066,483</u>	<u>20.9%</u>	<u>1,350,616</u>
TOTAL COMMUNITY SERVICES	<u>29,277,182</u>	<u>6,471,842</u>	<u>1,184,027</u>	<u>21,621,312</u>	<u>26.1%</u>	<u>5,961,443</u>
COMMUNITY DEVELOPMENT						
<u>Community Development</u>						
ADMINISTRATION	2,014,906	480,925	63,475	1,470,507	27.0%	335,340
RENTAL HOUSING MEDIATION	321,113	70,142	-	250,971	21.8%	73,372
HUMAN SERVICES	862,824	5,628	-	857,196	0.7%	6,623
LONG RANGE PLAN & SPEC STUDY	995,328	221,214	382	773,732	22.3%	274,155
DEVEL & ENVIRONMENTAL REVIEW	1,721,654	348,219	15,366	1,358,069	21.1%	385,946
ZONING INFO & ENFORCEMENT	1,744,050	314,092	22,139	1,407,819	19.3%	347,210
DESIGN REV & HIST PRESERVATION	1,582,720	342,273	3,582	1,236,865	21.9%	385,062
BLDG INSP & CODE ENFORCEMENT	1,761,300	295,181	35,724	1,430,396	18.8%	443,676
RECORDS ARCHIVES & CLER SVCS	797,593	152,276	46,696	598,621	24.9%	166,231
BLDG COUNTER & PLAN REV SVCS	2,646,010	461,392	213,900	1,970,718	25.5%	527,046
CODE COMPLIANCE	771,758	121,619	227	649,912	15.8%	-
<i>Total</i>	<u>15,219,256</u>	<u>2,812,961</u>	<u>401,489</u>	<u>12,004,806</u>	<u>21.1%</u>	<u>2,944,661</u>
TOTAL COMMUNITY DEVELOPMENT	<u>15,219,256</u>	<u>2,812,961</u>	<u>401,489</u>	<u>12,004,806</u>	<u>21.1%</u>	<u>2,944,661</u>

CITY OF SANTA BARBARA
General Fund
Interim Statement of Appropriations, Expenditures and Encumbrances
For the Three Months Ended September 30, 2022 (25% of Fiscal Year)

	Revised Budget	YTD Actual	Encum- brances	Remaining Balance	YTD Expended and Encumbered	Previous YTD
SUSTAINABILITY AND RESILIENCE						
<u>Sustainability and Resilience</u>						
ADMINISTRATION	222,146	29,237	59,036	133,873	39.7%	5,180
<i>Total</i>	222,146	29,237	59,036	133,873	39.7%	5,180
TOTAL SUSTAINABILITY AND RESILIENCE	222,146	29,237	59,036	133,873	39.7%	5,180
NON-DEPARTMENTAL						
<u>Non-Departmental</u>						
ANTICIPATED SALARY SAVINGS	(4,420,842)	-	-	(4,420,842)	0.0%	-
TRANSFERS OUT	858,743	214,686	-	644,057	25.0%	382,991
CAPITAL OUTLAY TRANSFER	29,437,026	7,359,256	-	22,077,770	25.0%	6,413,355
APPROP. RESERVE	200,000	-	-	200,000	0.0%	-
<i>Total</i>	26,074,927	7,573,942	-	18,500,985	29.0%	6,796,345
TOTAL NON-DEPARTMENTAL	26,074,927	7,573,942	-	18,500,985	29.0%	6,796,345
TOTAL EXPENDITURES	191,907,700	42,159,349	4,730,329	145,018,022	24.4%	43,117,047

*** The legal level of budgetary control is at the department level for the General Fund. Therefore, as long as the department as a whole is within budget, budgetary compliance has been achieved. The City actively monitors the budget status of each department and takes measures to address potential over budget situations before they occur.*

For Enterprise and Internal Service Funds, the level of budgetary control is at the fund level. The City also monitors and addresses these fund types for potential over budget situations.

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
Special Revenue Funds
For the Three Months Ended September 30, 2022 (25% of Fiscal Year)

	Revised Budget	YTD Actual	Encum- brances	Remaining Balance	Percent of Budget	Previous YTD
TRAFFIC SAFETY FUND						
Revenue	245,000	17,131	-	227,869	7.0%	57,879
Expenditures	245,000	17,131	-	227,869	7.0%	57,879
<i>Revenue Less Expenditures</i>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>		<u>-</u>
CREEK RESTORATION/WATER QUALITY IMPRVMT						
Revenue	4,845,513	1,858,445	-	2,987,068	38.4%	1,684,590
Expenditures	5,205,335	914,071	573,420	3,717,844	28.6%	776,360
<i>Revenue Less Expenditures</i>	<u>(359,822)</u>	<u>944,374</u>	<u>(573,420)</u>	<u>(730,776)</u>		<u>908,230</u>
COMMUNITY DEVELOPMENT BLOCK GRANT						
Revenue	1,196,247	44,835	-	1,151,412	3.7%	235,786
Expenditures	2,453,609	85,732	773,031	1,594,846	35.0%	359,695
<i>Revenue Less Expenditures</i>	<u>(1,257,362)</u>	<u>(40,897)</u>	<u>(773,031)</u>	<u>(443,434)</u>		<u>(123,909)</u>
COUNTY LIBRARY						
Revenue	446,729	844	-	445,885	0.2%	1,147
Expenditures	562,437	89,226	13,904	459,307	18.3%	206,796
<i>Revenue Less Expenditures</i>	<u>(115,709)</u>	<u>(88,383)</u>	<u>(13,904)</u>	<u>(13,422)</u>		<u>(205,649)</u>
STREETS FUND						
Revenue	13,178,081	3,187,807	-	9,990,274	24.2%	3,207,481
Expenditures	13,326,747	2,958,996	322,468	10,045,283	24.6%	2,913,451
<i>Revenue Less Expenditures</i>	<u>(148,666)</u>	<u>228,811</u>	<u>(322,468)</u>	<u>(55,009)</u>		<u>294,030</u>
MEASURE A FUND						
Revenue	5,045,484	1,016,980	-	4,028,504	20.2%	928,131
Expenditures	5,059,957	1,019,870	463,460	3,576,628	29.3%	799,852
<i>Revenue Less Expenditures</i>	<u>(14,473)</u>	<u>(2,890)</u>	<u>(463,460)</u>	<u>451,877</u>		<u>128,280</u>

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
For the Three Months Ended September 30, 2022 (25% of Fiscal Year)

SOLID WASTE FUND

	Revised Budget	YTD Actual	Encum- brances	Remaining Balance	Percent of Budget	Previous YTD
REVENUES						
Service charges	33,716,747	8,374,994	-	25,341,753	24.8%	7,848,194
Other Fees & Charges	70,000	9,133	-	60,867	13.0%	875,914
Investment Income	44,500	20,956	-	23,544	47.1%	6,415
Grants	23,279	23,279	-	-	100.0%	23,211
Miscellaneous	286,223	2,799	-	283,424	1.0%	(9,766)
TOTAL REVENUES	34,140,749	8,431,160	-	25,709,589	24.7%	8,743,968
EXPENSES						
Salaries & Benefits	1,736,916	320,052	-	1,416,864	18.4%	371,125
Materials, Supplies & Services	30,930,325	5,814,317	422,887	24,693,121	20.2%	6,231,252
Special Projects	1,380,659	37,020	55,846	1,287,793	6.7%	2,909
Transfers-Out	50,000	12,500	-	37,500	25.0%	12,500
Capital Outlay Transfers	973	243	-	730	25.0%	487
Equipment	241,518	35,604	15,217	190,697	21.0%	4,927
Other	40,000	-	-	40,000	0.0%	-
Appropriated Reserve	22,420	-	-	22,420	0.0%	-
TOTAL EXPENSES	34,402,810	6,219,736	493,949	27,689,125	19.5%	6,623,200
<i>Revenue Less Expense</i>	<u>(262,061)</u>	<u>2,211,424</u>	<u>(493,949)</u>	<u>(1,979,536)</u>		<u>2,120,769</u>

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
For the Three Months Ended September 30, 2022 (25% of Fiscal Year)

WATER OPERATING FUND

	Revised Budget	YTD Actual	Encum- brances	Remaining Balance	Percent of Budget	Previous YTD
REVENUES						
Water Sales- Metered	60,197,486	16,888,815	-	43,308,671	28.1%	17,042,457
Service Charges	208,765	91,534	-	117,231	43.8%	95,165
Cater JPA Treatment Charges	2,359,556	-	-	2,359,556	0.0%	(656)
Investment Income	901,175	327,873	-	573,302	36.4%	165,444
Reimbursements	6,759,704	1,162,722	-	5,596,982	17.2%	554,273
Miscellaneous	66,128	20,713	-	45,415	31.3%	115,478
TOTAL REVENUES	70,492,814	18,491,656	-	52,001,158	26.2%	17,972,162
EXPENSES						
Salaries & Benefits	13,981,945	2,880,459	-	11,101,487	20.6%	2,992,139
Materials, Supplies & Services	21,066,556	3,224,198	7,291,642	10,550,716	49.9%	2,787,216
Special Projects	803,963	84,215	52,509	667,239	17.0%	112,515
Water Purchases	11,084,565	2,050,915	-	9,033,650	18.5%	2,202,831
Debt Service	9,221,536	1,983,899	-	7,237,637	21.5%	1,943,199
Capital Outlay Transfers	14,080,186	3,520,046	-	10,560,140	25.0%	8,759,966
Equipment	247,606	1,875	11,503	234,229	5.4%	7,212
Capitalized Fixed Assets	290,275	11,991	144,016	134,269	53.7%	11,778
Other	33,800	708	-	33,092	2.1%	48
Appropriated Reserve	150,000	-	-	150,000	0.0%	-
TOTAL EXPENSES	70,960,432	13,758,305	7,499,669	49,702,458	30.0%	18,816,906
<i>Revenue Less Expense</i>	(467,618)	4,733,351	(7,499,669)	2,298,700		(844,744)

NOTE-These figures reflect the operating fund only. Though the capital fund is excluded, the current year contribution from the operating fund is shown in the Capital Transfers.

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
For the Three Months Ended September 30, 2022 (25% of Fiscal Year)

WASTEWATER OPERATING FUND

	Revised Budget	YTD Actual	Encum- brances	Remaining Balance	Percent of Budget	Previous YTD
REVENUES						
Service Charges	26,609,956	6,563,385	-	20,046,571	24.7%	6,330,086
Fees	584,826	140,741	-	444,085	24.1%	100,236
Investment Income	180,700	61,440	-	119,260	34.0%	32,694
Rents & Concessions	61,072	12,251	-	48,822	20.1%	15,268
Miscellaneous	5,000	3,179	-	1,821	63.6%	4,437
TOTAL REVENUES	27,441,554	6,780,995	-	20,660,559	24.7%	6,482,721
EXPENSES						
Salaries & Benefits	8,562,134	1,862,660	-	6,699,474	21.8%	1,904,012
Materials, Supplies & Services	10,216,367	1,811,822	1,975,400	6,429,145	37.1%	1,583,261
Special Projects	993,527	11,617	148,227	833,683	16.1%	115,613
Debt Service	3,551,087	341,981	-	3,209,106	9.6%	341,981
Capital Outlay Transfers	4,743,055	1,185,764	-	3,557,291	25.0%	1,234,960
Equipment	128,500	3,012	8,150	117,338	8.7%	18,301
Capitalized Fixed Assets	122,246	-	-	122,246	0.0%	25,275
Other	5,000	1,513	2,500	988	80.3%	1,485
TOTAL EXPENSES	28,321,916	5,218,368	2,134,277	20,969,271	26.0%	5,224,887
<i>Revenue Less Expense</i>	<i>(880,362)</i>	<i>1,562,627</i>	<i>(2,134,277)</i>	<i>(308,712)</i>		<i>1,257,833</i>

NOTE-These figures reflect the operating fund only. Though the capital fund is excluded, the current year contribution from the operating fund is shown in the Capital Transfers.

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
For the Three Months Ended September 30, 2022 (25% of Fiscal Year)

DOWNTOWN PARKING FUND

	Revised Budget	YTD Actual	Encum- brances	Remaining Balance	Percent of Budget	Previous YTD
REVENUES						
Improvement Tax	900,000	263,563	-	636,437	29.3%	208,541
Parking Fees	8,283,728	1,869,909	-	6,413,819	22.6%	1,124,815
Other Fees & Charges	30,000	3,939	-	26,061	13.1%	5,456
Investment Income	37,400	11,909	-	25,491	31.8%	7,579
Rents & Concessions	157,760	40,940	-	116,820	26.0%	40,940
Miscellaneous	144,366	39,570	-	104,796	27.4%	26,036
Operating Transfers-In	713,743	178,436	-	535,307	25.0%	182,924
TOTAL REVENUES	10,266,997	2,408,266	-	7,858,731	23.5%	1,596,291
EXPENSES						
Salaries & Benefits	5,255,213	1,101,348	-	4,153,865	21.0%	1,030,561
Materials, Supplies & Services	4,277,554	862,973	1,153,095	2,261,485	47.1%	630,299
Special Projects	439,459	39,455	356,305	43,700	90.1%	175,534
Capital Outlay Transfers	721,087	180,272	-	540,815	25.0%	56,606
Equipment	47,165	2,142	-	45,024	4.5%	2,066
Appropriated Reserve	10,000	-	-	10,000	0.0%	-
TOTAL EXPENSES	10,750,478	2,186,189	1,509,400	7,054,888	34.4%	1,895,066
<i>Revenue Less Expense</i>	(483,481)	222,076	(1,509,400)	803,843		(298,775)

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CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
For the Three Months Ended September 30, 2022 (25% of Fiscal Year)

AIRPORT OPERATING FUND

	Revised Budget	YTD Actual	Encum- brances	Remaining Balance	Percent of Budget	Previous YTD
REVENUES						
Leases-Commercial/Industrial	5,607,214	1,196,238	-	4,410,976	21.3%	1,332,720
Leases-Terminal	9,757,487	2,246,240	-	7,511,247	23.0%	2,269,620
Leases-Non-Commercial Aviation	4,658,247	1,247,915	-	3,410,332	26.8%	1,231,016
Leases-Commercial Aviation	6,374,208	1,555,046	-	4,819,162	24.4%	1,291,234
Investment Income	200,500	81,943	-	118,557	40.9%	35,002
Grants	437,036	-	-	437,036	0.0%	-
Service Charges	-	412	-	(412)	100.0%	311
Miscellaneous	150,459	4,978	-	145,481	3.3%	19,457
TOTAL REVENUES	27,185,151	6,332,773	-	20,852,378	23.3%	6,179,360
EXPENSES						
Salaries & Benefits	10,376,693	2,194,377	-	8,182,316	21.1%	2,127,771
Materials, Supplies & Services	12,967,253	2,394,793	2,375,233	8,197,228	36.8%	2,137,537
Special Projects	3,156,948	161,758	1,195,360	1,799,830	43.0%	54,799
Transfer-Out	250,000	62,500	-	187,500	25.0%	-
Debt Service	1,551,750	387,938	-	1,163,813	25.0%	388,937
Capital Outlay Transfers	1,352,551	338,138	-	1,014,413	25.0%	1,348,413
Equipment	253,990	15,942	2,135	235,914	7.1%	12,894
Appropriated Reserve	131,556	-	-	131,556	0.0%	-
TOTAL EXPENSES	30,040,741	5,555,445	3,572,728	20,912,569	30.4%	6,070,352
<i>Revenue Less Expense</i>	<i>(2,855,590)</i>	<i>777,329</i>	<i>(3,572,728)</i>	<i>(60,192)</i>		<i>109,008</i>

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CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
For the Three Months Ended September 30, 2022 (25% of Fiscal Year)

GOLF COURSE FUND

	Revised Budget	YTD Actual	Encum- brances	Remaining Balance	Percent of Budget	Previous YTD
REVENUES						
Fees & Card Sales	3,652,737	1,175,608	-	2,477,129	32.2%	1,049,328
Investment Income	22,500	9,447	-	13,053	42.0%	4,140
Rents & Concessions	162,252	63,230	-	99,022	39.0%	42,236
Miscellaneous	-	(311)	-	311	100.0%	(810)
TOTAL REVENUES	3,837,489	1,247,974	-	2,589,515	32.5%	1,094,894
EXPENSES						
Salaries & Benefits	87,333	19,244	-	68,089	22.0%	17,943
Materials, Supplies & Services	2,937,895	621,500	2,170,709	145,686	95.0%	532,784
Debt Service	41,430	-	-	41,430	0.0%	-
Capital Outlay Transfers	228,000	57,000	-	171,000	25.0%	52,659
TOTAL EXPENSES	3,294,658	697,744	2,170,709	426,205	87.1%	603,387
<i>Revenue Less Expense</i>	<i>542,831</i>	<i>550,230</i>	<i>(2,170,709)</i>	<i>2,163,310</i>		<i>491,507</i>

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CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
For the Three Months Ended September 30, 2022 (25% of Fiscal Year)

FACILITIES MANAGEMENT FUND

	Revised Budget	YTD Actual	Encum- brances	Remaining Balance	Percent of Budget	Previous YTD
REVENUES						
Service Charges	3,355,887	838,972	-	2,516,915	25.0%	771,976
Work Orders - Bldg Maint.	3,608,512	865,004	-	2,743,508	24.0%	684,978
Miscellaneous	10,500	-	-	10,500	0.0%	1,602
TOTAL REVENUES	6,974,899	1,703,976	-	5,270,923	24.4%	1,458,556
EXPENSES						
Salaries & Benefits	4,629,735	989,049	-	3,640,686	21.4%	974,224
Materials, Supplies & Services	2,070,928	404,013	534,618	1,132,298	45.3%	353,707
Special Projects	288,101	83,879	173,199	31,023	89.2%	26,822
Capital Outlay Transfers	193,598	48,399	-	145,199	25.0%	119,300
Equipment	10,000	2,183	-	7,817	21.8%	916
Appropriated Reserve	85,065	-	-	85,065	0.0%	-
TOTAL EXPENSES	7,277,427	1,527,523	707,817	5,042,088	30.7%	1,474,968
<i>Revenue Less Expense</i>	(302,528)	176,454	(707,817)	228,835		(16,412)

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
For the Three Months Ended September 30, 2022 (25% of Fiscal Year)

FLEET REPLACEMENT FUND

	Revised Budget	YTD Actual	Encum- brances	Remaining Balance	Percent of Budget	Previous YTD
REVENUES						
Vehicle Rental Charges	3,182,866	742,403	-	2,440,463	23.3%	752,859
Investment Income	204,248	53,968	-	150,280	26.4%	30,815
Rents & Concessions	107,553	25,793	-	81,760	24.0%	29,900
Miscellaneous	68,000	14,500	-	53,500	21.3%	15
Operating Transfers-In	198,778	-	-	198,778	0.0%	-
TOTAL REVENUES	3,761,445	836,664	-	2,924,781	22.2%	813,589
EXPENSES						
Salaries & Benefits	231,260	41,759	-	189,501	18.1%	52,058
Materials, Supplies & Services	21,038	970	-	20,068	4.6%	8,117
Debt Service	198,778	-	-	198,778	0.0%	-
Capital Outlay Transfers	-	-	-	-	0.0%	257,253
Capitalized Fixed Assets	4,889,028	35,626	864,106	3,989,296	18.4%	388,804
TOTAL EXPENSES	5,340,104	78,355	864,106	4,397,643	17.6%	706,232
<i>Revenue Less Expense</i>	<i>(1,578,659)</i>	<i>758,309</i>	<i>(864,106)</i>	<i>(1,472,863)</i>		<i>107,357</i>

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
For the Three Months Ended September 30, 2022 (25% of Fiscal Year)

FLEET MAINTENANCE FUND

	Revised Budget	YTD Actual	Encum- brances	Remaining Balance	Percent of Budget	Previous YTD
REVENUES						
Vehicle Maintenance Charges	3,161,802	789,535	-	2,372,267	25.0%	727,990
Reimbursements	5,000	1,250	-	3,750	25.0%	1,250
Miscellaneous	60,000	40,531	-	19,469	67.6%	16,704
TOTAL REVENUES	3,226,802	831,317	-	2,395,485	25.8%	745,944
EXPENSES						
Salaries & Benefits	1,698,636	351,456	-	1,347,180	20.7%	370,422
Materials, Supplies & Services	1,463,788	346,460	253,350	863,978	41.0%	316,593
Special Projects	65,042	10,679	56,892	(2,529)	103.9%	11,573
Capital Outlay Transfers	1,467	367	-	1,100	25.0%	734
Equipment	7,884	494	-	7,390	6.3%	-
Capitalized Fixed Assets	30,275	-	30,275	-	100.0%	8,966
Appropriated Reserve	15,000	-	-	15,000	0.0%	-
TOTAL EXPENSES	3,282,091	709,455	340,517	2,232,120	32.0%	708,287
<i>Revenue Less Expense</i>	<i>(55,289)</i>	<i>121,862</i>	<i>(340,517)</i>	<i>163,366</i>		<i>37,656</i>

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
For the Three Months Ended September 30, 2022 (25% of Fiscal Year)

SELF INSURANCE TRUST FUND

	Revised Budget	YTD Actual	Encum- brances	Remaining Balance	Percent of Budget	Previous YTD
REVENUES						
Insurance Premiums	7,658,599	1,914,650	-	5,743,949	25.0%	1,023,263
Workers' Compensation Premiums	4,572,008	1,142,315	-	3,429,694	25.0%	1,041,025
OSH Charges	292,974	73,244	-	219,731	25.0%	72,558
Unemployment Insurance Premium	427,559	102,566	-	324,993	24.0%	69,382
Investment Income	40,800	3,782	-	37,018	9.3%	7,455
Operating Transfers-In	75,825	18,956	-	56,869	25.0%	18,956
TOTAL REVENUES	13,067,765	3,255,512	-	9,812,253	24.9%	2,232,639
EXPENSES						
Salaries & Benefits	928,263	188,379	-	739,884	20.3%	197,107
Materials, Supplies & Services	13,275,041	2,465,915	360,525	10,448,601	21.3%	2,195,659
Capital Outlay Transfers	409	102	-	307	25.0%	205
TOTAL EXPENSES	14,203,713	2,654,396	360,525	11,188,791	21.2%	2,392,971
<i>Revenue Less Expense</i>	<i>(1,135,948)</i>	<i>601,116</i>	<i>(360,525)</i>	<i>(1,376,539)</i>		<i>(160,332)</i>

The Self Insurance Trust Fund is an internal service fund of the City, which accounts for the cost of providing workers' compensation, property and liability insurance as well as unemployment insurance and certain self-insured employee benefits on a city-wide basis. Internal Service Funds charge other funds for the cost of providing their specific services.

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
For the Three Months Ended September 30, 2022 (25% of Fiscal Year)

INFORMATION TECHNOLOGY FUND

	Revised Budget	YTD Actual	Encum- brances	Remaining Balance	Percent of Budget	Previous YTD
REVENUES						
Service charges	5,061,982	1,259,501	-	3,802,481	24.9%	1,121,496
Operating Transfers-In	200,000	-	-	200,000	0.0%	-
TOTAL REVENUES	5,261,982	1,259,501	-	4,002,481	23.9%	1,121,496
EXPENSES						
Salaries & Benefits	3,420,556	625,552	-	2,795,004	18.3%	600,714
Materials, Supplies & Services	1,612,951	776,578	124,957	711,417	55.9%	841,681
Special Projects	297	-	97	200	32.7%	-
Capital Outlay Transfers	111,677	27,919	-	83,758	25.0%	45,532
Equipment	12,640	660	4,471	7,509	40.6%	1,309
TOTAL EXPENSES	5,158,122	1,430,710	129,525	3,597,887	30.2%	1,489,235
<i>Revenue Less Expense</i>	103,860	(171,209)	(129,525)	404,594		(367,739)

NOTE-These figures reflect the operating fund only. Though the capital fund is excluded, the current year contribution from the operating fund is shown in the Capital Transfers.

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
For the Three Months Ended September 30, 2022 (25% of Fiscal Year)

WATERFRONT OPERATING FUND

	Revised Budget	YTD Actual	Encum- brances	Remaining Balance	Percent of Budget	Previous YTD
REVENUES						
Leases - Commercial	2,000,000	745,097	-	1,254,903	37.3%	763,738
Leases - Food Service	3,100,000	1,133,878	-	1,966,122	36.6%	1,049,961
Slip Rental Fees	5,070,940	1,293,147	-	3,777,793	25.5%	1,221,349
Visitors Fees	750,000	182,828	-	567,172	24.4%	240,141
Slip Transfer Fees	1,100,000	341,725	-	758,275	31.1%	466,450
Parking Revenue	3,254,325	1,223,457	-	2,030,868	37.6%	928,042
Wharf Parking	220,000	99,381	-	120,620	45.2%	90,593
Other Fees & Charges	252,630	63,747	-	188,884	25.2%	70,330
Investment Income	112,700	45,303	-	67,397	40.2%	23,276
Rents & Concessions	392,316	119,135	-	273,181	30.4%	106,548
Miscellaneous	783,220	92,515	-	690,705	11.8%	56,850
TOTAL REVENUES	17,036,131	5,340,214	-	11,695,917	31.3%	5,017,279
EXPENSES						
Salaries & Benefits	8,297,772	1,766,088	-	6,531,684	21.3%	1,894,545
Materials, Supplies & Services	6,062,350	1,257,866	1,030,291	3,774,193	37.7%	1,049,235
Special Projects	297,070	70,380	14,440	212,250	28.6%	57,166
Debt Service	2,036,965	889,263	-	1,147,702	43.7%	889,263
Capital Outlay Transfers	2,000,000	500,000	-	1,500,000	25.0%	27,353
Equipment	147,075	7,346	525	139,204	5.4%	10,221
Capitalized Fixed Assets	83,840	-	36,643	47,197	43.7%	-
Other	2,375	-	-	2,375	0.0%	-
Appropriated Reserve	100,000	-	-	100,000	0.0%	-
TOTAL EXPENSES	19,027,445	4,490,943	1,081,898	13,454,604	29.3%	3,927,784
<i>Revenue Less Expense</i>	<i>(1,991,314)</i>	<i>849,271</i>	<i>(1,081,898)</i>	<i>(1,758,687)</i>		<i>1,089,495</i>

NOTE - These figures reflect the operating fund only. Though the capital fund is excluded, the current year contribution from the operating fund is shown in the Capital Transfers.

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
For the Three Months Ended September 30, 2022 (25% of Fiscal Year)

SANTA BARBARA CLEAN ENERGY FUND

	Revised Budget	YTD Actual	Encum- brances	Remaining Balance	Percent of Budget	Previous YTD
REVENUES						
Service Charges	34,000,000	5,647,233	-	28,352,767	16.6%	-
Investment Income	-	-	-	-	0.0%	73
Operating Transfers-In	-	-	-	-	0.0%	200,067
TOTAL REVENUES	34,000,000	5,647,233	-	28,352,767	16.6%	200,140
EXPENSES						
Salaries & Benefits	177,610	42,761	-	134,848	24.1%	25,840
Materials, Supplies & Services	31,800,411	3,322,741	1,496,836	26,980,835	15.2%	38,010
Special Projects	1,110,847	183,351	51,825	875,671	21.2%	-
Other	311,368	-	-	311,368	0.0%	-
TOTAL EXPENSES	33,400,235	3,548,853	1,548,661	28,302,722	15.3%	63,851
<i>Revenue Less Expense</i>	<i>599,765</i>	<i>2,098,380</i>	<i>(1,548,661)</i>	<i>50,045</i>		<i>136,290</i>

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
For the Three Months Ended September 30, 2022 (25% of Fiscal Year)

ENERGY AND CLIMATE MANAGEMENT FUND

	Revised Budget	YTD Actual	Encum- brances	Remaining Balance	Percent of Budget	Previous YTD
REVENUES						
Service charges	2,552,568	638,142	-	1,914,426	25.0%	592,268
Grants	-	-	-	-	0.0%	169,600
Miscellaneous	-	-	-	-	0.0%	1
TOTAL REVENUES	2,552,568	638,142	-	1,914,426	25.0%	761,868
EXPENSES						
Salaries & Benefits	691,985	139,127	-	552,858	20.1%	111,936
Materials, Supplies & Services	1,997,753	267,511	125,755	1,604,487	19.7%	145,791
Special Projects	127,778	-	51,744	76,034	40.5%	6,584
Debt Service	24,140	6,035	-	18,105	25.0%	6,035
Capital Outlay Transfers	435	109	-	326	25.0%	25,217
Equipment	2,000	-	-	2,000	0.0%	-
Capitalized Fixed Assets	78,600	-	78,600	-	100.0%	-
TOTAL EXPENSES	2,922,691	412,782	256,099	2,253,810	22.9%	295,563
<i>Revenue Less Expense</i>	<i>(370,123)</i>	<i>225,360</i>	<i>(256,099)</i>	<i>(339,384)</i>		<i>466,305</i>

NOTE-These figures reflect the operating fund only. Though the capital fund is excluded, the current year contribution from the operating fund is shown in the Capital Transfers.

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General Fund Revenues

The table below summarizes preliminary General Fund revenues for the three months ended September 30, 2022. For interim financial statement purposes, revenues are reported on a cash basis (i.e. when the funds are received). Each of these revenues below have unique cycles for collections, which may vary from other revenues, and which can cause fluctuations from previous years.

SUMMARY OF REVENUES For the Three Months Ended September 30, 2022 Fiscal Year 2023 GENERAL FUND								
	Current Year Analysis FY2023						Prior Year Analysis FY2022	
	Revised Budget	YTD Actual	Variance	**Projected Full-Year	Variance Projection to Budget	Percent Rec'd	Prior Year YTD Actual	Change From FY22
Property Tax	\$43,250,489	\$0	(\$43,250,489)	\$44,456,027	1,205,538	0%	\$0	-
Sales & Use Tax	26,704,042	7,408,720	(19,295,322)	26,704,042	-	28%	7,458,934	(1%)
Measure C Sales Tax	28,323,342	8,104,704	(20,218,638)	28,323,342	-	29%	7,647,258	6%
Transient Occupancy Tax	23,583,553	9,047,587	(14,535,966)	23,583,553	-	38%	8,311,110	9%
Utility Users Tax	7,779,112	2,138,098	(5,641,014)	7,779,112	-	27%	1,926,902	11%
Business License	2,714,006	506,812	(2,207,194)	2,714,006	-	19%	523,132	(3%)
Cannabis Excise Tax	1,879,977	368,558	(1,511,419)	1,879,977	-	20%	484,519	(24%)
Property Transfer tax	1,328,218	294,470	(1,033,748)	1,328,218	-	22%	321,276	(8%)
Total Taxes	\$135,562,739	\$27,868,948	(\$107,693,790)	\$136,768,277	\$1,205,538	21%	\$26,673,131	4%
License & Permits	159,858	39,539	(120,319)	159,858	-	25%	53,137	(26%)
Fines & Forfeitures	3,018,920	573,699	(2,445,221)	3,018,920	-	19%	843,591	(32%)
Franchise Fee	4,007,090	947,256	(3,059,834)	4,007,090	-	24%	955,462	(1%)
Use of Money & Property	1,921,816	622,700	(1,299,116)	1,921,816	-	32%	279,602	123%
Intergovernmental	2,615,530	86,267	(2,529,263)	2,615,530	-	3%	177,854	(51%)
Fee & Charges	14,867,084	4,590,277	(10,276,807)	14,867,084	-	31%	3,894,548	18%
Miscellaneous	22,370,270	5,149,843	(17,220,427)	22,370,270	-	23%	5,244,849	(2%)
Total Other	\$48,960,568	\$12,009,581	(\$36,950,987)	\$48,960,568	\$0	25%	\$11,449,043	5%
Total Revenues	\$184,523,307	\$39,878,529	(\$144,644,777)	\$185,728,845	\$1,205,538	22%	\$38,122,174	5%
**Projected Full-Year column projects only the general non-departmental revenues and Franchise Fees. All other revenues assume the same as budget for this table. Departments will be presenting more detailed projections during the Budget presentations in the upcoming months, and staff will provide more complete projections of all General Fund Revenues, as well.								

This table includes the annual adopted budget, as well as any budget adjustments to date, and actual collections for First Quarter of Fiscal Year (FY) 2023. The City's general tax revenues, Franchise Fees, and Fees & Charges are reported in the table above. The largest general tax revenues are discussed in more detail below.

Property Tax

As of September 30, 2022, no property tax revenues had been received to date. Typically, the County does not start issuing property tax payments to the City until November or December each year. During the budget process, it was expected the City would see growth of approximately 2.5% from the prior year; however, more recent information now shows lower growth of approximately 5%. Some of this is due to Proposition 13, in which the assessed value of properties increases each January to the lesser of 2% or CPI. In the last several years, this increase was 2%. CPI for 2021-2022 was only 1.016% but has returned to 2% for 2022-2023. Actual property tax revenues are projected to come in above budget by approximately \$1.2 Million.

Sales Taxes

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Sales tax revenue received to date is approximately \$7.4 Million, while representing three months of sales tax payments collected through September 30, 2022, on a cash basis. Sales tax has been trending in a positive direction for several months, likely due to a combination of increased consumer spending (particularly in online sales and auto sales), and the results of higher-than-expected inflation. Sales tax came in higher than budgeted to date by approximately \$732,710. Staff continues to monitor this revenue closely. It is likely that actual sales tax revenues will exceed budgeted revenues. Staff will evaluate a revised projection as additional tax remittances are received in preparation for the Q2 report.

Measure C Sales Taxes

Voters approved a one-cent Measure C sales tax in Fiscal Year 2018, which became effective April 1, 2018. Measure C sales tax revenue received at the end of First Quarter is approximately \$8.1 Million on a cash basis. Similar to sales tax, Measure C sales tax also came in higher than budget by \$1 Million for First Quarter. Staff will continue to monitor this revenue closely and may consider adjusting the FY2023 budget projection. It is likely that actual Measure C sales tax revenues will exceed budgeted revenues. Staff will evaluate a revised projection as additional tax remittances are received in preparation for the Q2 report. The additional Measure C revenue will be programmed for capital projects in future budget cycles.

Transient Occupancy Tax

Transient occupancy tax (TOT) revenue at First Quarter is \$9 Million, which is significantly higher than collections for FY2021 and FY2022, due to COVID-19. The local travel industry is seeing increases in demand for rooms, now higher than pre-pandemic levels. However, the main cause of the growth is largely the result of increases in average daily rates, which are 30%-40% higher than FY2019 pre-pandemic rates. TOT revenues came in higher than budget by \$3.1 Million (53%) at the end of First Quarter. Staff continues to monitor this revenue closely. It is likely that actual TOT revenues will exceed budgeted revenues. Staff will evaluate a revised projection as additional tax remittances are received in preparation for the Q2 report.

Department and Other Revenues

Departmental revenues comprise approximately \$33.7 Million of the General Fund budgeted revenues. They span various revenue categories listed in the table above, however, for simplicity of reporting, the variance is shown in the Fees & Charges category.

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The table below provides variances by department.

SUMMARY OF DEPARTMENTAL REVENUES GENERAL FUND For the Three Months Ended September 30, 2022 Fiscal Year 2023					
Department	Revised Budget	YTD Actual		Projected Full Year	Variance Projection to Budget
Mayor & Council	\$120,000	\$0	0%	\$120,000	\$0
City Attorney	311,302	270,000	87%	311,302	0
City Administrator	227,548	4,453	2%	227,548	0
Human Resources	35,000	0	-	35,000	0
Finance	535,631	66,284	12%	535,631	0
General Government	\$1,229,481	\$340,737	28%	\$1,229,481	\$0
Police	4,089,416	620,747	15%	4,089,416	0
Fire	4,959,442	932,713	19%	4,959,442	0
Public Safety	\$9,048,858	\$1,553,460	17%	\$9,048,858	\$0
Parks & Recreation	7,648,307	2,291,530	30%	7,648,307	0
Library	1,006,298	24,426	2%	1,006,298	0
Community Services	\$8,654,605	\$2,315,957	27%	\$8,654,605	\$0
Public Works	7,764,604	1,840,267	24%	7,764,604	0
Sustainability & Resiliency	0	0	0%	0	0
Community Development	7,032,134	2,054,350	29%	7,032,134	0
Total	\$33,729,682	\$8,104,771	24%	\$33,729,682	\$0
% of Annual Budget		24.0%		0.0%	

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General Fund Expenditures

The table below summarizes the General Fund budget and year-to-date expenditures through September 30, 2022. The "Revised Budget" column represents the adopted budget, appropriation carryovers from the prior year, and any supplemental appropriations approved by the City Council in the current year.

SUMMARY OF EXPENDITURES BY TYPE GENERAL FUND For the Three Months Ended September 30, 2022 Fiscal Year 2023					
Department	Revised Budget	YTD Actual		Projected Full Year	Variance Projection to Budget
Salaries	\$80,124,627	\$17,000,753	21%	\$80,124,627	\$0
Pension (Normal & UAL)	34,159,747	7,892,590	23%	34,159,747	0
Benefits (All Others)	14,919,422	2,880,237	19%	14,919,422	0
Attrition Savings	(4,420,842)	0	0%	(4,420,842)	0
Salaries & Benefits	\$124,782,954	\$27,773,580	22%	\$124,782,954	\$0
Supplies & Services	13,833,439	2,144,242	16%	13,833,439	0
Allocated Costs	15,495,102	3,819,872	25%	15,495,102	0
Special Projects	5,716,961	633,127	11%	5,716,961	0
Transfers Out	1,057,521	214,686	20%	1,057,521	0
Other	145,599	45,301	31%	145,599	0
Supplies & Other	\$36,248,622	\$6,857,227	19%	\$36,248,622	\$0
Equipment	1,610,371	116,080	7%	1,610,371	0
Capital - General Fund	1,251,610	310,853	25%	1,251,610	0
Capital - Measure C	28,399,413	7,099,853	25%	28,399,413	0
Debt Service	7,500	1,755	23%	7,500	0
Equipment & Capital	\$31,268,894	\$7,528,542	24%	\$31,268,894	\$0
Expenditure Reductions	(572,020)	0	0%	(572,020)	0
Appropriated Reserves	179,250	0	0%	179,250	0
Total	\$191,907,700	\$42,159,349	22%	\$191,907,700	\$0
% of Annual Budget		22.0%		0.0%	

The table includes actual expenditures without encumbrances. Inclusion of encumbrances can distort the analysis of budgeted and actual expenditures during the year, as actual receipt of services or goods can occur in later periods. Outstanding encumbrances include certain appropriations that were carried forward from the prior year and contracts or blanket purchase orders that have been executed in the current year but are expected to be used throughout the year. The following discussion of actual expenditures does not include the impact of encumbrances.

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In some cases, COVID-19 caused increased expenditures as the City has quickly pivoted in response to unprecedented impacts and potential threats of the pandemic. The FY2023 adopted budget included several targeted reduction plans designed to reduce the City's overall expenditures. These targeted reductions were spread over departments in the General Fund and total \$1 Million.

The General Fund revised budget, including \$7,923,162 of carried forward appropriations and encumbrances, and any new FY2023 council approved amendments, is \$191.9 Million, of which \$42.2 Million has been spent as of September 30, 2022. The table below shows performance at department level.

SUMMARY OF DEPARTMENTAL EXPENDITURES GENERAL FUND For the Three Months Ended September 30, 2022 Fiscal Year 2023							
Department	Revised Budget	YTD Actual		Projected Full Year	YTD Variance Without Encumbrance	Encumbrance	Remaining Budget After Encumbrances
Mayor & Council	\$3,372,456	\$658,659	19.5%	\$3,372,456	\$2,713,797	\$1,210,368	\$1,503,429
City Attorney	4,496,917	969,451	21.6%	4,496,917	3,527,466	237,311	3,290,155
City Administrator	3,933,142	779,981	19.8%	3,933,142	3,153,161	277,569	2,875,592
Human Resources	3,047,913	524,836	17.2%	3,047,913	2,523,077	133,712	2,389,365
Finance	7,311,052	1,463,262	20.0%	7,311,052	5,847,790	180,253	5,667,537
General Government	\$22,161,480	\$4,396,189	19.8%	\$22,161,480	\$17,765,291	\$2,039,213	\$15,726,078
Police	52,587,249	10,821,326	20.6%	52,587,249	41,765,923	585,307	41,180,616
Fire	34,907,554	7,971,426	22.8%	34,907,554	26,936,128	118,753	26,817,375
Public Safety	\$87,494,803	\$18,792,752	21.5%	\$87,494,803	\$68,702,051	\$704,060	\$67,997,991
Parks & Recreation	21,606,542	4,977,335	23.0%	21,606,542	16,629,207	1,074,378	15,554,829
Library	7,670,640	1,494,508	19.5%	7,670,640	6,176,132	109,649	6,066,483
Community Services	\$29,277,182	\$6,471,843	22.1%	\$29,277,182	\$22,805,339	\$1,184,027	\$21,621,312
Public Works	11,457,906	2,082,427	18.2%	11,457,906	9,375,479	342,503	9,032,976
Sustainability & Resiliency	222,146	29,237	13.2%	222,146	192,909	59,036	133,873
Community Development	15,219,256	2,812,961	18.5%	15,219,256	12,406,295	401,489	12,004,806
Non-Departmental	26,074,927	7,573,940	29.0%	26,074,927	18,500,987	0	18,500,987
Total	\$191,907,700	\$42,159,349	22.0%	\$191,907,700	\$149,748,351	\$4,730,328	\$145,018,023
% of Annual Budget		22.0%		78.0%		2.5%	75.6%

Expenditure Analysis by General Fund

The General Fund Expenditure Budget for FY2023 was adopted at \$187.3 Million, with an additional \$7.9 Million added as a result of carried over budget from FY2022 and any other Council-approved adjustments. 45.6% of the total budget, including Non-Departmental Expenditures, is appropriated to provide public safety services through the Police and Fire Departments. Community Services combines the City's Parks and Recreation and Library Departments and comprises 15.3% of the total budget. General Government makes up 11.6% of the appropriations and is the total of all costs for Finance, City Administration, Mayor & Council, City Attorney, Human Resources, and City Clerk.

All departments are targeted with achieving expenditure savings that were included in the adopted budget. Most departments met or exceeded this goal as originally determined at \$1 Million for all departments through FY2023. With continued uncertainty in how the economic impact of the

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pandemic will be felt by the City, staff has confidence that expenditures will end the year at or below budget and meet expenditure reduction targets.

General Fund expenditures were \$42.2 Million at September 30, 2022, representing 22% of the revised budget, with an additional \$4.7 Million (2.5%) encumbered.

General Government actual spending of \$4.4 Million, represents 19.8% of the annual budget. This includes salary savings from holding several positions vacant through First Quarter of Fiscal Year 2023.

Public Safety has expenditures of \$18.8 Million, representing 21.5% of the annual budget, with savings achieved from keeping a number of positions vacant.

The Police Department (PD) expended \$10.8 Million (20.6%) of its budget. The department continues to incur overtime due to impacts of COVID-19 on staffing levels with 25 open sworn positions and 21 professional service staff positions open. Staff continues to manage schedule and staffing needs to ensure appropriate law enforcement coverage for the City.

The ongoing officer staffing shortage has necessitated staffing reassignments and suspension of some programs until minimum staffing levels can be met. Two open officer positions will cover COVID-19 reduction targets. Additional salary and benefit savings will be used for supplies and services. Discussions regarding staffing needs continue between the Police Chief and the City Administrator, and levels with adjustments to be made accordingly.

The Fire Department (FD) expended \$8 Million, using 22.8% of its budget. Most operational costs are tracking at or below expected, with additional decreases due to reimbursements from the American Medical Response company for emergency incident response. Unfavorable variances in overtime offset this savings.

At First Quarter, overtime is tracking unfavorably at 31% expended. This is mostly due to personnel use of paid-time-off (sick, vacation, holiday) and covering for firefighters off duty due to injury. Fire will continue monitoring these costs and provide an update for Second Quarter.

Required COVID-19 reductions have been met via reductions to operating costs included in the adopted budget and holding the Fire Inspector II position vacant.

Community Services had actual expenditures of \$6.5 Million, or 22.1% of budget. This performance is ahead of expectations.

The Library Department spent \$1.5 Million, or 19.5% of its annual budget, through First Quarter 2023. The Library continues to be open at reduced hours post-COVID and has limited access to the public at Central Library due to construction. Despite this, hours have been increased at the Eastside Library, and the Library has continued to deliver materials and robust services in-person, virtually, and through offsite outreach with the Library on the Go Van. Savings in the General Fund for First Quarter are largely due to multiple vacancies, which are expected to be filled by year end. Required COVID-19 reductions have been met at First Quarter with Salaries & Benefits savings from these open positions.

The Parks and Recreation Department (P&R) had expenditures of \$5 Million (23% of annual budget). In the absence of COVID-19 restrictions, facility rentals and other activities increased. Several expenditure categories came in higher than First Quarter of Fiscal Year 2022 due to filled

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vacancies and increased water and fuel costs. Should warm weather and drought conditions persist, water costs are expected to have a considerable impact on the operating budget. Fuel costs increases may also negatively impact budget performance through the year. Increased Revenue performance may offset these additional expenditures. Required COVID-19 savings targets have been met with Salaries & Benefits savings from several vacancies through First Quarter of Fiscal Year 2023.

The Department continues to provide essential services, such as: park maintenance to ensure community access to safe and clean open spaces; food distribution and senior meal programs to provide nutrition for the community; and recreation programming, camps, and affordable activities both for youth and adults, while complying with required safety protocols to be open should COVID-19 restrictions recur. At the same time, they continue to advance key capital infrastructure planning, design, and construction for operations post pandemic.

The Public Works Department (PW) had expenditures of \$2.1 Million, or 18.2% of annual budget for FY2023, reflecting a savings of \$1.3 Million. Engineering and Land Development vacancies contribute to the large expenditure shortfall in First Quarter. Additional savings occurred with Administration's overall expenditures coming in at 2% below budgeted expectations. The Engineering Division continues to maintain productivity targets and anticipates ending the year at or very near target for General Fund subsidy by relying on internal staff for outreach needs and careful management of Supplies and Services spending.

The Sustainability & Resilience Department (S&R) had expenditures of \$29,237 (13.2% of annual budget). The General Fund currently contains spending related to a CalRecycle grant, as well as compensation of an Analyst position and part of the Director position. The two positions will be reclassified to the Energy Management Fund, which generates its own revenue. Activity related to the CalRecycle grant will be funded by that grant.

The Community Development Department (CD) had expenditures of \$2.8 Million or 18.5% of annual budget. If you include encumbrances of \$401,489 the total percentage of funds used is 21.1%. Salaries & Benefits expenditures are significantly below budget due to a departmental vacancy rate of approximately 15% and other required attrition savings measures. The Department expects to use a portion of this savings in MOU agreements that exceeded the budgeted staff increases and changes to the vacation cash-out and maximum hours policy. Targeted reductions were incorporated at the line-item level during budget development to meet required reductions.

Non-Departmental expenditures include transfers from the General Fund to other funds, the majority of which includes transfers to the Measure C fund for various capital maintenance and improvement projects. In prior fiscal years, the revenue summary table included anticipated year-end budget variances. This represented approximately 1.5% of budgeted operating expenditures in the General Fund and represents staff's estimate of the favorable expenditure variances (i.e. expenditures under budget primarily from attrition savings from position vacancies) for the year. The anticipated year-end variance budget is now reported in non-departmental expenditures in the adopted FY2023 budget.

General Fund Reserves Projection

The table below summarizes the reserves projected in the General Fund by the end of FY2023, compared to prior year actual reserves. The table summarizes the General Fund reserve targets of 15% disaster and 10% contingency reserves as required by City Council Resolution 12-066. Staff will continue to include a projection of anticipated changes to reserves based on likely

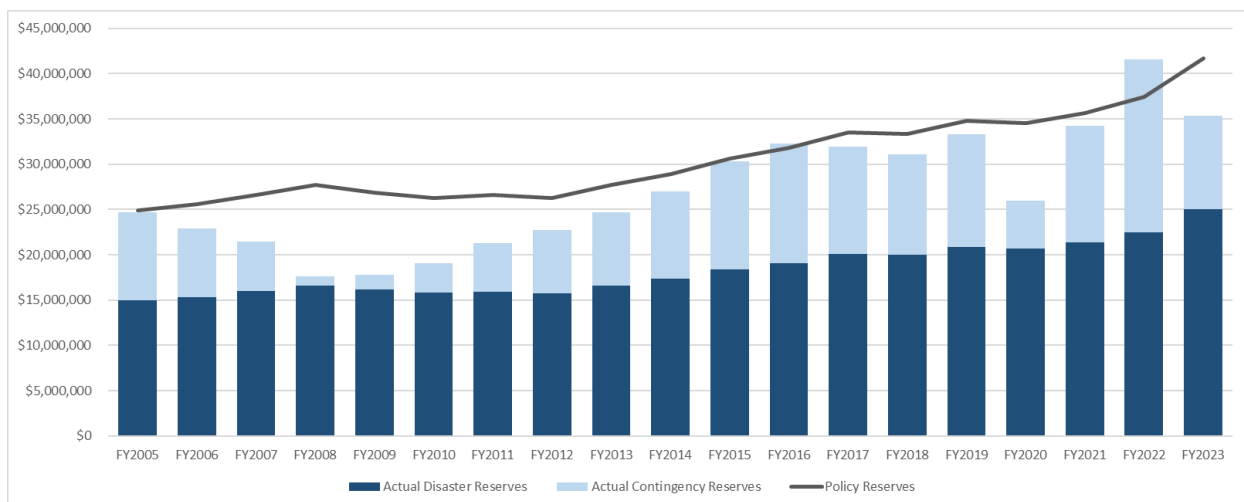
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revenue and expenditure projections included in future reports during quarterly reports to the City Council.

General Fund: Fund Balance	FY2019 Actual	FY2020 Actual	FY2021 Actual	FY2022 Actual	FY2023 Projected at Q1	FY2023 vs FY2022 Projected Change in Reserve
Contingency Reserve Target 10%	\$13,910,966	\$13,799,412	\$14,255,661	\$14,965,025	\$16,683,261	\$1,718,236
Disaster Reserve Target 15%	20,866,449	20,699,118	21,383,491	22,447,538	25,024,892	2,577,354
Total Policy Reserve Target	\$34,777,415	\$34,498,530	\$35,639,152	\$37,412,563	\$41,708,154	\$4,295,591
(Below) / Above Policy	(1,513,888)	(8,526,275)	(1,419,589)	4,110,358	(6,364,088)	(10,474,446)
Actual Reserves	\$33,263,527	\$25,972,255	\$34,219,563	\$41,522,921	\$35,344,066	(\$6,178,855)
Self-Insurance Fund Under-Reserve	(2,109,073)	(4,580,532)	(4,758,252)	(5,889,878)	(5,889,878)	0
Remaining Contingency Reserve	\$10,288,005	\$692,605	\$8,077,820	\$13,185,505	\$4,429,296	(\$8,756,209)

The FY2023 adopted budget required the strategic use of a small amount of reserves in order to balance the budget. Even though reserves were largely replenished by the end of FY2022, reserves are projected to be used in FY2023 to continue to balance the budget. The Self-Insurance Fund under-reserve amount represents the burden on the General Fund for the reserve position being lower than the actuarial recommended level.

The graph below summarizes the General Fund Reserves since FY2005, including a projection of ending reserves at the end of FY2023. The FY2023 adopted budget called for the use of \$1 Million of reserves to balance the budget, bringing reserves below policy targets in FY2023. FY2023 also includes the use of carryforward appropriation from FY2022, which is included in the revised budget.



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Enterprise Operating Fund Revenues and Expenses

Unlike the General Fund, which relies primarily on taxes to fund programs and services, Enterprise Fund operations is financed primarily from user fees and other non-tax revenues. The table below summarizes Enterprise Fund revenues and expenses through September 30, 2022, with a comparison to the current fiscal year budget and prior fiscal year expenses.

SUMMARY OF REVENUES & EXPENSES For the Three Months Ended September 30, 2022 Fiscal Year 2023 ENTERPRISE FUNDS							
	Current Year Analysis FY2023				Encumbered	Prior Year FY2022	
	Annual Budget	YTD Actual	Projected Year End	YTD Percent		YTD Actual	% Variance
Solid Waste Fund							
Revenues	\$34,140,749	\$8,431,160	\$34,140,749	24.7%	\$0	\$8,743,968	-3.6%
Expenses	34,402,810	6,219,736	34,402,810	18.1%	493,949	6,623,200	-6.1%
Add / (Use) Reserves	(\$262,061)	\$2,211,424	(\$262,061)		(\$493,949)	\$2,120,768	
Water Fund							
Revenues	70,492,814	18,491,656	70,492,814	26.2%	0	17,972,162	2.9%
Expenses	70,960,432	13,758,305	70,960,432	19.4%	7,499,669	18,816,906	-26.9%
Add / (Use) Reserves	(\$467,618)	\$4,733,351	(\$467,618)		(\$7,499,669)	(\$844,744)	
Wastewater Fund							
Revenues	27,441,554	6,780,995	27,441,554	24.7%	0	6,482,721	4.6%
Expenses	28,321,916	5,218,368	28,321,916	18.4%	2,134,277	5,224,887	-0.1%
Add / (Use) Reserves	(\$880,362)	\$1,562,627	(\$880,362)		(\$2,134,277)	\$1,257,834	
Downtown Parking Fund							
Revenues	10,266,997	2,408,266	10,266,997	23.5%	0	1,596,291	50.9%
Expenses	10,750,478	2,186,189	10,750,478	20.3%	1,509,400	1,895,066	15.4%
Add / (Use) Reserves	(\$483,481)	\$222,077	(\$483,481)		(\$1,509,400)	(\$298,775)	
Clean Energy Fund							
Revenues	34,000,000	5,647,233	34,000,000	16.6%	0	200,140	100.0%
Expenses	33,400,235	3,548,853	33,400,235	10.6%	1,548,661	63,851	5458.0%
Add / (Use) Reserves	\$599,765	\$2,098,380	\$599,765		(\$1,548,661)	\$136,289	
Airport Fund							
Revenues	27,185,151	6,332,773	27,185,151	23.3%	0	6,179,360	2.5%
Expenses	30,040,741	5,555,445	30,040,741	18.5%	3,572,728	6,070,352	-8.5%
Add / (Use) Reserves	(\$2,855,590)	\$777,328	(\$2,855,590)		(\$3,572,728)	\$109,008	
Golf Fund							
Revenues	3,837,489	1,247,974	3,837,489	32.5%	0	1,094,894	14.0%
Expenses	3,294,658	697,744	3,294,658	21.2%	2,170,709	603,387	15.6%
Add / (Use) Reserves	\$542,831	\$550,230	\$542,831		(\$2,170,709)	\$491,507	
Waterfront Fund							
Revenues	17,036,131	5,340,214	17,036,131	31.3%	0	5,017,279	6.4%
Expenses	19,027,445	4,490,943	19,027,445	23.6%	1,081,898	3,927,784	14.3%
Add / (Use) Reserves	(\$1,991,314)	\$849,271	(\$1,991,314)		(\$1,081,898)	\$1,089,495	

The expenses shown in the preceding table do not include outstanding encumbrances at September 30, 2022. Inclusion of encumbrances can distort the analysis of budgeted and actual expenditures. Outstanding encumbrances include appropriations that were carried forward from prior year as part of the appropriation carryovers and contracts or blanket purchase orders that have been added in the current year but are expected to be spent over the coming months.

Solid Waste Fund

Solid Waste Revenues are \$8.4 Million at the end of First Quarter, which is on target to budgeted amounts. This included \$864,000 from the ReSource Center, which was generated by an excess in their Rate Stabilization Fund. According to the Master agreement, when there is excess money in the Rate Stabilization Fund, the County returns the surplus based on a percentage of material

*Fiscal Year 2023 Interim Financial Statements
For the Three Months Ended September 30, 2022 (25% of Year Elapsed)*

delivered by each member. Due to delays in construction, the Rate Stabilization Fund had an excess amount, of which the City received \$864,000. This disbursement is considered a one-time event and is unlikely to occur in future periods. Without this one-time payment, the Department is tracing well ahead of receipts last year and is on track to meeting this year's budgeted revenue.

Expenditures are \$6.2 Million (26.2% of the budget). Beginning in September, the City changed the way it is paying the County for tipping fees at the ReSource Recovery Center and landfill (paying the County directly rather than through the City's hauler). This change began in September, so there were some differences in timing of payments, which caused the expenditures to look lower than last year and lower than budget. By the end of Second Quarter, the timing of payments will catch up, and we will be back on track to budget. Staff expect to meet be on budget by year end.

Water Fund

Through the first three months of the Fiscal Year, Water Fund revenues have totaled approximately \$18.6 Million, or 26% of the adopted budget, which is on target with staff expectations, including anticipated seasonal variance in revenues, especially rate revenue. This is a common trend as the drier summer months tend to yield higher customer rate revenue. Staff expect this to slow over the winter months. Offsetting this positive variance are several other revenue sources which, due to the timing of payments, are behind budget. These include Water Supply Agreements. Staff expect all revenue lines to end the year at or above budget.

Expenditures ended First Quarter at 19.4% of annual budget, excluding incumbrances, or approximately \$28.3 Million. Encumbrances of \$7.5 Million bring expenditures up to 30% of annual budget, and include contracted services throughout the Fiscal Year. Although the Water Fund typically has budgetary savings at year end, staff anticipates final numbers will be closer to budget than they are at the end of First Quarter.

Wastewater Fund

First Quarter revenues are on target at \$6.8 Million (24.7% of annual budget). Only a portion of Wastewater rates is dependent on water usage, which explains why there is less variability in Wastewater rate revenue when compared to Water rate revenue. Various other revenue sources, such as Interest Income, Sewer Tap Fees, Pre-treatment Analysis, and Fats-Oils-Grease (FOG) Disposal Fees experienced small variances that contributed to the overall balance of revenues.

Expenditures are below budget at \$5.2 Million (18.4% of annual budget). Encumbrances of \$2.1 Million bring expenditures up to 26.2% of annual budget. The timing of payments for services or obligations in Supplies and Services accounts for most large variances at First Quarter. Staff turnover and vacancies continue to drive savings, bringing Salaries and Benefits in at 22% of annual budget. Staff continues to cautiously spend financial resources and anticipates final numbers will be closer to budget than they are at the end of First Quarter.

Downtown Parking Fund

At First Quarter, actual revenues are at \$2.4 Million, or 23.5% of annual budget. Contributing factors include the PBIA assessment coming in at 29% of budget, exceeding expected budget to date by nearly \$39,000, due to stronger business activity Downtown. Conversely, Intergovernmental revenue had a shortfall of approximately \$11,000 not yet received for the Crossing Guard Program, but expected by year end. Continued difficulty staffing hourly lots for self-service in the mornings also affected Service Charges, which were down nearly \$205,000 from budget to date, or 2% short of target.

Overall expenditures for First Quarter are \$2.2 Million, or 20.3% of annual budget. Continued vacancies, including a Parking Supervisor, Marking Maintenance Coordinator, and Associate

*Fiscal Year 2023 Interim Financial Statements
For the Three Months Ended September 30, 2022 (25% of Year Elapsed)*

Transportation Planner contribute approximately \$212,000 to this savings. Savings in Supplies and Services are mainly due to large unspent encumbrances of approximately \$1.1 Million for Facilities Maintenance of the plaza and downtown lots (\$992,000) such as landscaping, pest control, janitorial services, and alarm services; State Street Deep Cleaning & Lighting (\$66,000); and Equipment Repair (\$43,000), including elevator maintenance, Skidata revenue system maintenance, and other electronic equipment repair. These encumbrances are expected to be fully spent by year end. Additional unspent encumbrances for special projects total approximately \$356,000, and include the PBIA Assessment Study, Downtown Marketing Contract, and New Beginnings and Safe Parking projects. Staff expects all of these to be fully spent by year end.

Clean Energy Fund

The Santa Barbara Clean Energy Fund began operation in October 2021 and started receiving revenue in Second Quarter Fiscal Year 2022. Revenues are typically recorded one-month in arrears, so while a First Quarter report would typically have three months worth of activity, this report only contains two month's worth of activity after June's revenues were accrued back to Fiscal Year 2022. Through two months, revenues are about \$5.6 Million, or 16.6% of budget, which is tracking slightly ahead of budget.

Expenditures for the Fund were \$3.5 Million (10.6% of annual budget) for FY2023. Expenditures are tracking slightly behind budget at this time. Staff expect to meet budget by year end.

Airport Fund

Total revenue collected through First Quarter is \$6.3 Million, or 23.3% of annual budget. Commercial/Industrial lease revenue is behind projections at 21.3% due to unfilled vacancies of about 8% of leasable spaces. Vacancies are expected to improve as staffing level increases to meet the necessary workload. Commercial aviation revenue is at 24.4% of annual budget. Due to timing of Fuel Flowage and Freight and Charger landing revenues being billed a month in arrears, this category is currently slightly behind projections when it should be trending above budget. Non-commercial aviation revenue is ahead of projections at 26.8% of annual budget. Terminal revenues are comprised of concessions, ground transportation, parking revenues, and other, smaller revenue categories. These are slightly behind budget at 23%, mostly due to timing of billing and delays in reporting. These issues should be resolved before the end of Second Quarter reporting.

At First Quarter, actual expenditures are at \$5.5 Million, or 18.5% of annual budget. Encumbrances of \$3.6 Million bring this up to 30.4%. Much of this is earmarked to cover Debt Service expenditures. Staff expect all encumbered funds to be fully spent by year end.

Golf Fund

At First Quarter, actual revenues are \$1.2 Million, or 32.5% of annual budget. This revenue figure is 13% ahead of First Quarter revenues in Fiscal Year 2022. Paid rounds remain strong due to favorable weather conditions and the ongoing popularity of the sport. By September 30, 2022, 32% of the projected 60,000 rounds have been met, and current trend indicate this number may be expected by 25-30% by year end (15,000-19,000 rounds).

Expenditures at First Quarter are \$697,744 (21.2% of annual budget), which is consistent with projected levels. Encumbrances of \$2.1 Million bring committed expenditures to 87.1%, and are due mostly to contracted services which will be spent by the end of the Fiscal Year.

Waterfront Fund

The aftermath of the global pandemic continues to negatively impact Waterfront Department revenues through First Quarter of Fiscal Year 2023, but the Department is seeing signs of

***Fiscal Year 2023 Interim Financial Statements
For the Three Months Ended September 30, 2022 (25% of Year Elapsed)***

economic recovery. We continue to closely monitor revenues with the growing concern that we could be headed into some form of economic recession.

Revenues for First Quarter of Fiscal Year 2023 are \$5.3 Million, or 31.3% of annual budget. Although Commercial Leases are down 2.4% (\$18,641) and Slip Permit Transfer Fees are down 27% (\$124,800) versus last year at this time, Food Service Leases are up nearly 8% (\$83,917) and Parking Revenue is up over 30% (306,043) over prior year. Although early in the Fiscal Year, staff project year-end revenues to come in above budgeted estimates.

Actual expenditures for First Quarter are \$4.5 Million (23.6% of annual budget). Encumbrances of \$1.1 Million bring that to 29.3% of annual budget. Numerous vacancies, including Maintenance Workers, Waterfront Business Manager, and several hourly positions drove savings in Salaries and Benefits, which finished slightly below budget for the first three months of the Fiscal Year. Supplies and Services also came in below budget. Combined savings for the Department are anticipated at nearly \$1 Million by year end.

Enterprise Operating Funds: Year-End Projected Reserves

The table below summarizes the anticipated impact on reserves in each of the major enterprise funds at the end of FY2023 compared to policy reserve targets.

Enterprise Operating Funds	FY2022 Policy Reserves	FY2022 Available Reserves	FY2023 Projected Add (Use) of Reserves	FY2023 Projected Available Reserves
Solid Waste	3,891,700	(195,791)	2,211,424	2,015,633
Water	31,423,570	4,220,266	4,733,351	8,953,617
Wastewater	10,203,876	1,125,749	1,562,627	2,688,376
Downtown Parking	2,391,028	503,670	222,077	725,747
Clean Energy	TBD	(2,789,132)	2,098,380	(690,752)
Airport	6,729,550	3,664,829	777,328	4,442,157
Golf	932,147	619,710	550,230	1,169,940
Waterfront	3,586,785	1,375,070	849,271	2,224,341

All enterprises ended FY2022 in a favorable reserve position with funds that will be used for much needed capital improvements for many enterprise funds. The Clean Energy fund will propose a reserve policy in the future as a formal policy has yet to be established being the enterprise is in its infancy stage. Staff developed and presented balancing strategies as part of the FY2022 budget process to minimize the use of reserves and a plan for replenishment, as per Resolution 12-066.

Interdepartmental Services Operating Fund Revenues and Expenses

The City has established internal service funds for centralized services that provide support across most or all funds and departments. These functions primarily include fleet, facilities, risk management, and information technology. The interim quarterly reports would typically not discuss in detail major changes in the City's internal services departments and funds as major changes typically do not occur during the year.

Self-Insurance Fund (SIF)

The City purchases insurance policies to cap its exposure to loss for specific hazards. The SIF accumulates revenues through an annual allocation from departments as an expenditure to each department. The SIF then pays for the program's annual renewals for insurance coverage, claims that may need to be paid during the fiscal year, and the staff administering the programs.

The SIF has experienced financial challenges in recent years. The annual allocations coming into the SIF from departments has remained relatively flat because of the budgetary challenges facing the City's General Fund and other funds. The cost to the City to retain the existing property, excess general liability, and other insurance programs has increased substantially over the years. Liability coverage has become more expensive across the nation due to recent large losses from law enforcement and other claims. And property coverage has become more expensive due to insurance companies factoring in increased costs due to recent droughts and wildfires in the Western United States and California, in particular.

The most recent actuarial report recommended that the City have an \$18.3 Million reserve balance in the SIF to be able to continue to fund the City's existing insurance program and cover reasonably anticipated claim activities. The FY2023 budget assumed an ending fund balance of \$6.5 Million in the SIF.

On November 16, 2021, staff presented an overview to the Finance Committee regarding major changes anticipated in the insurance industry, budget considerations for FY2022 and FY2023, and substantial increases to operating department allocations into the SIF, to ensure ongoing premium and claim payment costs can be met and adequate reserves can be achieved. The Finance Committee unanimously supported implementing a plan to gradually increase allocations into the SIF over the next nine fiscal years to target achieving reserves at or near the actuarial recommended level. Staff built in these allocation increases into the FY2023 adopted budget.

City of Santa Barbara
Fiscal Year 2023 Financial Statements for the Three Months Ending September 30, 2022
 Schedule of Proposed First Quarter Budget Adjustments

	Increase (Decrease) in Appropriations	Increase (Decrease) in Estimated Revenues	Addition to (Use of) Reserves
GENERAL FUND (Fund 1000)			
Increase appropriations for civil litigation and code enforcement/prosecution costs and reduce appropriations for facilities cost.	\$ 10,000.00	\$ -	\$ (10,000)
	\$ (10,000.00)	\$ -	\$ 10,000
Increase estimated revenues and appropriations for a transfer of \$30,000 from the Technology Fee Reserve (3000-331020) to provide funding required to upgrade Building & Safety inspectors to laptops for use in the field, add tablets for use by board and commission members to facilitate an improved digital plan viewing experience, and add improved plan review software to create a more efficient plan review process. The Technology Fee reserve has \$724K available at the end of FY22.	\$ 30,000	\$ 30,000	\$ -
Increase estimated revenues and appropriations in the amount of \$22,000 to replace a damaged and outdated overhead door at Airport Fire Station 8. The Airport is reimbursing the Fire Department for the replacement costs. Public Works Facilities Management is overseeing the replacement.	\$ 22,000	\$ 22,000	\$ -
Total General Fund (1000)	\$ 52,000	\$ 52,000	\$ -
SPECIAL REVENUE FUNDS			
Comm.Development Block Grant Fund (2130)			
Reduce estimated revenues and appropriations to adjust to actual award amount. The FY23 budget for the CDBG Fund was developed using the prior year's award amount as the official FY23 CDBG award notification had not yet been received. The actual FY23 CDBG award amount is \$29,389 less than the amount that was used for budget development. This entry adjusts the FY23 budget to the actual FY23 award amount.	\$ (29,389)	\$ (29,389)	\$ -
Total Comm.Development Block Grant Fund (2130)	\$ (29,389)	\$ (29,389)	\$ -
Federal Home Loan Program Fund (2140)			
Increase revenue and expenditure appropriations in the HOME program by \$62,618 to adjust to actual award amount. The FY23 budget for the HOME Fund was developed using the prior year's award amount as the official FY23 HOME award notification had not yet been received. The actual FY23 HOME award amount is \$62,618 more than the amount that was used for budget development. This entry adjusts the FY23 budget to the actual FY23 award amount.	\$ 62,618	\$ 62,618	\$ -
Increase estimated revenues and appropriations by \$3,767 in the HOME Fund. Each year there is a requirement to award the program income received during the prior fiscal year. This adjustment is necessary to have sufficient appropriations to fund the required award.	\$ 3,767	\$ 3,767	\$ -
Increase appropriations by \$2,238 in the HOME Fund. On an annual basis, the HOME Fund budget should be balanced. Total revenues should equal total expenditures. Due to position allocation changes and other moving costs, the final FY23 HOME Fund budget was not in balance. This entry adjusts the budget to bring it in to balance.	\$ 2,238	\$ -	\$ (2,238)
Total Federal Home Loan Program Fund (2140)	\$ 68,623	\$ 66,385	\$ (2,238)
Perm Local Housing Alloc Fund (2150)			
Increase revenue and expenditure appropriations in the PLHA Fund to adjust budgets to actual award amounts. Budget development for the PLHA Fund is based on an estimate prior to receiving the actual award amount from the State. This entry adjusts revenue and expenditures to accurately reflect the actual award amounts received for Fiscal Years 2023 and 2022. While this entry creates expenditure appropriations, all programming of activity in the PLHA Fund will return to Council as needed for contract approval.	\$ 599,302	\$ 599,302	\$ -
Total Perm Local Housing Alloc Fund (2150)	\$ 599,302	\$ 599,302	\$ -
Community Development Miscellaneous Grants Fund (2810)			
Increase estimated revenue and appropriations in the Community Development Miscellaneous Grants Fund by \$10,000. This entry programs an additional \$10,000 in REAP Grant Funds. The City received a REAP in the amount of \$499K with a period of activity from 1/1/21 through 9/30/23. The remaining balance in the grant is set aside for Grant Administration and reimbursement of staff time spent on grant activities. The Grant Administration is not going to be fully spent and there is a need for additional support from interns. This entry allocates \$10,000 that was previously set aside for Grant Administration to hourly salaries for interns.	\$ 10,000	\$ 10,000	\$ -
Total Community Development Miscellaneous Grants Fund (2810)	\$ 10,000	\$ 10,000	\$ -

	Increase (Decrease) in Appropriations	Increase (Decrease) in Estimated Revenues	Addition to (Use of) Reserves
SPECIAL REVENUE FUNDS (Continued)			
Library Miscellaneous Grants Fund (2850)			
Increase estimated revenue and appropriations as the Santa Barbara Public Library was awarded a \$20,000 grant by SB ACT to support outreach and resource navigation at Community Connections programs.	\$ 20,000	\$ 20,000	\$ -
Increase estimated revenue and appropriations as the Friends of the Eastside Library gave the Eastside Branch Library an additional \$20,267.60 contribution to support Library collections and supplies.	\$ 20,268	\$ 20,268	\$ -
Increase estimated revenue and appropriations as the Packard Foundation awarded the Library Department \$4,000 for Stay and Play programming which supports families and caregivers.	\$ 4,000	\$ 4,000	\$ -
Total Library Miscellaneous Grants Fund (2850)	\$ 44,268	\$ 44,268	\$ -
Parks and Recreation Miscellaneous Grants Fund (2860)			
Increase estimated revenue and appropriations to receive a final disbursement/donation from the Elizabeth Firth Wade Endowment Foundation for A.C. Postel Memorial Rose Garden.	\$ 25,000	\$ 25,000	\$ -
Total Parks and Recreation Miscellaneous Grants Fund (2860)	\$ 25,000	\$ 25,000	\$ -
Capital Outlay Fund (3000)			
Increase appropriations to transfer out \$30,000 from the Technology Fee Reserve (3000-331020) to provide General Fund (1000) funding required to upgrade Building & Safety inspectors to laptops for use in the field, add tablets for use by board and commission members to facilitate an improved digital plan viewing experience, and add improved plan review software to create a more efficient plan review process. The Technology Fee reserve has \$724K available at the end of FY22.	\$ 30,000	\$ -	\$ (30,000)
Total Capital Outlay Fund (3000)	\$ 30,000	\$ -	\$ (30,000)
ENTERPRISE FUNDS			
Airport Operating Fund (5700)			
Increase appropriations by \$250,000 from Airport Operating Reserves for TSA Queueing Support - Due to record levels of enplanements and new requirements, the TSA queuing is often spilling downstairs requiring additional Security Aide support; due to difficulty in recruitment, the need to contract this service out has become a necessity.	\$ 250,000	\$ -	\$ (250,000)
Increase appropriations by \$33,000 from Airport Operating Reserves for Airport Terminal Passenger Profile and Economic Impact Study with Visit Santa Barbara and conducted by Destination Analytics.	\$ 33,000	\$ -	\$ (33,000)
Increase appropriations by \$22,000 from Airport Operating Reserves to replace a damaged and outdated overhead door at Airport Fire Station 8. The Airport is reimbursing the Fire Department for the replacement costs. Public Works Facilities Management is overseeing the replacement.	\$ 22,000	\$ -	\$ (22,000)
Total Airport Operating Fund (5700)	\$ 305,000	\$ -	\$ (305,000)
Waterfront Operating Fund (5800)			
Increase appropriations to transfer out \$250,000 from the Waterfront Operating Fund to Watefront Capital Fund for interior dredging.	\$ 250,000	\$ -	\$ (250,000)
Total Waterfront Operating Fund (5800)	\$ 250,000	\$ -	\$ (250,000)
Waterfront Capital Fund (5810)			
Increase appropriations by \$425,498 from the Waterfront Capital Fund reserves for various capital projects in FY 2023.	\$ 425,498	\$ -	\$ (425,498)
Increase estimated revenues to transfer in \$250,000 from the Waterfront Operating Fund to Watefront Capital Fund for interior dredging.	\$ -	\$ 250,000	\$ 250,000
Total Waterfront Capital Fund (5810)	\$ 425,498	\$ 250,000	\$ (175,498)



PROCLAMATION

FAMILY STORIES MONTH

NOVEMBER 2022

in honor of the milestone

**50TH ANNIVERSARY OF THE
SANTA BARBARA COUNTY
GENEALOGICAL SOCIETY**

***WHEREAS**, the Santa Barbara County Genealogical Society, an important local cultural and educational institution, was founded in 1972 to further the skills and resources for family history research in Santa Barbara; and*

***WHEREAS**, the Santa Barbara County Genealogical Society now has more than 500 members, and its Sahyun Genealogical Library houses and preserves more than 20,000 books and media sources which are available to the public; and*

***WHEREAS**, the Society encourages research, scholarship, collection, citation, and publication of Genealogical materials while also educating all levels of family history researchers through lectures, tours, classroom activities, and seminars; and*

***WHEREAS**, the Society is committed to community engagement, such that it holds events, hosts exhibits, and provides speakers to local schools and community groups to further interest in, and understanding of, genealogical research; and*

***WHEREAS**, National Family Stories Month is celebrated every November, when many families gather together, to encourage the sharing of family stories, history, and ancestry, particularly from one generation to another;*

***NOW, THEREFORE, I, RANDY ROWSE**, by virtue of the authority vested in me as Mayor of the City of Santa Barbara, do hereby proclaim **November 2022** as **Family Stories Month** in Santa Barbara and congratulate the **Santa Barbara County Genealogical Society** on its **50th Anniversary**. I encourage residents to learn more about their families' stories through the Society's vast resources, and in doing so, foster a greater appreciation for genealogical research.*

***IN WITNESS WHEREOF**, I have hereunto set my hand and caused the Official Seal of the City of Santa Barbara, California to be affixed this 15th day of November, 2022.*

MAYOR RANDY ROWSE





CITY OF SANTA BARBARA CITY COUNCIL MINUTES

REGULAR MEETING OCTOBER 25, 2022 COUNCIL CHAMBERS, 735 ANACAPA STREET

CALL TO ORDER

Mayor Randy Rowse called the meeting to order at 2:01 p.m. (The Ordinance Committee met at 12:30 p.m. The Finance Committee, which ordinarily meets at 12:30 p.m., did not meet this day.)

PLEDGE OF ALLEGIANCE

Mayor Rowse

ROLL CALL

Councilmembers present: Eric Friedman, Oscar Gutierrez, Mike Jordan, Kristen W. Sneddon, Mayor Rowse.

Councilmembers absent: Alejandra Gutierrez, Meagan Harmon.

Staff present: Assistant City Administrator Rene Eyerly, Acting City Attorney Sarah Knecht, Deputy City Clerk Naomi Kovacs.

CEREMONIAL ITEMS

1. Subject: Hiker Safety Awareness Week October 21-27, 2022 (120.04)

Speakers:

- Members of the Public: Jennifer Parks, Abbey Gaston.

Action: Mayor Rowse delivered a Proclamation to Jennifer Parks.

CHANGES TO THE AGENDA

There were no changes to the agenda.

PUBLIC COMMENT

Members of the public: Aron Ashland.

CONSENT CALENDAR (Items 2 – 12)

The titles of the Resolutions and Ordinances were read.

Motion:

Councilmembers Friedman/O. Gutierrez to approve the Consent Calendar as recommended.

Vote:

Unanimous voice vote (Absent: Councilmembers A. Gutierrez, Harmon).

2. Subject: Minutes

Recommendation: That Council waive reading and approve the minutes of the regular meeting of October 4, 2022; and the special meetings of December 15, 2020; June 22, 2021; August 9, 2021; October 11, 2021; September 27, 2022; and October 4, 2022.

Action: Approved the recommendation.

3. Subject: 2022-2026 Supervisor's Association Bargaining Unit Memorandum of Understanding [Ordinance Adoption] (440.02)

Recommendation: That Council ratify the Memorandum of Understanding with the Santa Barbara City Supervisory Employees' Bargaining Unit by adoption of, by reading of title only, an Ordinance of the Council of the City of Santa Barbara Adopting the 2022-2026 Supervisors Memorandum of Understanding and Providing for Compensation Changes for Confidential Supervisor Employees.

Action: Approved the recommendation; Ordinance No. 6088 (October 25, 2022 Council Agenda Report and any attachments).

4. Subject: 2022-2024 Hourly Employees Bargaining Unit Memorandum of Understanding [Ordinance Adoption] (440.02)

Recommendation: That Council ratify the Memorandum of Understanding between the City and the Service Employees' International Union, Local 620, Hourly Employees' Bargaining Unit, for the period of July 1, 2022 through June 30, 2024, by adoption of, by reading of title only, an Ordinance of the Council of the City of Santa Barbara Adopting the 2022-2024 Memorandum of Understanding Between the City of Santa Barbara and the Service Employees' International Union, Local 620, Hourly Employees' Bargaining Unit.

Action: Approved the recommendation; Ordinance No. 6089 (October 25, 2022 Council Agenda Report and any attachments).

5. Subject: Proposal to Amend Various Sections of Title 17 of the Santa Barbara Municipal Code Pertaining to Definitions, Vessels, Slip Assignments, and Parking in the Santa Barbara Harbor [Ordinance Adoption] (570.03)

Recommendation: That Council adopt, by reading of title only, an Ordinance of the Council of the City of Santa Barbara Amending the Santa Barbara Municipal Code ("SBMC") by Amending Sections 17.04.010, 17.12.050, 17.20.005, and 17.36.040 Pertaining to Definitions, Vessels, Slip Assignments, and Parking in the Santa Barbara Harbor.

Action: Approved the recommendation; Ordinance No. 6090 (October 25, 2022 Council Agenda Report and any attachments).

6. Subject: Amendment to Position Salary Control Resolution 22-064 with Updates to Classification and Compensation for Certain Positions [Resolution] (410.06)

Recommendation: That Council adopt, by reading of title only, a Resolution of the Council of the City of Santa Barbara Amending Resolution 22-064, the Position Salary Control Resolution for Fiscal Year 2023, to Amend the Established Salary for Positions Listed and Re-establish the Assistant Finance Director Title with Effective Dates as Listed.

Action: Approved the recommendation; Resolution No. 22-113 (October 25, 2022 Council Agenda Report and any attachments).

7. Subject: Approval of Santa Barbara Clean Energy's 2022 Integrated Resource Plan [Resolution] (630.02)

Recommendation: That Council adopt, by reading of the title only, a Resolution of the Council of the City of Santa Barbara Approving Santa Barbara Clean Energy's 2022 Integrated Resource Plan.

Action: Approved the recommendation; Resolution No. 22-114 (October 25, 2022 Council Agenda Report and any attachments).

8. Subject: Acceptance of Easements Being Acquired for the De La Vina Bridge Replacement Project at 2829 Vernon Road [Resolution; Agreements] (330.03)

Recommendation: That Council adopt, by reading of title only, a Resolution of the Council of the City of Santa Barbara Accepting Easements Acquired for the De La

Vina Street Bridge Replacement Project, and Authorizing the City Administrator to Execute all Documents and Take Other Actions as may be Necessary.

Action: Approved the recommendation; Resolution No. 22-115; Easement Deed, Easement Purchase Agreement, Temporary Construction Easement Deed (October 25, 2022 Council Agenda Report and any attachments).

9. Subject: Five-Year Agreement with the United States Geological Survey for Surface Water and Groundwater Monitoring [Agreement] (540.11)

Recommendation: That Council:

- A. Authorize the Public Works Director to execute a five-year joint funding agreement with the United States Geological Survey for water resources monitoring and investigations related to surface water and groundwater measurements for the period of October 01, 2022, through September 30, 2027, with a City of Santa Barbara cost share not to exceed \$1,112,550; and
- B. Authorize the Public Works Director to execute amendments to the agreement to respond to changes in water supply conditions and to approve expenditures up to \$100,000 for unforeseen monitoring services that may be required.

Action: Approved the recommendations; Agreement No. 28,209 (October 25, 2022 Council Agenda Report and any attachments).

10. Subject: Legal Services with Orrick, Herrington and Sutcliffe, LLP for Bond and Disclosure Counsel for Financing of City Infrastructure [Agreement] (210.01)

Recommendation: That Council authorize the Finance Director to approve and execute a legal services agreement with Orrick, Herrington and Sutcliffe, LLP., to provide bond counsel, disclosure counsel, and related services related to the financing of City infrastructure and other obligations in Fiscal Year 2023, 2024, and 2025 for an amount not to exceed \$387,500.

Action: Approved the recommendation; Agreement No. 28,210 (October 25, 2022 Council Agenda Report and any attachments).

11. Subject: Agreement with Arctic Wolf Networks, Inc. for Security Operations Center-as-a-Service and Managed Detection and Response Solution [Agreement] (170.04)

Recommendation: That Council authorize the Information Technology Director to execute a Master Customer Agreement contract with Arctic Wolf Networks, Inc. in

the amount of \$125,276 for a Security Operations Center-as-a-Service (SOC-aaS) and a managed detection and response solution to include licensing, hardware, maintenance, support, and implementation services, and authorize the Information Technology Director to approve expenditures of up to \$713 for extra services that may result from necessary changes in the scope of work.

Action: Approved the recommendation; Agreement No. 28,211 (October 25, 2022 Council Agenda Report and any attachments).

12. Subject: September 30, 2022, Investment Report and September 30, 2022, Fiscal Agent Report (260.02)

Recommendation: That Council:

- A. Accept the September 30, 2022, Investment Report; and
- B. Accept the September 30, 2022, Fiscal Agent Report.

Action: Approved the recommendations (October 25, 2022 Council Agenda Report and any attachments).

This concluded the Consent Calendar.

REPORT FROM THE ORDINANCE COMMITTEE

Ordinance Committee Chair Sneddon reported that the Committee heard updates on the Ordinance Committee process revision, and the Committee voted unanimously to move the recommendations to Council.

CITY COUNCIL ADMINISTRATIVE AND ATTORNEY REPORTS

COMMUNITY DEVELOPMENT DEPARTMENT

13. Subject: Single Family Design Board Membership Criteria and Quorum Requirements [Ordinance Introduction] (640.03)

Recommendation: That Council:

- A. Introduce and subsequently adopt, by reading of title only, an Ordinance of the Council of the City of Santa Barbara Amending Santa Barbara Municipal Code Section 22.69.010 to Revise the Membership of the Single Family Design Board; and
- B. Receive an update from staff regarding the Single Family Design Board process improvement work effort being considered by the Land

Development Team Oversight Subcommittee to streamline project design review.

Documents:

- October 25, 2022 Council Agenda Report and any attachments
- PowerPoint Presentation prepared and made by staff

Speakers:

- Staff: Design Review and Historic Preservation Supervisor Ellen Kokinda, Assistant City Attorney Tava Ostrenger.
- Members of the Public: Jonathan Ziegler.
-

The Ordinance was read into the record.

Discussion:

- Councilmembers' questions were answered.

Motion:

Councilmembers Sneddon/Jordan to Introduce and subsequently adopt, by reading of title only, an Ordinance of the Council of the City of Santa Barbara Amending Santa Barbara Municipal Code Section 22.69.010 to Revise the Membership of the Single Family Design Board, and with an amendment to Section 22.69.010.B as originally proposed, to now read as follows: The Single Family Design Board shall be composed of five members appointed by the City Council. At least one member shall be a licensed architect. Up to three members of the public at large, who reside in the city, may be appointed who do not possess professional qualifications in fields related to architecture. Remaining members shall possess professional qualifications in fields related to architecture or landscape architecture, including, but not limited to, building design, structural engineering, industrial design, urban planning, or landscape contracting. All members of the Board with professional qualifications shall reside within Santa Barbara County and all members shall hold office at the pleasure of the City Council. A person may serve on the Architectural Board of Review or the Historic Landmarks Commission and the Single Family Design Board at the same time.

Vote:

Unanimous roll call vote (Absent: Councilmembers A. Gutierrez and Harmon).

COUNCIL AND STAFF COMMUNICATIONS

COUNCILMEMBER COMMITTEE ASSIGNMENT REPORTS

Councilmember O. Gutierrez reported on their attendance or made the following comment(s): 1. City Employee Picnic at Alameda Park; 2. SB ACT All-Call meeting; 3.

Events and Festivals Committee meeting; 4. Arts Commission meeting; 5. Downtown Food and Beverage Committee meeting; 6. A-OK Career Fair at the Franklin Center; 7. State Street Ad Hoc Committee; 8. Eastside RAP meeting; 9. AIA Homeless Housing presentation at City College; 10. 20th Anniversary of Channel Islands Restoration; 11. 7th Annual Special Olympics; 12. Independent Living Resource Center fundraiser; 13. Bread & Roses fundraiser; 14. Spoke to a UCSB Civic Engagement class; 15. UCSB Alumni Event; 16. A resident asked him to pass along kudos to the Public Works Department for the well-managed Samarkand neighborhood water main replacement project.

Councilmember Sneddon reported on their attendance or made the following comment(s): 1. City employee picnic; 2. Coast Village Association public information meeting; 3. Water Commission meeting with a presentation on water markets; 4. Community Environmental Council (CEC) fundraising kick-off event; 5. State Street Ad Hoc Committee meeting; 6. Riviera Association meeting ; 7. Cachuma Operations and Maintenance Board meeting; 8. Presented the 2022 Santa Barbara United Nations Association Peace Prize to ShelterBox USA.

Councilmember Friedman reported on their attendance or made the following comment(s): 1. Airport Commission meeting; 2. City staff picnic; 3. UCSB Sustainability Summit; 4. Community Environmental Council (CEC)'s Protecting Our Climate luncheon; 5. CALM board reunion; 6. All-Call SB ACT regional action plan call.

Mayor Rowse reported on their attendance or made the following comment(s): 1. Marina Association convention; 2. SBCC Foundation celebration on campus; 3. Coast Village Road Association meeting; 4. Salute to Scouting event; 5. Special Olympics; 6. SB ACT screening of Sonder; 7. Wished his mother-in-law a happy 95th birthday.

PUBLIC COMMENT (IF NECESSARY)

Meeting recessed to Closed Sessions at 3:16 p.m.

CLOSED SESSIONS

14. Subject: Conference with City Attorney – Existing Litigation – Gov. Code §54956.9(d)(1) (160.03)

Recommendation: That Council hold a closed session to consider pending litigation pursuant to subsection (d)(1) of section 54956.9 of the Government Code and take appropriate action as needed.

The pending litigation is Robert Gonzalez v. City of Santa Barbara WCAB Case #: ADJ unassigned.

Scheduling: Duration 15 mins; anytime

Report: None anticipated

Document:

- October 25, 2022, report from the Assistant City Attorney

Time:

- 3:19 p.m. (Jordan 3:20 p.m) – 3:21 p.m.

No report made.

15. Subject: Conference with City Attorney – Existing Litigation – Gov. Code § 54956.9(d)(1) (160.03)

Recommendation: That Council hold a closed session to consider pending litigation pursuant to subsection (d)(1) of section 54956.9 of the Government Code and take appropriate action as needed.

The pending litigation is Flightline Restaurant, LLC, et al. v. City of Santa Barbara, SBSC Case No. 19CV06555.

Scheduling: Duration, 30 minutes; anytime

Report: None anticipated

Document:

- October 25, 2022, report from the Assistant City Attorney

Time:

- 3:22 p.m. – 3:51 p.m.

No report made.

ADJOURNMENT

Mayor Rowse adjourned the meeting at 3:51 p.m.

Approved and adopted by the City Council of the City of Santa Barbara on November 15, 2022.

SANTA BARBARA CITY COUNCIL

SANTA BARBARA
CITY CLERK'S OFFICE

ATTEST: _____

RANDY ROWSE
MAYOR

SARAH GORMAN
CITY CLERK SERVICES MANAGER

ORDINANCE NO. _____

AN ORDINANCE OF THE COUNCIL OF THE CITY OF SANTA BARBARA APPROVING A RESTATED LOAN AGREEMENT WITH SOUTH COAST HOUSING, INC. A CALIFORNIA NONPROFIT PUBLIC BENEFIT CORPORATION, SECURED BY A RESTATED DEED OF TRUST, AND NEW NINETY-YEAR AFFORDABILITY CONTROL COVENANT IMPOSED ON REAL PROPERTY LOCATED AT 1212 PUNTA GORDA; AND AUTHORIZING THE COMMUNITY DEVELOPMENT DIRECTOR TO EXECUTE SUCH AGREEMENTS, SUBJECT TO APPROVAL AS TO FORM BY THE CITY ATTORNEY, AS NECESSARY

WHEREAS, SOUTH COAST HOUSING, INC ("Owner") is restating an existing loan on the real property commonly known as 1212 Punta Gorda in the City of Santa Barbara ("Property"); and

WHEREAS, by minute action on November 8, 2022, the City Council approved a 15-year repayment of an existing loan in the amount of Two Hundred Thousand (\$200,000) with a rate of 4.5% simple interest and a 15-year repayment of an existing loan's interest in the amount of Two Hundred Twelve Thousand Eight Hundred Seven (\$212,887) with a zero percent interest rate ("City Loan"); and

WHEREAS, in exchange for the City Loan restructure, Owner and City agree to record an Affordability Control Covenant imposed on Real Property restricting the rental of the Property to Low-Income residents ("Covenant") for a total of ninety (90) years to comply with the City's Affordable Housing Policies and Procedures; and

WHEREAS, on November 8, 2022, the City Council, introduced and subsequently Adopted an ordinance approving the restated City Loan, Deed of Trust and Covenant; and

WHEREAS, the City Loan is secured by the Restated Deed of Trust recorded in the office of the County Recorder on _____, 2022, as Instrument No. _____ of official records for the purpose of securing Owner's obligations under the Restated City Loan; and

WHEREAS, Owner agrees to comply with Covenant recorded in the office of the County Recorder on _____, 2022, as Instrument No. _____ of official records.

THE COUNCIL OF THE CITY OF SANTA BARBARA DOES ORDAIN AS FOLLOWS:

In accordance with the provisions of Section 521 of the Charter of the City of Santa Barbara, an Ordinance of the Council of the City of Santa Barbara approving the Restated City Loan, Restated Deed of Trust and Covenant is hereby approved.

ORDINANCE NO. _____

AN ORDINANCE OF THE COUNCIL OF THE CITY OF SANTA BARBARA AMENDING CHAPTER 22.04 OF THE MUNICIPAL CODE AND ADOPTING BY REFERENCE THE 2022 EDITION OF THE CALIFORNIA BUILDING CODE, VOLUMES 1 AND 2; THE 2022 EDITION OF THE CALIFORNIA RESIDENTIAL CODE; THE 2022 EDITION OF THE CALIFORNIA ELECTRICAL CODE; THE 2022 EDITION OF THE CALIFORNIA MECHANICAL CODE; THE 2022 EDITION OF THE CALIFORNIA PLUMBING CODE; THE 2022 EDITION OF THE CALIFORNIA ENERGY CODE; THE 2022 EDITION OF THE CALIFORNIA HISTORICAL BUILDING CODE; THE 2022 EDITION OF THE CALIFORNIA EXISTING BUILDINGS CODE; THE 2022 EDITION OF THE CALIFORNIA GREEN BUILDING STANDARDS CODE; THE 2022 EDITION OF THE CALIFORNIA REFERENCED STANDARDS CODE; AND THE 2021 INTERNATIONAL PROPERTY MAINTENANCE CODE; ADOPTING LOCAL REVISIONS TO THOSE CODES; AND REPEALING ORDINANCE NUMBER 5919.

WHEREAS, uniform international construction codes are developed, updated, and published regularly; and

WHEREAS, these codes are adopted by the State of California and by local communities with amendments specifically pertinent to their local conditions; and

WHEREAS, the City of Santa Barbara relies on local ground and surface water for its local water supplies, and drought conditions are common within Santa Barbara and the surrounding areas; and

WHEREAS, local topography and climate present unique flooding, fire hazard, and fire abatement conditions; and

WHEREAS, local geological conditions such as very problematic soils and intense earthquake faults present unique geophysical hazards; and

WHEREAS, the majority of the buildings in the City of Santa Barbara pre-date the Americans with Disabilities Act; many of the parcels of land supporting these older buildings are fully developed; and because of the topography of these parcels, determining accessibility compliance can be very complex; and

WHEREAS, the City of Santa Barbara has the authority to establish appropriate administrative building permit process amendments for the purposes of enforcing the State Building Standards Codes; and

WHEREAS, the City Council of the City of Santa Barbara finds that such local geological, topographic, climatic, and existing building conditions warrant certain amendments to the model uniform codes related to construction;

NOW, THEREFORE, THE CITY COUNCIL OF THE CITY OF SANTA BARBARA DOES ORDAIN AS FOLLOWS:

SECTION 1. Section 22.04.010 of Chapter 22.04 of Title 22 of the Santa Barbara Municipal Code is adopted to read as follows:

22.4.10 Adoption of California Codes by Reference.

Subject to the amendments specified in Sections 22.04.020 through 22.04.070, the following Codes, certain appendix chapters, and the standards and secondary codes referenced therein are adopted, and shall be known as the City of Santa Barbara Building Codes.

A. The “California Building Code Volumes 1 and 2” (2022 Edition), as published by the California Building Standards Commission, including Appendix Chapters G and J.

B. The “California Residential Code” (2022 Edition), as published by the California Building Standards Commission, including Appendix Chapter V.

C. The “California Electrical Code” (2022 Edition), as published by the California Building Standards Commission.

D. The “California Mechanical Code” (2022 Edition), as published by the California Building Standards Commission.

E. The “California Plumbing Code” (2022 Edition), as published by the California Building Standards Commission including Appendix Chapters I (Installation Standards) and K.

F. The “California Energy Code” (2022 Edition), as published by the California Building Standards Commission.

G. The “California Historical Building Code” (2022 Edition), as published by the California Building Standards Commission.

H. The “California Existing Building Code” (2022 Edition).

I. The “California Green Building Standards Code” (2022 Edition), as published by the California Building Standards Commission.

J. “The California Referenced Standards Code” (2022 Edition), as published by the California Building Standards Commission.

K. The “International Property Maintenance Code” (2021 Edition), as published by the International Code Council, including Appendix A.

SECTION 2. Section 22.04.020 of Chapter 22.04 of Title 22 of the Santa Barbara Municipal Code is adopted to read as follows:

22.4.20 Amendments to the California Building Code.

The California Building Code, as adopted by reference pursuant to this Chapter, is amended as set forth in this Section 22.04.020.

A. Sections 105.1.3 and 105.1.4 are added to read as follows:

105.1.3 Paving and Striping. Building permits shall be required for all paving, re-paving (including slurry coating), striping, re-striping, signage, and re-signage of parking spaces in parking lots and structures. Accessible parking spaces, access aisles, and signage shall be provided that meets currently adopted codes.

105.1.4 Demolition Permits. Building permits shall be required to demolish any building, portion of a building, or structure within the City of Santa Barbara and shall be subject to the following conditions:

1. The applicant shall ensure all utility connections have been removed by the appropriate utility providers, except such utility services that are approved for use in connection with the work of the demolition. The applicant shall provide verification from the utility providers that utility service has been disconnected.

2. The applicant shall obtain clearance from the Santa Barbara Air Pollution Control District for all commercial demolition, renovations and alterations.

3. All resulting building debris, trash, junk, vegetation, dead organic matter, rodent harborage, or combustible material that constitutes a threat to life, health, or property, or is detrimental to the public welfare or which may reduce adjacent property value shall be removed from the site within thirty (30) days after the demolition of the structure.

4. All demolition debris must be managed and handled in accordance with the City's Environmental Services Division regulations and guidelines.

B. Section 105.2 "Work Exempt From Permit" is amended to read as follows:

Section 105.2 Work Exempt From Permit. Exemptions from permit requirements of this code shall not be deemed to grant authorization for any work to be done in any manner in violation of the provisions of this code or any other laws or ordinances of this jurisdiction. Permits shall not be required for the following (Note - For work involving detached one- and two-family dwellings or townhouses or buildings accessory to detached one- and two-family dwellings or townhouses, see Section 105 of the California Residential Code as amended by the City of Santa Barbara in this Ordinance):

Building:

1. One-story detached residential accessory structures used as tool and storage sheds, playhouses, portable and fixed playground equipment, bicycle or skateboard ramps and similar uses, provided the floor area does not exceed 120 square feet (11 m²) and the height does not exceed ten (10) feet at the highest point; and further provided the structure does not encroach into required setbacks or required open yards, does not obstruct required parking, and is not served by any utilities. The combined

square footage of exempt accessory structures may not exceed 200 square feet on any single parcel.

2. Residential fences and walls not over 3-1/2 feet high, as measured from the lowest adjacent grade within 3 ½ feet of the fence.

3. Oil derricks.

4. Residential retaining walls, and non-retaining walls, including masonry and concrete walls, which are not over 4 feet in height as measured from the bottom of the footing to the top of the wall, unless supporting a surcharge or impounding flammable liquids, is installed on a slope 20% or greater.

5. Water tanks supported directly upon grade if the capacity does not exceed 5,000 gallons and the ratio of height to diameter or width does not exceed 2:1.

6. Uncovered residential platforms, decks, porches, walks, and similar structures not more than 10 inches above adjacent grade, not over any basement or story below, not part of an accessible route, and not part of the means of egress from a normally occupied space.

7. Interior painting, papering, and similar finish work.

8. Temporary motion picture, television, and theater stage sets and scenery.

9. Ground mounted radio, television and other masts or antenna or dish shaped communication reception or transmitting structures less than 3 feet in diameter, which do not extend more than 15 feet above grade and are not served by electrical circuits regulated under the National Electrical Code (NEC). Light-weight roof-mounted radio, television, and other masts or antenna or dish shaped communication reception or transmitting structures less than 2 feet in diameter, which do not extend more than 15 feet above the roof, are not served by electrical circuits regulated under the NEC, and which are not subject to design review by the Architectural Board of Review, Historic Landmarks Commission, or Single Family Design Board.

10. Freestanding or movable cases, counters, and interior partitions not over 5 feet 9 inches in height, and not containing or requiring connections to electrical power or plumbing systems.

11. Permit applications submitted for other miscellaneous and minor work may be exempted by the Chief Building Official from permits, fees and inspections.

Electrical:

Repairs and maintenance. Minor repair work, including the replacement of lamps or the connection of approved portable electrical equipment to approved permanently installed receptacles.

Radio and television transmitting stations. The provisions of this code shall not apply to electrical equipment used for radio and televisions transmissions, but do apply to equipment and wiring for power supply and installations of towers and antennas.

Temporary testing systems. A permit shall not be required for the installation of any temporary system required for the testing or servicing of electrical equipment or apparatus.

Gas:

1. Portable heating appliance.
2. Replacement of any minor part that does not alter approval of equipment or make such equipment unsafe.

Mechanical:

1. Portable heating appliance.
2. Portable ventilation equipment.
3. Portable cooling unit.
4. Steam, hot or chilled water piping within any heating or cooling equipment regulated by this code.
5. Replacement of any part that does not alter its approval or make it unsafe.
6. Portable evaporative cooler.
7. Self-contained refrigeration system containing 10 pounds (5 kg) or less of refrigerant and actuated by motors of 1 horsepower (746 W) or less.

Plumbing:

1. The stopping of leaks in drains, water, soil, waste or vent pipe, provided, however, that if any concealed trap, drain pipe, water, soil, waste or vent pipe becomes defective and it becomes necessary to remove and replace the same with the new material, such work shall be considered as new work and a permit shall be obtained and inspection made as provided in this code.
2. The clearing of stoppages or the repairing of leaks in pipes, valves or fixtures and the removal and reinstallation of water closets, provided such repairs do not involve or require the replacement or rearrangement of valves, pipes or fixtures.

C. Section 105.4.1 added to read as follows:

105.4.1 Issuance. All work authorized by building permit for other than R-3 or U occupancies shall be issued to an appropriate contractor licensed in accordance with the provisions of California State Law.

D. Section 105.5 "Expiration" is amended to read as follows:

105.5 Expiration. Unless extended by the Building Official, every permit issued shall become invalid, without notice being given to the permit holder when the following occurs:

1. The work on the site, authorized by such permit, is not commenced within 180 days of the permit issuance date, or

2. During any period of more than 180 days after permit issuance, the work on site does not receive full City Inspection approval for any one of the inspections found in Section 110.3.1-110.3.12.

Prior to the permit expiration above, when requested in writing, the Building Official may grant administrative permit extensions for circumstances, out of the permit holder's control, that caused the construction to stop. However, no permit shall be active for more than 6 years.

E. Section 107.1.1 "Licensed Architect Required" is added to read as follows:

107.1.1 Licensed Architect Required. All permit applications and construction documents for multi-family residential buildings of greater than 2 units and non-residential projects with construction valuations greater than 20% of the current building value shall be reviewed for consistency and compliance and submitted with the seal and signature of a State licensed architect unless specifically allowed to do otherwise by the Building Official.

F. Section 107.2.9 "Certified Access Specialist (CASp) Approval" is added to read as follows:

107.2.9 Certified Access Specialist (CASp) Approval. Building permit applications and the associated construction drawings that include a CASp certification per State Civil Code Section 55.53 and include the following statement, signed by a State licensed CASp, will receive an expedited plan review of Chapters 11A and 11B by the City:

"I, (CASp Full Name) , have inspected the property and provided the property owner with a report in accordance with California Civil Code Sections 55.51-55.545. I have reviewed:

- The prior 3-years of "adjusted construction cost", as defined in this code, for this parcel, and
- These construction plans and documents for the project submitted under City permit (PERMIT #) for compliance with the State Title 24, Part 2, Volume 1, Chapters 11A and/or 11B

I find these plans and documents to be, to the best of my knowledge, in compliance the applicable State access compliance standards.

Signature: _____ Date: _____
CASp #: _____"

G. Section 109 "Fees" is replaced as follows:

109. Fees. All fees shall be adopted either by:

1. City Council via Ordinance or Resolution, or
2. Provided for by the State of California

H. Section 113 “Board of appeals” is amended to read as follows:

113. Board of Appeals. In order to provide technical Code advice to the City’s building official and fire code official, and to hear and decide appeals of orders, decisions or determinations made by the fire code official or building official relative to the application and interpretations of the technical codes, there shall be and is hereby created a Building and Fire Code Board of Appeals. This Board consists of members who are qualified by experience and training to pass upon matters pertaining to building construction and building service equipment and who are not employees of the jurisdiction. The Building and Fire Code Board of Appeals shall be appointed by the City Council and shall hold office for a 4-year term. The Board is not empowered to waive requirements of the State Title 24 codes. The Board shall adopt rules of procedure for conducting its business and shall render all decisions and findings in writing to the appellant with a duplicate copy to the fire code official or building official.

113.1.1 Alternatives. The Board may consider any alternate provided that it finds that the proposed design, material, method, or work offered is, for the purpose intended. The Board shall have no authority to waive the requirements of the applicable Code.

113.1.2 Appointments. The City Council shall appoint 7 qualified individuals, provided that at least 3 members are physically handicapped when hearing items that involve Chapter 11 of the California Building or Fire Code.

113.1.3 Quorum. For other than appeals and ratifications relative to Chapter 11 of the Code, it shall take a quorum of members to hear an appeal and majority vote of the Board convened to sustain an appeal. Appeals and ratifications relative to the enforcement of Chapter 11, at least 2 of the Board members in a quorum hearing the item must be physically disabled.

113.1.4 Chairperson. The chairperson shall be selected annually by the convened Board. The chairperson shall maintain order and conduct the meeting in accordance with the California Brown Act.

113.1.5 Meetings. The Board shall meet when needed to hear an appeal or when needed to transact business of the Board. Either the building official or the fire code official or their designee shall act as Secretary of the Board.

113.1.6 Board Decisions. The decision of the Building and Fire Code Board of Appeals shall be final on all matters of appeals and shall become an order to the Appellant, Chief Building Official or Fire Code Official as may be appropriate.

113.1.7 Procedural Rules. Appeal hearings shall be conducted substantially in accordance with the following format:

1. Any person may appeal a decision of the building official or fire code official by filing a written appeal with the building official or fire code official within 10-days of the issuance of the written decision. The notice of appeal shall state the grounds for the appeal.

2. No notice of appeal shall be accepted unless the notice is accompanied by the fee specified by resolution of the City Council.
3. Unless agreed to otherwise by the appellant and the building official or fire code official, all appeals shall be heard not less than 10-days and not more than 60- days from the date on which the building official or fire code official receives the written appeal.
4. The filing of a timely appeal with the building official or fire code official shall place a stay on further enforcement of the specific matter appealed, except for instances of immediate danger to life or property.
5. The Chairperson shall call the meeting to order.
6. The Chairperson shall note the Board members present for the minutes.
7. The Chairperson shall recognize the building official or fire code official for presentation of the appeal. The building official or the fire code official shall read his or her recommendation to the Board.
8. The Chairperson shall recognize the Appellant for presentation of rebuttals.
9. All witnesses must be called by either the Appellant or the building official or the fire code official and may be questioned as permitted by the Chair of this Board.
10. The Board may entertain comments from the public.
11. The Board may affirm, deny, or amend the decision of the building official or the fire code official.
12. The Board shall issue its decisions in writing and shall include a statement of the decision appealed, the decision of the Board and the findings made by the Board in reaching their decision.
13. The Chairperson shall adjourn the meeting at the end of business.
14. The Secretary shall prepare minutes for the record and shall serve as custodian of case records and said minutes.

I. Section 117 **Post-Damage Assessment**. After a disaster, residential buildings subject to this Code shall be assessed for damage and placarded in accordance with Chapter 22.09 of this Code.

J. Section 701A.1 "Scope" is amended to read as follows:

701A.1 Scope. This chapter applies to building materials, systems and/or assemblies used in the exterior design and construction of new buildings, remodels or additions to existing buildings located within a Wildland-Urban Interface Fire Area as defined in

Section 702A.

JK. Section 701A.3 “Application” is amended to read as follows:

701A.3 Application. New buildings, remodeled buildings or additions to existing buildings in any Fire Hazard Severity Zone or Wildland-Urban Interface Area designated by the enforcing agency constructed after the application date shall comply with this chapter.

Exception: Accessory and/or Group U occupancy buildings may be exempted from all or portions of this chapter upon approval of the Fire Code Official and/or Chief Building Official.

L. Section 705A.4 “Roof Gutters” is amended to read as follows:

705A.4 Roof Gutters. Roof gutters shall be provided with the means to prevent the accumulation of leaves and debris in the gutter. All roof gutters and downspouts shall be constructed of non-combustible materials.

M. Section 708A.2 “Exterior Glazing” is amended to read as follows:

708A.2 Exterior Glazing. The following exterior glazing materials and/or assemblies shall comply with this section:

1. Exterior windows and/or skylights.
2. Exterior glazed doors.
3. Glazed openings within exterior doors.
4. Glazed openings within exterior garage doors.
5. Exterior structural glass veneer.
6. Glazing frames made of vinyl materials shall have welded corners, metal reinforcement in the interlock area, and be certified to the most current edition of ANSI/AAMA/NWDA 101/I.S.2 structural requirements.

N. Section 903.2.22 “Local Requirements” is added to read as follows:

903.2.22 Local Requirements. Approved automatic sprinkler systems shall be installed throughout buildings and structures as specified in Section 903.2 or as specified in Section 903.2.22, whichever is more protective.

903.2.22.1 New Buildings, Generally. The construction of a new building containing any of the following occupancies: A, B, E, F, H, I, L, M, R, S or U.

Exceptions: A new building containing a Group U occupancy that is constructed in the City's designated High Fire Hazard Area is not required to provide a sprinkler system as long as the building does not exceed 500 square feet of floor area. A new building containing a U occupancy that is constructed outside the City's designated High Fire Hazard Area is not required to provide a sprinkler system as long as the building does not exceed 5000 square feet of floor area.

903.2.22.2 New Buildings in the High Fire Hazard Area. The construction of any new building within the City's designated High Fire Hazard Area.

Exception: A new building containing a Group U occupancy that is constructed in the City's designated High Fire Hazard Area is not required to provide a sprinkler system as long as the building does not exceed 500 square feet of floor area.

903.2.22.3 Additions to Buildings Other than Single Family Residences. The addition of floor area to an existing building that contains any occupancy other than Group R, Division 3.

Exception: For buildings that have had no additions since September 11, 2009, a single addition of not more than 250 square feet, provided the addition does not change the use to a more hazardous occupancy, shall not trigger the requirement to install an automatic fire sprinkler system. However, the square footage of the single addition allowed under this exception will be included in the cumulative total of modifications and alterations for purposes of Section 903.2.20.4.

903.2.22.4 Remodels of Buildings Other than Single Family Residences. The remodel or alteration of the interior of an existing building that contains any occupancy other than Group R, Division 3, where the floor area of the portion of the building that is modified or altered exceeds 50% of the existing floor area of the building. For purposes of this section, all modifications or alterations to an existing building that occur after September 11, 2009 shall be counted in the aggregate toward the 50% threshold measured against the floor area of the building. It shall be the responsibility of the building owner to install the sprinkler system throughout the building when the threshold has been exceeded.

Exception: Nothing in this section shall prevent the building owner from negotiating a written agreement with the tenant or tenants for allocating the cost of the sprinkler system in any proportion.

903.2.22.5 Change of Occupancy to a Higher Hazard Classification. Any change of occupancy in an existing building where the occupancy changes to a higher hazard classification.

Exception: For purposes of sprinklers only, the higher hazard classification will not be deemed to include low hazard assembly occupancies of 75 occupants or fewer

903.2.22.6 Computation of Square Footage. For the purposes of this Section 903.2.20, the floor area of buildings shall be computed in accordance with the definition of “Floor area, Gross” provided in Section 1002.1 of the California Building Code.

903.2.22.7 Existing use. Any existing building not classified as Group R, Division 3, in existence at the time of the effective date of this code may have their use continued if such use was legal at the time. Additions to existing buildings shall require an automatic fire sprinkler system installed throughout, including areas not previously protected.

Exception: For buildings that have had no additions since September 11, 2009, a single addition of not more than 250 square feet, provided the addition does not change the use to a more hazardous occupancy, shall not trigger the requirement to install an automatic fire sprinkler system. However, the square footage of the single addition will be included in the cumulative total of modifications and alterations for purposes of Section 903.2.20.4.

903.2.22.8 Exceptions and Modifications. Where hardship or substantial difficulty make strict compliance with a sprinkler section impractical, the fire code official is authorized to grant exceptions or modifications on an individual basis, pursuant to California Fire Code Section 104.8.

O. Section 907.2.30 is added to read as follows:

907.2.30 Mixed Use Occupancies. Where residential occupancies are combined with commercial occupancies, a fire alarm system shall be installed which notifies all occupants in the event of a fire. The system shall include automatic smoke detection throughout the commercial and common areas. In addition, a notification system shall be installed in a manner and location approved by the fire code official that indicates the presence of residential dwelling units in accordance with Municipal Code Section 8.04.030 B.

P. Section 1207.4 “Efficiency Dwelling Units” is amended to read as follows:

1207.4 Efficiency Dwelling Units. Unless modified by local ordinance pursuant to Health and Safety Code Section 17958.1, efficiency dwelling units shall comply with the following:

1. The unit shall have a living room of not less than 220 square feet (20.4 m²) of floor area. An additional 100 square feet (9.3 m²) of floor area shall be provided for each occupant of such unit in excess of two.

Exception:

Notwithstanding the provisions of subsection 1 above, for projects constructed or operated by a nonprofit or governmental agency offering housing at an Affordable Housing Cost to Lower Income Households (as those terms are defined in sections 50052.5 and 50079.5 of the California Health and Safety Code), the City may permit efficiency dwelling units for occupancy by no more than two persons who qualify as either very low or low income households where the units have a minimum useable floor area, (excluding floor area in the kitchen, bathroom and closet), of not less than 150 square feet. In all other respects, such efficiency dwelling units shall conform to the minimum standards specified in this code.

2. The unit shall be provided with a separate closet.
3. The unit shall be provided with a kitchen sink, cooking appliance and refrigeration facilities, each having a clear working space of not less than 30 inches (762 mm) in front. Light and ventilation conforming to this code shall be provided.
4. The unit shall be provided with a separate bathroom containing a water closet, lavatory and bathtub or shower.

Q. Table 1505.1 is amended to read as follows:

**TABLE 1505.1
MINIMUM ROOF COVERING CLASSIFICATION
FOR TYPES OF CONSTRUCTION**

IA	IB	IIA	IIB	IIIA	IIIB	IV	VA	VB
B	B	B	B	B	B	B	B	B

R. Section 1505.1.3 “Roof coverings within all other areas” is amended to read as follows:

1505.1.3 Roof coverings within in all other areas. The roof covering or roofing assembly of any new building or the re-roofing of any existing building, regardless of type or occupancy classification, shall be no less than Class B, except that Group H, Division 1 and Group I occupancies shall be Class A. Treated or untreated wood shakes or shingles shall not be permitted, except on existing structures which are constructed with shake or shingle roofs where less than 20 % of the existing roof is being replaced within a two 2 year period, provided such replacement roofing is fire retardant treated wood shakes or shingles.

Exception: In the High Fire Hazard District, roof coverings shall be in accordance with Chapter 7A as amended.

S. Section 1505.1.4 “Roofing Requirements in a Wildland-Urban Interface Fire Area” is amended to read as follows:

1505.1.4 Roofing Requirements in a Wildland-Urban Interface Fire Area. Roof coverings on all buildings shall be class A noncombustible in accordance with adopted CBC Standards or otherwise as may be approved by the Chief Building Official.

Treated or untreated wood shakes or shingles shall not be permitted, except on existing structures which are constructed with shake or shingle roofs where less than 20% of the existing roof is being replaced within a two (2) year period, provided such replacement roofing is fire retardant treated wood shakes or shingles.

“Green” or “Vegetated” roofs shall not be used in the Wildland-Urban Interface Fire Area.

T. Section 1507.4.1 “Deck Requirements” is amended to read as follows:

1507.4.1 Deck Requirements. Metal roof panel roof coverings shall be applied to a solid or closely fitted deck, except where the roof covering is specifically designed to be applied to spaced supports. Metal roof panel coverings shall not be installed over combustible shingles or shakes.

U. Section 1705.12.2 “Structural wood” subsection “Exceptions” is amended to read as follows:

1705.12.1 Structural wood.

Exceptions:

1. Special Inspections are not required for wood shear walls, shear panels and diaphragms, including nailing, bolting, anchoring and other fastening to other elements of the seismic force-resisting system, where the fastener spacing of the sheathing is more than 4 inches (102 mm) on center (o.c.).

2. Special Inspection is not required if the building is designed in accordance with AWC SDPWS-2008 (NDS) Table 4.3A (Note: PLF values must be divided in half per 4.3.3) assuming that the allowable shear values reflected are reduced by 25%.

V. Appendix G Section G101 “Flood Resistant Construction” is amended to read as follows:

G101. Flood Resistant Construction

G101. General. Construction and development within a Federal Emergency Management Agency (FEMA) Special Flood Hazard Area depicted on a FEMA Flood Insurance Rate Map shall be completed in accordance with the City’s Municipal Code, Chapter 22.24 and FEMA’s National Flood Insurance Program standards for construction and development within Special Flood Hazard Areas.

W. Appendix G Sections G102-G1101 are deleted without replacement.

X. Appendix J “Grading” is amended to read as follows:

J101. GRADING GENERAL

J101.1 Scope. The provisions of this chapter apply to grading, excavation and earthwork construction, including fills and embankments, and the control of grading site runoff, including erosion sediments and construction-related pollutants. The purpose of this appendix is to safeguard life, limb, property and the public welfare by regulating grading on private property. Where technical conflict occur between this chapter and geotechnical report filed by a licensed civil engineer, the Building Official may allow the geotechnical report to govern.

J101.2 General Hazards. Whenever the Building Official determines that any existing excavation or embankment or fill on private property has become a hazard to life and limb, or endangers property, or adversely affects the safety, use or stability of a public way or drainage channel, the owner of the property upon which the excavation or fill is located, or other person or agent in control of said property, upon receipt of notice in writing from the Building Official, shall within the period specified therein repair or eliminate such excavation or embankment to eliminate the hazard and to be in conformance with the requirements of this code.

J101.3 Safety Precautions. If at any stage of the work the Building Official determines by inspection that further grading as authorized is likely to endanger any public or private property or result in the deposition of debris on any public way or interfere with any existing drainage course, the Building Official may order the work stopped by notice in writing served on any persons engaged in doing or causing such work to be done, and any such person shall forthwith stop such work. The Building Official may authorize the work to proceed if the Building Official finds adequate safety precautions can be taken or corrective measures incorporated in the work to avoid likelihood of such danger, deposition or interference.

If the grading work, as done, has created or resulted in a hazardous condition, the Building Official shall give written notice requiring correction thereof as specified in California Building Code - Section 114 “Violations” or California Residential Code - Section R113 “Violations”. The Building Official may cause the violator to be prosecuted and issue an Administrative Citation without first having to issue a Notice of Violation.

J101.4 Protection of Utilities. The owner of any property on which grading has been performed, and which requires a grading permit under Section J103, shall be responsible for the prevention of damage to any public utilities or services.

J101.5 Protection of Adjacent Property. The owner of any property on which grading, has been performed and which requires a grading permit under Section J103 is responsible for the prevention of damage to adjacent property and no person shall excavate on land sufficiently close to the property line to endanger any adjoining public street, sidewalk, alley, or other public or private property without supporting and protecting such property from settling, cracking or other damage which might result. Special precautions approved by the Building Official shall be made to prevent imported or exported materials from being deposited on the adjacent public way and/or drainage

courses.

J101.6 Storm Water Control Measures. The owner of any property on which grading, has been performed shall put into effect and maintain all precautionary measures necessary to protect adjacent water courses and public or private property from damage by erosion, flooding, and deposition of mud, debris, and construction-related pollutants originating from the site during grading and related construction activities as required in Chapter 22.85 and/or any special conditions imposed on a project as a result of the issuance of a discretionary permit by the City.

J101.7 Maintenance of Protective Devices. The owner of any property on which grading has been performed pursuant to a permit issued under the provisions of this code, or any other person or agent in control of such property, shall maintain in good condition and repair all drainage structures and other protective devices when they are shown on the grading plans filed with the application for grading permit and approved as a condition precedent to the issuance of such permit.

J101.8 Conditions of Approval. In granting any permit under this code, the Building Official may include such conditions as may be reasonably necessary to prevent creation of a nuisance or hazard to public or private property. Such conditions may include, but shall not be limited to:

1. Improvement of any existing grading to comply with the standards of this code.
2. Requirements for fencing of excavations or fills which may otherwise be hazardous.
3. Storm water pollution prevention control measures beyond those required by Section J101.6 of this Appendix J.

SECTION J102 DEFINITIONS

J102.1 Definitions. For the purposes of this appendix chapter, the terms, phrases and words listed in this section and their derivatives shall have the indicated meanings.

APPROVAL shall mean that the proposed work or completed work conforms to this chapter to the satisfaction of the Building Official.

AS-GRADED is the extent of surface conditions on completion of the approved grading project.

BEDROCK is in-place solid rock that is the relatively solid, undisturbed rock in place either at the ground surface or beneath superficial deposits of alluvium, colluvium and/or soil.

BENCH is a relatively level step excavated into earth material on which fill is to be placed.

BEST MANAGEMENT PRACTICE (BMP) is a storm water pollution mitigation measure which is required to be employed in order to comply with the requirements of the NPDES permit issued to the City of Santa Barbara by the California Regional Water Quality Control Board.

BORROW is earth material acquired from an off-site location for use in grading on a site.

CIVIL ENGINEER is a professional engineer registered in the state to practice in the field of civil works.

CIVIL ENGINEERING is the application of the knowledge of the forces of nature, principles of mechanics and the properties of materials to the evaluation, design and construction of civil works.

COMPACTION the densification of a fill by mechanical means.

CUT See Excavation.

DESILTING BASINS are physical structures, constructed to allow the removal of sediments from surface water runoff.

DESIGN ENGINEER shall mean the civil engineer responsible for the preparation of the grading plans for the site grading work.

DOWN DRAIN a device for collecting water from a swale or ditch located on or above a slope, and safely delivering it to an approved drainage facility

EARTH MATERIAL is any rock, natural soil or fill or any combination thereof.

ENGINEERING GEOLOGIST is a geologist experienced and knowledgeable in engineering geology. Shall mean a person holding a valid certificate of registration as a geologist in the specialty of engineering geology issued by the State of California under the applicable provisions of the Geologist and Geophysicist Act of the Business and Professions Code.

ENGINEERING GEOLOGY is the application of geologic knowledge and principles in the investigation and evaluation of naturally occurring rock and soil for use in the design of civil works.

EROSION is the wearing away of the ground surface as a result of the movement of wind, water or ice.

EROSION/SEDIMENTATION CONTROL PLAN (ESC) is a site drawing with details, notes, and related documents that identify the measures taken by the permittee to (1) control construction-related erosion and prevent construction-related sediment and pollutants from being carried offsite by storm water, and (2) prevent construction-related non-storm water discharges from entering the storm drain system that complies with the latest version of the Building & Safety Division's ESC Policy.

EXCAVATION is the removal of earth material by artificial means, also referred to as a cut.

FIELD ENGINEER shall mean the civil engineer responsible for performing the functions as set forth in Section J105.4.

FILL is the deposition of earth materials by artificial means.

GEOTECHNICAL ENGINEER See “soils engineer.”

GEOTECHNICAL HAZARD is an adverse condition due to landslide, settlement, and/or slippage. These hazards include loose debris, slope wash, and the potential for mud flows from natural or graded slopes.

GRADE is the vertical location of the ground surface.

GRADE, EXISTING is the grade prior to grading.

GRADE, FINISHED is the final grade of the site that conforms to the approved plan.

GRADE, ROUGH is the stage at which the grade approximately conforms to the approved plan.

GRADING is an excavation or fill or combination thereof.

KEY is a compacted fill placed in a trench excavated in earth material beneath the toe of a slope.

LANDSCAPE ARCHITECT shall mean a person who holds a certificate to practice landscape architecture in the State of California under the applicable landscape architecture provisions of Division 3, Chapter 3.5 of the Business and Professions Code.

LINE shall refer to horizontal location of the ground surface.

NATURAL GRADE is the vertical location of the ground surface prior to any excavation or fill.

PRIVATE SEWAGE DISPOSAL SYSTEM is a septic tank with effluent discharging into a subsurface disposal field, into one or more seepage pits or into a combination of subsurface disposal field and seepage pit or of such other facilities as may be permitted.

PROJECT CONSULTANTS shall mean professional consultants required by this code which may consist of the design engineer, field engineer, soils engineer, engineering geologist, and architect as applicable to this chapter.

PROFESSIONAL INSPECTION is the inspection required by this code to be performed by the civil engineer, soils engineer or engineering geologist. Such inspections include those performed by persons supervised by such engineers or geologists and shall

be sufficient to form an opinion relating to the conduct of the work.

SITE is any lot or parcel of land or contiguous combination thereof, under the same ownership, where grading is performed or permitted.

SLOPE is an inclined ground surface the inclination of which is expressed as a ratio of horizontal distance to vertical distance.

SOIL is naturally occurring superficial deposits overlying bedrock.

SOILS ENGINEER (GEOTECHNICAL ENGINEER) is an engineer experienced and knowledgeable in the practice of soils (geotechnical) engineering.

SOILS ENGINEERING (GEOTECHNICAL ENGINEERING) is the application of the principals of soil mechanics in the investigation, evaluation and design of civil works involving the use of earth materials and the inspection or testing of construction thereof.

STORM DRAIN SYSTEM is a conveyance or system of conveyances, including roads with drainage systems, municipal streets, catch basins, curbs, gutters, pipes, ditches and man-made channels, designed or used for collecting, dissipating, or conveying storm water.

SURFACE DRAINAGE shall refer to flows over the ground surface.

SOIL TESTING AGENCY is an agency regularly engaged in the testing of soils and rock under the direction of a civil engineer experienced in soil testing.

TERRACE a relatively level step constructed in the face of a graded slope for drainage and maintenance purposes.

SECTION J103 PERMITS REQUIRED

J103.1 Permits required. Except as exempted in Section J103.2, no grading shall be performed without first having obtained a permit therefore from the Building Official. A grading permit does not include the construction of retaining walls or other structures. A separate permit shall be obtained for each site and may cover both excavations and fills. Any Engineered Grading as described in Section J104 shall be performed by a contractor licensed by the State of California to perform the work described herein. Regular grading less than 5,000 cubic yards may require a licensed contractor if the Building Official determines that special conditions or hazards exist.

J103.2 Exemptions. A grading permit shall not be required for the following:

1. When approved by the Building Official, grading in an isolated, self-contained area, provided there is no danger to the public, and that such grading will not adversely affect adjoining properties.
2. Excavation for the construction of a structure permitted under this code.

3. Cemetery graves.

4. Excavations for wells, or trenches for utilities.

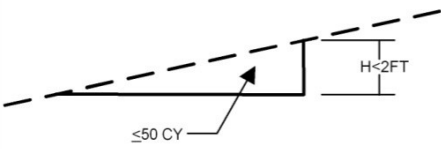
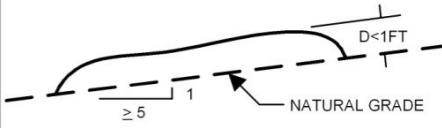
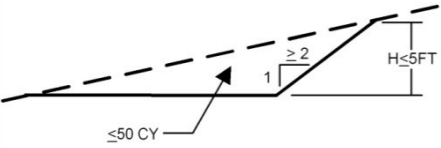
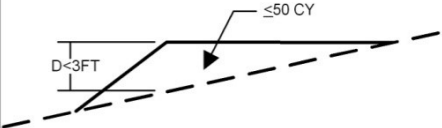
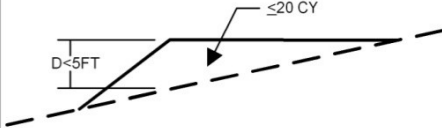
5. Exploratory excavations performed under the direction of a Soils Engineer or Engineering Geologist. This shall not exempt grading of access roads or pads created for exploratory excavations. Exploratory excavations must be restored to existing conditions, unless approved by the Building Official.

6. An excavation that is not within a known landslide area and is less than 50 cubic yards (38.3 m³) and complies with one of the following conditions:

- a) Is less than 2 feet (610 mm) in depth, or
- b) Does not create a cut slope greater than 5 feet (1524 mm) measured vertically upward from the cut surface to the surface of the natural grade and is not steeper than 2 units horizontal to 1 unit vertical (50% slope).

7. A fill not intended to support a structure and that is not within a known landslide area and which does not obstruct a drainage course and complies with one of the following conditions:

- a) is less than 1 foot (305 mm) in depth and is placed on natural terrain with a slope flatter than 5 units horizontal to 1 unit vertical in (20% slope),
- b) is less than 3 feet (914 mm) in depth at its deepest point measured vertically upward from natural grade to the surface of the fill, and does not exceed 50 cubic yards and creates a fill slope no steeper than 2 units horizontal to 1 unit vertical (50% slope), or
- c) is less than 5 feet (1524 mm) in depth at its deepest point measured vertically upward from natural grade to the surface of the fill, and does not exceed 20 cubic yards and creates a fill slope no steeper than 2 units horizontal to 1 unit vertical (50% slope).

EXCAVATIONS		FILLS	
		- NOT INTENDED TO SUPPORT STRUCTURES - DO NOT OBSTRUCT A DRAINAGE COURSE	
AN EXCAVATION WHICH IS LESS THAN 2 FT IN DEPTH AND DOES NOT EXCEED 50CY		FILL PLACED ON NATURAL GRADE NOT STEEPER THAN 5:1 AND LESS THAN 1FT DEEP	
AN EXCAVATION WHICH CREATES A CUT SLOPE NOT GREATER THAN 5FT IN HEIGHT, NOT STEEPER THAN 2:1, AND DOES NOT EXCEED 50CY		FILL LESS THAN 3FT DEEP AT ITS DEEPEST POINT THAT DOES NOT EXCEED 50CY	
		FILL LESS THAN 5FT DEEP AT ITS DEEPEST POINT THAT DOES NOT EXCEED 20CY	

8. Exemption from the permit requirements of this appendix shall not be deemed to grant authorization for any work to be done in any manner in violation of the provisions of this code or any other laws or ordinances of this jurisdiction.

J103.3 Unpermitted Grading. A person shall not own, use, occupy or maintain any site containing unpermitted grading. For the purposes of this Code, unpermitted grading shall be defined as any grading that was performed, at any point in time, without the required permit(s) having first been obtained from the Building Official, pursuant to Section 103.1.

J103.4 Availability of Permit at Site. No person shall perform any grading for which a permit is required under this chapter unless a copy of the grading permit and approved grading plans is in the possession of a responsible person and available at the site.

J103.5 Grading Plan Review, Inspection and Permit Fees. Fees shall be assessed in accordance with the provisions set forth in the City of Santa Barbara's most currently adopted fee schedule.

J103.6 Grading Security. The Building Official may require a security in such form and amounts as may be deemed necessary to ensure that the work, if not completed in accordance with the approved plans and specifications, will be corrected to eliminate hazardous conditions. If required, a permit shall not be issued for grading unless the owner posts with the Building Official a security in one of the following forms:

1. A bond furnished by a corporate surety authorized to do business in this state.
2. A cash bond.
3. Savings and loan certificates or shares deposited and assigned to the City of Santa Barbara.

4. An instrument of credit from a financial institution subject to regulation by the State or Federal government and pledging that the funds necessary to carry out the grading are on deposit and guaranteed for payment, or a letter of credit issued by such a financial institution.

5. Where unusual conditions or special hazards exist, the Building Official may require security for grading involving less than 1,000 cubic yards (764.6 m³). Security required by this Section may include incidental off-site grading on property contiguous with the site to be developed, provided written consent of the owner of such contiguous property is filed with the Building Official.

6. The Building Official may waive the requirements for a security for:

- a) Grading being done by or for a governmental agency.
- b) Grading necessary to remove a geotechnical hazard, where such work is covered by an agreement and security posted pursuant to the provisions of the City's "Subdivision Ordinance".
- c) Minor grading on a site, not exceeding a slope of 3 horizontal to 1 vertical, provided such grading as determined by the Building Official will not affect drainage from or to adjacent properties.
- d) Filling of holes or depressions, provided such grading will not affect the drainage from or to adjacent properties, or affect a rare, threatened or endangered species or its habitat, or other sensitive habitat.

J103.6.1 Amount of Security. The amount of security shall be based on the number of cubic yards of material in either excavation or fill, whichever is greater, plus the cost of all drainage or other protective devices or work necessary to eliminate geotechnical hazards. That portion of the security valuation based on the volume of material in either excavation or fill shall be computed as follows:

- 1. 100,000 cubic yards or less - 50 percent of the estimated cost of grading work.
- 2. Over 100,000 cubic yards - 50 percent of the cost of the first 100,000 cubic yards plus 25 percent of the estimated cost of that portion in excess of 100,000 cubic yards.
- 3. When the rough grading has been completed in conformance with the requirements of this code, the Building Official may at his or her discretion consent to a proportionate reduction of the security to an amount estimated to be adequate to ensure completion of the grading work, site development or planting remaining to be performed. The costs referred to in this section shall be as estimated by the Building Official.

J103.6.2 Conditions. All security shall include the conditions that the principal shall:

- 1. Comply with all of the provisions of this code, applicable laws, and ordinances;
- 2. Comply with all of the terms and conditions of the grading permit; and

3. Complete all of the work authorized by the permit.

J103.6.3 Term of Security. The term of each security shall begin upon the filing thereof with the Building Official and the security shall remain in effect until the work authorized by the grading permit is completed and approved by the Building Official.

J103.6.4 Default Procedures. In the event the owner or the owner's agent shall fail to complete the work or fail to comply with all terms and conditions of the grading permit, it shall be deemed a default has occurred. The Building Official shall give notice thereof to the principal and security or financial institution on the grading permit security, or to the owner in the case of a cash deposit or assignment, and may order the work required to complete the grading in conformance with the requirements of this code be performed. The surety or financial institution executing the security shall continue to be firmly bound under an obligation up to the full amount of the security, for the payment of all necessary costs and expenses that may be incurred by the Building Official in causing any and all such required work to be done. In the case of a cash deposit or assignment, the unused portion of such deposit or funds assigned shall be returned or reassigned to the person making said deposit or assignment.

J103.6.5 Right of Entry. The Building Official or the authorized representative of the surety company or financial institution shall have access to the premises described in the permit for the purpose of inspecting the work.

In the event of default in the performance of any term or condition of the permit, the surety or financial institution or the Building Official, or any person employed or engaged in the behalf of any of these parties, shall have the right to go upon the premises to perform the required work.

The owner or any other person who interferes with or obstructs the ingress to or egress from any such premises, of any authorized representative of the surety or financial institution or of the City of Santa Barbara engaged in the correction or completion of the work for which a grading permit has been issued, after a default has occurred in the performance of the terms or conditions thereof, is guilty of a misdemeanor.

SECTION J104 PERMIT APPLICATION AND SUBMITTALS

J104.1 Submittal requirements. In addition to the provisions of Sections J106 and J107, the applicant shall state the estimated quantities of excavation and fill.

J104.2 Site plan requirements. In addition to the provisions of Section J106, a grading plan shall show the existing grade and finished grade in contour intervals of sufficient clarity to indicate the nature and extent of the work and show in detail that it complies with the requirements of this code. The plans shall show the existing grade on adjoining properties in sufficient detail to identify how grade changes will conform to the requirements of this code.

J104.2.1 Grading Designation. Grading in excess of 5,000 cubic yards or for the support of a structure shall be performed in accordance with the approved grading plan prepared by

a civil engineer, and shall be designated as “engineered grading.” Grading involving less than 5,000 cubic yards (3825 m³) shall be designated “regular grading” unless the permittee chooses to have the grading performed as engineered grading, or the Building Official determines that special conditions or unusual hazards exist, in which case grading shall conform to the requirements for engineered grading.

J104.2.2 Regular Grading Requirements. In addition to the provisions of Section J106 and Section J104.2, an application for a regular grading permit shall be accompanied by three sets of plans in sufficient clarity to indicate the nature and extent of the work. The plans and specifications shall be prepared and signed by an individual licensed by the state to prepare such plans or specifications.

Plans shall be drawn to scale and shall be of sufficient clarity to indicate the nature and extent of the work proposed and show in detail that they will conform to the provisions of this code and all relevant laws, ordinances, rules and regulations. Each sheet of each set of plans shall give location of the work, the name and address of the owner, and the person by whom they were prepared.

The plans shall include, but shall not be limited to, the following information:

1. A vicinity map showing the proposed site.
2. Property limits and accurate contours of existing ground and details of terrain and area drainage.
3. Limiting dimensions, elevations or finish contours to be achieved by the grading, and proposed drainage channels and related construction.
4. Location of any buildings or structures on the property where the work is to be performed and the location of any buildings or structures on land of adjacent owners that are within 100 feet of the property in any direction.
5. Erosion/Sedimentation, all relevant storm water pollution prevention measures, and dust control provisions are required to be shown on the grading plan in accordance with the requirements of Sections J110, J111 & 112 of this appendix.

J104.2.3 Engineered Grading Requirements. In addition to the provisions of Sections J104.2 and J106, an application for an engineered grading permit shall be accompanied by specifications and supporting data consisting of a soils engineering report and engineering geology report. The plans and specifications shall be prepared and signed by an individual licensed by the state to prepare such plans or specifications when required by the Building Official.

Specifications shall contain information covering construction and material requirements.

Plans shall be drawn to scale and shall be of sufficient clarity to indicate the nature and extent of the work proposed and show in detail that they will conform to the provisions of this code and all relevant laws, ordinances, rules and regulations. Each plan drawing shall give location of the work, the name and address of the owner, and the person by whom they were prepared.

In addition to the plans required for Regular Grading Permits, the plans for Engineered Grading Permits shall include, but shall not be limited to, the following information:

6. Detailed plans of all surface and subsurface drainage devices, walls, cribbing, dams and other protective devices to be constructed with, or as a part of, the proposed work, together with a map showing the drainage area and the estimated runoff of the area served by any drains.
7. Recommendations included in the soils engineering report and the engineering geology report shall be incorporated in the grading plans or specifications. When approved by the Building Official, specific recommendations contained in the soils engineering report and the engineering geology report, which are applicable to grading, may be included by reference.
8. The dates of the soils engineering and engineering geology reports together with the names, addresses and phone numbers of the firms or individuals who prepared the reports.
9. A statement of the quantities of material to be excavated and/or filled and the amount of such material to be imported to, or exported from the site.
10. A statement of the estimated starting and completion dates for work covered by the permit.
11. A statement signed by the owner acknowledging that a field engineer, soils engineer and engineering geologist, when appropriate, will be employed to perform the services required by this code, whenever approval of the plans and issuance of the permit are to be based on the condition that such professional persons be so employed.
12. Erosion/Sedimentation, Storm water, and dust control provisions are required to be shown on the grading plan in accordance with the requirement of sections J110, J111 & J112 of this appendix.
13. A drainage plan for that portion of a lot or parcel to be utilized as a building site (building pad), including elevations of floors with respect to finish site grade and locations of proposed stoops, slabs and fences that may affect drainage.
14. Location and type of any proposed private sewage disposal system.

15. Location of existing utilities and drainage facilities and recorded easements. (public and private).

16. Location of all flood zones as designated and defined in Title 44, Code of Federal Regulations.

J104.3 Soils Engineering Report. The soils engineering report required by Section J104.2.2 shall include data regarding the nature, distribution and strength of existing soils, conclusions and recommendations for grading procedures and design criteria for corrective measures, including buttress fills, when necessary, and opinion on adequacy for the intended use of sites to be developed by the proposed grading as affected by soils engineering factors, including the stability of slopes. All reports shall conform with the requirements of this Code and shall be subject to review by the Building Official. Supplemental reports and data may be required as the Building Official may deem necessary. Recommendations included in the reports and approved by the Building Official shall be incorporated in the grading plan or specifications.

J104.4 Engineering Geology Report. The engineering geology report required by Section J104.2.2 shall include an adequate description of the geology of the site, conclusions and recommendations regarding the effect of geologic conditions on the proposed development, and opinion on the adequacy for the intended use of sites to be developed by the proposed grading, as affected by geologic factors. The engineering geology report shall include a geologic map and cross sections utilizing the most recent grading plan as a base. All reports shall conform with the requirements of this Code and shall be subject to review by the Building Official. Supplemental reports and data may be required as the Building Official may deem necessary. Recommendations included in the reports and approved by the Building Official shall be incorporated in the grading plan or specifications.

Exception: A soils engineering or engineering geology report is not required where the Building Official determines that the nature of the work applied for is such that a report is not necessary.

J104.5 Liquefaction study. A geotechnical investigation may be required when the proposed work is a "Project" as defined in California Public Resources Code section 2693, and is located in an area designated as a "Seismic Hazard Zone" as defined in Title 14, Section 3722 of California Code of Regulations on Seismic Hazard Zone Maps issued by the State Geologist under Public Resources Code section 2696.

Exception: A liquefaction study is not required where the Building Official determines from established local data that the liquefaction potential is low.

SECTION J105 INSPECTION

J105.1 General. Grading inspections shall be governed by Section J105 of this Appendix J as indicated herein and by the Santa Barbara Municipal Code 22.85 (Erosion and Sedimentation Control Standards for Construction) and 22.87 (Storm Water Management). Grading operations for which a permit is required shall be subject to inspection by the Building Official, City Creeks Division and/or the City Engineer depending upon the hazards that the

grading presents. Private professional inspection of grading operations shall be provided by a licensed Civil Engineer, Soils Engineer and/or the Engineering Geologist retained to provide such services in accordance with this Section for engineered grading and as required by the Building Official.

All field inspections of grading that are recommended by the Project Engineer or required by the Building Official shall be specifically called out on the cover sheet of the plans where the other special inspections are noted.

J105.2 Special and Supplemental inspections. The special inspection requirements of Section 1704 shall apply to work performed under a grading permit where required by the Building Official. In addition to the called inspections specified in Section J105, the Building Official may make such other inspections as may be deemed necessary to determine that the work is being performed in conformance with the requirements of this code. Investigations and reports by an approved soil testing agency, Soils Engineer and/or Engineering Geologist, and Field Engineer may be required. Inspection reports shall be provided when requested by the Building Official.

Inspection of drainage devices by the Field Engineer in accordance with this section may be required when the Building Official determines the drainage devices are necessary for the protection of the structures in accordance with this code.

J105.3 Field Engineer Inspections. When required, the field engineer shall provide professional inspection within such engineer's area of technical specialty, oversee and coordinate all field surveys, set grade stakes, and provide site inspections during grading operations to ensure the site is graded in accordance with the approved grading plan and the appropriate requirements of this code. During site grading, and at the completion of both rough grading and final grading, the field engineer shall submit statements and reports required by Sections J105.11 and J105.12. If revised grading plans are required during the course of the work, they shall be prepared by a Civil Engineer and submitted to the Building Official for review and approval by the Building Official.

J105.4 Soils Engineer Inspections. When required, the Soils Engineer shall provide professional inspection within such engineer's area of technical specialty, which shall include observation during grading and testing for required compaction. The Soils Engineer shall provide sufficient observation during the preparation of the natural ground and placement and compaction of the fill to verify that such work is being performed in accordance with the conditions of the approved plan and the appropriate requirements of this chapter. Revised recommendations relating to conditions differing from the approved soils engineering and engineering geology reports shall be submitted to the permittee, the Building Official and the Field Engineer.

J105.5 Engineering Geologist Inspection. When required, the Engineering Geologist shall provide professional inspection within such engineer's area of technical

specialty, which shall include professional inspection of the bedrock excavation to determine if conditions encountered are in conformance with the approved report. Revised recommendations relating to conditions differing from the approved engineering geology report shall be submitted to the soils engineer.

J105.6 Permittee. The permittee shall be responsible for the work to be performed in accordance with the approved plans and specifications and in conformance with the provisions of this code. The permittee shall engage project consultants, if required, to provide professional inspections on a timely basis. The permittee shall act as a coordinator between the project consultants, the contractor and the Building Official. In the event of changed conditions, the permittee shall be responsible for informing the Building Official of such change and shall provide revised plans for approval.

J105.7 Building Official Inspections. The Building Official shall be called to inspect the project site at the stages of work shown below. Failure to obtain City inspection approval before covering the work may result in any or all of the following: Issuance of an administrative citations without first having to issue a notice of violation, re-inspection fees, and/or excavation/uncovering of the uninspected work.

1. **Pregrade.** Before any construction or grading activities occur at the site; the permittee shall schedule a pre-grade inspection with the Building Official. The permittee is responsible for coordinating that all project consultants are present at the pre-grade inspection. All pre-grading storm water pollution prevention and erosion/sedimentation measures must be in place and ready for inspection.

2. **Initial.** When the site has been cleared of vegetation and unapproved fill and it has been scarified, benched or otherwise prepared for fill. No fill shall have been placed prior to this inspection. All storm water pollution prevention and erosion/sedimentation measures shall be updated and installed.

3. **Rough.** When approximate final elevations have been established; drainage terraces, swales and other drainage devices necessary for the protection of the building sites from flooding are installed; berms installed at the top of the slopes; and the statements required by Section J105.12 have been received.

4. **Interim.** The permit applicant shall request inspections from the City prior to covering elements of the permanent (post-construction) storm water pollution prevention system(s).

5. **Final.** When grading has been completed; all drainage devices necessary to drain the building pad and project site are installed; slope planting established, irrigation systems installed; and the as-graded plans and required statements and reports have been submitted and stamped approved by the Building Official.

J105.8 Notification of Noncompliance. If, in the course of fulfilling their respective duties under this chapter, the Field Engineer, the Soils Engineer or the Engineering Geologist

finds that the work is not being done in conformance with this chapter or the approved grading plans, the discrepancies and corrective measures which should be taken shall be reported immediately in writing to the permittee and to the Building Official.

J105.9 Transfer of Responsibility. If the Field Engineer, the Soils Engineer, or the Engineering Geologist of record is changed during grading, the work shall be stopped until the replacement has agreed in writing to accept their responsibility within the area of technical competence for approval upon completion of the work. It shall be the duty of the permittee to notify the Building Official in writing of such change prior to the recommencement of such grading.

J105.10 Non-inspected grading. No person shall own, use, occupy or maintain any non-inspected grading. For the purposes of this code, non-inspected grading shall be defined as any grading for which a grading permit was first obtained, pursuant to Section J103, supra, but which has progressed beyond any point requiring inspection and approval by the Building Official without such inspection and approval having been obtained.

J105.11 Routine Field Inspections and Reports. Unless waived by the Building Official, routine inspection reports shall be provided by the Field Engineer for all engineered grading projects. The Field Engineer shall file these reports, with the Building Official as follows:

1. Bi-weekly during all times when grading of 400 cubic yards or more per week is active on the site;
2. Monthly, at all other times; and
3. At any time when requested in writing by the Building Official.

Such reports shall certify to the Building Official that the Field Engineer has inspected the grading site and related activities and has found them in compliance with the approved grading plans, the building code, grading permit conditions, storm water pollution prevention measures, and other applicable ordinances and requirements.

J105.12 Completion of work. Upon completion of the rough grading work and at the final completion of the work, the following reports and drawings and supplements thereto are required to be delivered to the Building Official before final inspection approval:

1. An as-built grading plan prepared by the Field Engineer retained to provide such services in accordance with Section J105.3 showing all plan revisions as approved by the Building Official. This shall include original ground surface elevations, as-graded ground surface elevations, lot drainage patterns, and the locations and elevations of surface drainage facilities, all installed components of post-construction storm water pollution prevention systems, and the outlets of subsurface drains. Post-construction storm water pollution prevention system components can also be certified by the project Qualified Storm Water Developer (QSD) and forwarded to the City by the Field Engineer. As-constructed locations, elevations and details of subsurface drains shall be shown as

reported by the soils engineer.

2. The Field Engineer shall state in a report to the Building Official, that to the best of their knowledge, the grading work was done in accordance with the final, City approved, grading plan.

3. A report shall be prepared by the Soils Engineer, retained to provide such services in accordance with Section J105.4. That report shall include:

- a. Locations and elevations of field density tests
- b. Summaries of field and laboratory tests
- c. Other substantiating data
- d. Comments on any changes made during grading and their effect on the recommendations made in the approved soils engineering investigation report
- e. A statement that, to the best of their knowledge, the work within their area of responsibilities is in accordance with the approved soils engineering report and applicable provisions of this chapter. The report shall contain a finding regarding the safety of the completed grading and any proposed structures subject to a known landslide, settlement, or slippage hazard.

4. A report shall be prepared by the Engineering Geologist, retained to provide such services in accordance with Section J105.5. That report shall include:

- a. A final description of the geology of the site
- b. Any new information disclosed during the grading and the effect of same on recommendations incorporated in the approved grading plan and their anticipated effect on any post-construction storm water pollution prevention system(s).
- c. A statement that, to the best of their knowledge, the grading work is in accordance with the approved engineering geologist report, applicable provisions of this chapter, and any City approved as-built plans and specifications.

J105.13 Notification of completion. The permittee shall notify the Building Official when the grading operation is ready for final inspection. Final approval shall not be given until all work, including installation of all drainage facilities and their protective devices, and all erosion-control measures have been completed in accordance with the final approved grading plan, and the required reports have been submitted and approved.

SECTION J106 EXCAVATIONS

J106.1 General. Unless otherwise recommended in the approved soils engineering or engineering geology report, cuts shall conform to the provisions of this section.

In the absence of an approved soils engineering or engineering geology report, these provisions may be waived, as approved by the Building Official, for minor cuts not

intended to support structures nor subject to a surcharge.

J106.2 Maximum slope. The slope of cut surfaces shall be no steeper than is safe for the intended use and shall be no steeper than 2 units horizontal in 1 unit vertical (50% slope) unless the permittee furnishes a soils engineering or an engineering geology report, or both, stating that the site has been investigated and giving an opinion that a cut at a steeper slope will be stable and not create a hazard to public or private property in conformance with the requirements of Section J111. The Building Official may require the excavation to be made with a cut face flatter in slope than 2 units horizontal to 1 unit vertical if the Building Official finds it necessary for stability and safety.

J106.3 Slope Surface Protection. All slopes must be stabilized against surface erosion. Stabilization may be accomplished through the application of erosion control blankets, soil stabilizers or other means as approved by the Building Official.

J106.4 Drainage. Drainage, including drainage terraces and overflow protection, shall be provided as required by Section J109.

SECTION J107 FILLS

J107.1 General. Unless otherwise recommended in the approved soils engineering report, fills shall conform to the provisions of this section. In the absence of an approved soils engineering report and if approved by the Building Official, these provisions may be waived for minor fills not intended to support structures.

J107.2 Preparation of Ground. Fill slopes shall not be constructed on natural slopes steeper than 2 units horizontal in 1 unit vertical (50% slope). The ground surface shall be prepared to receive fill by removing vegetation, non-complying fill, topsoil and other unsuitable materials scarifying to provide a bond with the new fill and, where slopes are steeper than 5 units horizontal in 1 unit vertical (20% slope) and the height is greater than 5 feet, benching into sound bedrock or other competent material shall be provided as a minimum in accordance with Figure J107.2 or as determined by the soils engineer. The bench under the toe of a fill on a slope steeper than 5 units horizontal in 1 unit vertical (20% slope) shall be at least 10 feet wide. The area beyond the toe of fill shall be sloped for sheet overflow or a paved drain shall be provided. When fill is to be placed over a cut, the bench under the toe of fill shall be at least 10 feet wide but the cut shall be made before placing the fill and acceptance by the Soils Engineer or Engineering Geologist or both as a suitable foundation for fill.

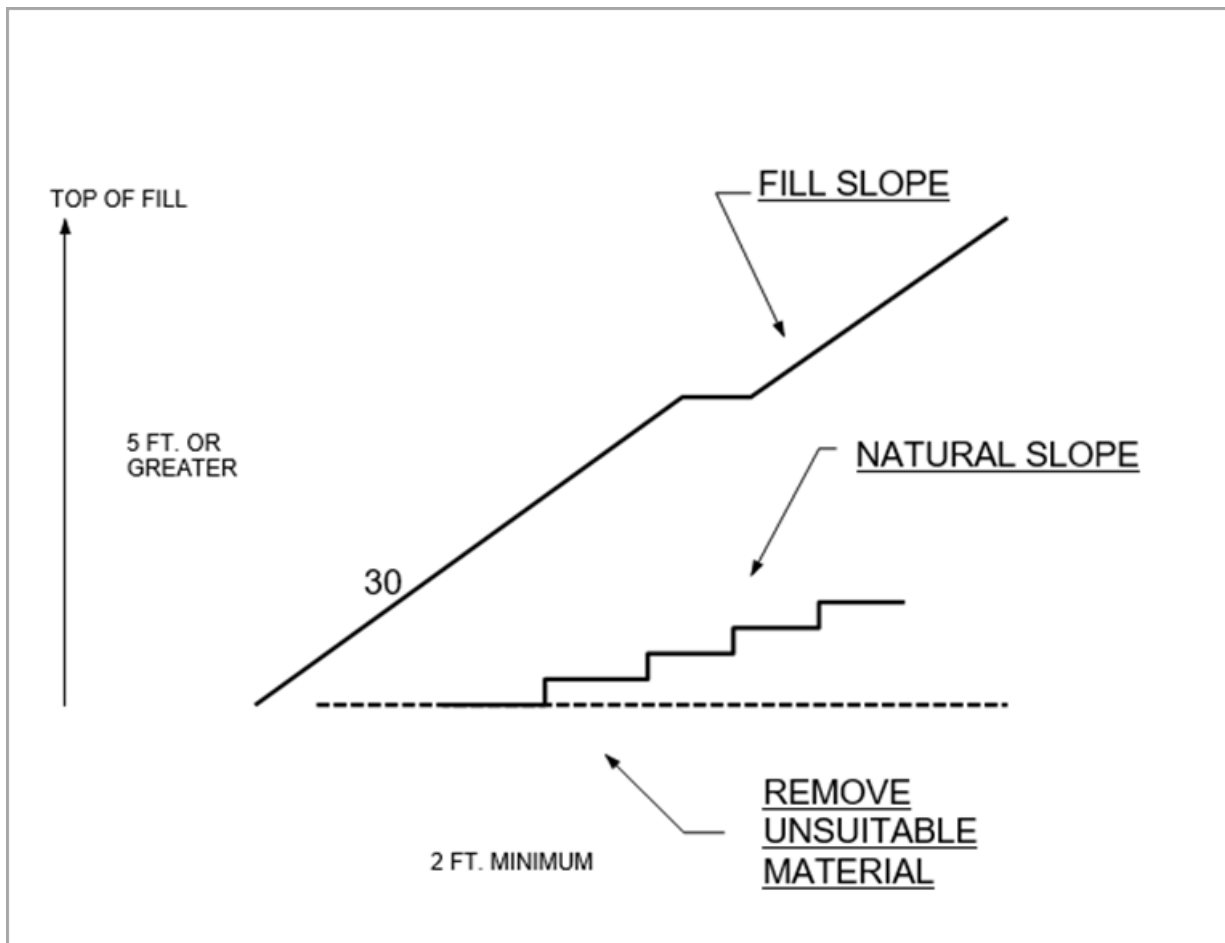


FIGURE J107.2 BENCHING DETAILS

J107.3 Subdrains. Except where recommended by the Soils Engineer or Engineering Geologist as not being necessary, subdrains shall be provided under all fills placed in natural drainage courses and in other locations where seepage is evident. Such sub-drainage systems shall be of a material and design approved by the Soils Engineer and acceptable to the Building Official, City Engineer and/or City Creeks Division Manager according to the relevant hazards present. The permittee shall provide continuous inspection during the process of subdrain installation to conform with approved plans and Engineering Geologist's and Soils Engineer's recommendation. Such inspection shall be done by the soil testing agency. The location of the subdrains shall be shown on a plan by the Soils Engineer. Excavations for the subdrains shall be inspected by the Engineering Geologist when such subdrains are included in the recommendations of the Engineering Geologist

J107.4 Fill Material. Detrimental amounts of organic material shall not be permitted in fills. Unless approved by the Building Official, no rock or similar irreducible material with a maximum dimension greater than 12 inches shall be buried or placed in fills.

EXCEPTION: The Building Official may permit placement of larger rock when the soils engineer properly devises a method of placement, and continuously inspects its placement

and approves the fill stability. The following conditions shall also apply:

1. Prior to issuance of the grading permit, potential rock disposal areas shall be delineated on the grading plan.
2. Rock sizes greater than 12 inches in maximum dimension shall be 10 feet or more below grade, measured vertically.
3. Rocks shall be placed so as to assure filling of all voids with well-graded soil.
4. The reports submitted by the soils engineer shall acknowledge the placement of the oversized material and whether the work was performed in accordance with the engineer's recommendations and the approved plans.
5. The location of oversized rock dispersal areas shall be shown on the as- built plan.

J107.5 Compaction. All fills shall be compacted to a minimum of 90 percent of maximum density. Fills shall be compacted throughout their full extent to a minimum relative compaction of 90 percent of maximum dry density within 40 feet below finished grade and 93 percent of maximum dry density deeper than 40 feet below finished grade, unless a lower relative compaction (not less than 90 percent of maximum dry density) is justified by the soils engineer. The relative compaction shall be determined by A.S.T.M. soil compaction test D1557 where applicable. Where not applicable, a test acceptable to the Building Official shall be used, unless the owner furnishes a soils engineering report conforming with the requirements of Section J104.3, stating that the site has been investigated and giving an opinion that a fill at a steeper slope will be stable and not create a hazard to public or private property. Substantiating calculations and supporting data may be required where the Building Official determines that such information is necessary to verify the stability and safety of the proposed slope. The Building Official may require the fill slope be constructed with a face flatter in slope than two horizontal to one vertical if the Building Official finds it necessary for stability and safety.

Field density shall be determined by the Sand Cone Method unless another method is specifically required by the Civil Engineer of record or the City official responsible for public safety.

Fill slopes steeper than 2 units horizontal to 1 unit vertical shall be constructed by the placement of soil a sufficient distance beyond the proposed finish slope to allow compaction equipment to operate at the outer surface limits of the final slope surface. The excess fill shall be removed prior to completion or rough grading. Other construction procedures may be utilized when it is first shown to the satisfaction of the Building Official that the angle of slope, construction method and other factors will accomplish the intent of this Section.

J107.4 Maximum Slope. The slope of fill surfaces shall be no steeper than is safe for the intended use. Fill slopes shall be no steeper than 2 units horizontal in 1 unit vertical (50% slope).

J107.5 Slopes to Receive Fill. Where fill is to be placed above the top of an existing slope steeper than 3 units horizontal to 1 unit vertical, the toe of the fill shall be set back from the top

edge of the slope a minimum distance of 6 feet measured horizontally or such other distance as may be specifically recommended by a Soil Engineer or Engineering Geologist and approved by the Building Official.

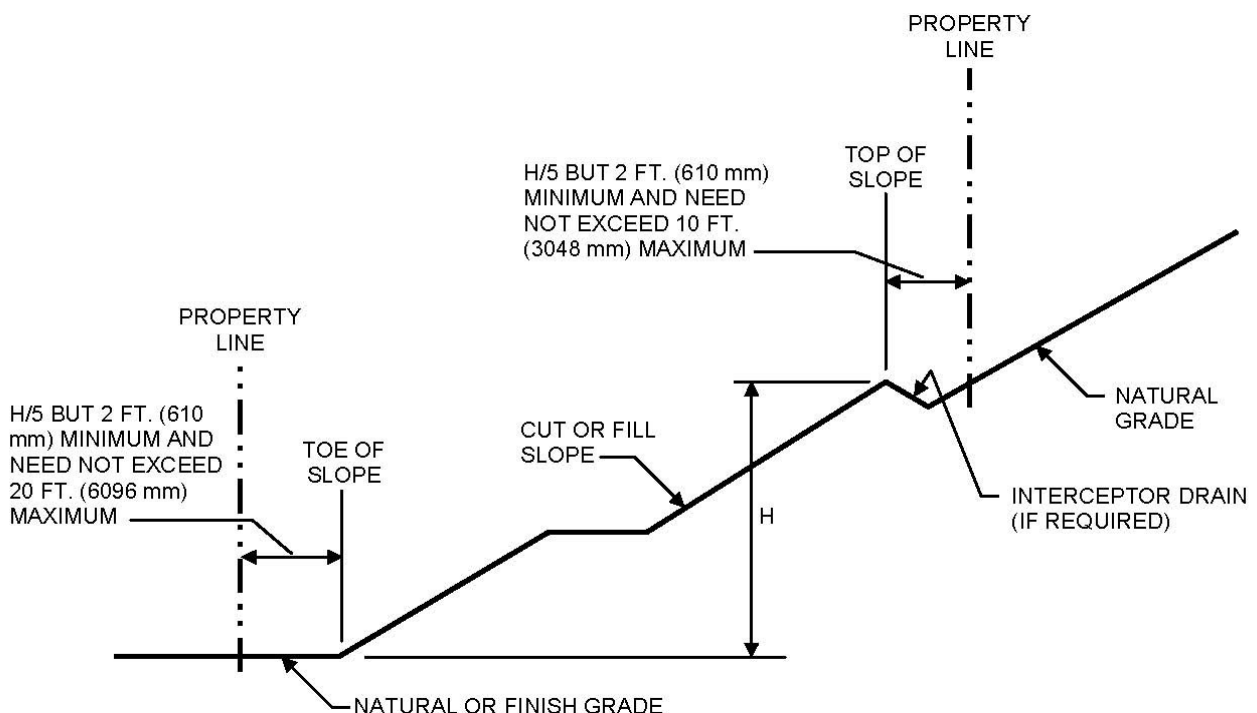
J107.6 Inspection of Fill. For engineered grading, the Soils Engineer shall provide sufficient inspections during the preparation of the natural ground and the placement and compaction of the fill to be satisfied that the work is being performed in accordance with the conditions of plan approval and the appropriate requirements of this chapter. In addition to the above, the Soils Engineer shall be present during the entire fill placement and compaction of fills that will exceed a vertical height or depth of 30 feet (9144 mm) or result in a slope surface steeper than 2 units horizontal to 1 unit vertical.

J107.6 Testing of Fills. Sufficient tests of the fill soils shall be made to determine the density thereof and to verify compliance of the soil properties with the design requirements, including soil types and shear strengths in accordance with the standards established by the Civil Engineer of record and approved by the Building Official.

SECTION J108 SETBACKS

J108.1 General. Cut and fill slopes shall be set back from the property lines in accordance with this section. Setback dimensions shall be horizontal distances measured perpendicular to the property line and shall be as shown in Figure J108.1, unless substantiating data is submitted justifying reduced setbacks.

J108.2 Top of slope. The setback at the top of a cut slope shall not be less than that shown in Figure J108.1, or than is required to accommodate any required interceptor drains, whichever is greater.



**FIGURE J108.1
DRAINAGE DIMENSIONS**

J108.3 Toe of Fill Slope. The toe of fill slope shall be made not nearer to the site boundary line than one half the height of the slope with a minimum of 2 feet (610 mm) and a maximum of 20 feet (6096 mm). Where required to protect adjacent properties at the toe of a slope from adverse effects of the grading, additional protection, approved by the Building Official, shall be included. Such protection may include but shall not be limited to:

1. Setbacks greater than those required by Figure J108.1.
2. Provisions for retaining walls or similar construction.
3. Erosion protection of the fill slopes.
4. Provision for the control of surface waters.

J108.4 Alternate Setbacks. The Building Official may approve alternate setbacks. The Building Official may require an investigation and recommendation by a qualified Civil Engineer or Geologist to demonstrate that the intent of this section has been satisfied.

SECTION J109 DRAINAGE AND TERRACING

J109.1 General. Unless otherwise recommended by a registered design professional, and approved by the Building Official, drainage facilities and terracing shall be provided in accordance with the requirements of this Section and Santa Barbara Municipal Code 22.87 (Storm Water Management).

Exception: Drainage facilities and terracing only need not be provided where the ground slope is not steeper than 3 horizontal to 1 vertical (33 percent). Compliance with Santa Barbara Municipal Code 22.87 remains applicable.

J109.2 Drainage Terraces. Drainage terraces and their drainage systems shall be designed by a licensed Civil Engineer and approved by the Building Official. Suitable access shall be provided to permit proper cleaning and maintenance. Downdrains and drainage outlets shall be of approved materials and of adequate capacity to convey the intercepted waters to the point of disposal as defined in Section J109.5.

J109.3 Interceptor drains and overflow protection. Berms, interceptor drains or other devices shall be provided at the top of cut or fill slopes to prevent surface waters from overflowing onto and damaging the face of a slope. Discharge from the drain shall not create a nuisance condition.

J109.4 Drainage across property lines. Surface drainage across property lines shall not exceed that which existed prior to grading. Excess or concentrated drainage shall be contained on site or directed to an approved drainage facility. Erosion of the ground in the area of discharge shall be prevented by installation of non-erosive down drains or other devices.

J109.5 Disposal. All drainage facilities shall be designed in accordance with J109.5 and Chapters 22.85 and 22.87 of this Code. Erosion of ground in the area of discharge shall be prevented by installation of non-erosive down drains or other devices. De-silting basins, filter barriers or other methods, as approved by the Building Official and/or the Public Works Director, shall be utilized to remove sediments from surface waters before such waters are allowed to enter streets, storm drains or natural watercourses. If the drainage device discharges onto natural ground, riprap or a similar energy dissipater may be required.

Building pads shall have a drainage gradient of 2 percent toward approved drainage facilities, a public street or drainage structure approved to receive storm waters unless waived by the Building Official. A lesser slope may be approved by the Building Official for sites graded in relatively flat terrain, or where special drainage provisions are made, when the building official finds such modification will not result in unfavorable drainage conditions.

SECTION J110 SLOPE PLANTING AND EROSION CONTROL

J110.1 General. Under no circumstances will the observable erosion of one parcel be allowed to enter the boundaries of another parcel or public way. The faces of cut and fill slopes shall be prepared and maintained to control erosion. This control shall consist of effective planting, erosion control blankets, soil stabilizers or other means as approved by the Building Official.

Exception: Erosion control measures need not be provided on cut slopes not subject to erosion due to the erosion-resistant character of the materials as determined by the project Geologist and approved by the Building Official.

SECTION J111 NATIONAL POLLUTION DISCHARGE ELIMINATION SYSTEM (NPDES) COMPLIANCE

J111.1 General. All grading plans and permits shall comply with the provisions of this section for NPDES compliance including the owner of any property on which grading has been performed and which requires a grading permit under Section J103.

J111.2 Erosion/Sedimentation Control Plan (ESCP). No grading permit shall be issued unless the plans for such work include an Erosion/Sedimentation Control Plan, that conforms to the Erosion/Sedimentation Control Policy of the City of Santa Barbara's Building & Safety Division, with details of best management practices, including desilting basins or other temporary drainage or control measures, or both, as may be necessary to control construction-related pollutants which originate from the site as a result of construction related activities. Sites which have been graded and which requires a grading permit under Section J103 are subject to penalties and fines per Section J111.4

All best management practices shall be installed before grading begins. As grading progresses, all best management practices shall be updated as necessary to prevent erosion and control constructed related pollutants from discharging from the site. All best management practices shall be maintained in good working order to the satisfaction of the Building Official unless final grading approval has been granted by the Building Official and all permanent drainage and erosion control systems, if required, are in place.

J111.4 Erosion/Sedimentation Control Plan, Effect of Noncompliance. Should the owner fail to install the best management practices required by Section J111.2, it shall be deemed that a default has occurred under the conditions of the grading permit security. There upon, the Building Official may enter the property for the purpose of installing, by City forces or by other means, the drainage, erosion control and other devices shown on the approved plans, or if there are no approved plans, as the Building Official may deem necessary to protect adjoining property from the effects of erosion, flooding, or the deposition of mud, debris or constructed related pollutants, or the Building Official may cause the owner to be prosecuted as a violator of this Code or may take both actions. The Building Official shall have the authority to issue administrative penalties pursuant to as Santa Barbara Municipal Code 1.25 upon determining that the site is non-compliant. Payment of penalty shall not relieve any persons from fully complying with the requirements of this Code in the execution

of the work.

If the best management practices for storm water pollution prevention are not installed as prescribed in Section J111.2 and approved by the Building Official, the following penalties shall be imposed:

Grading Permit Volume Penalty:

1--10,000 cubic yards (1--7645.5 m³) = \$500.00 per day per violation

10,001--100,000 cubic yards (7646.3--76455 m³) = \$750.00 per day per violation

More than 100,000 cubic yards (76455 m³) = \$1,000.00 per day per violation

NOTE: See Section J108 for inspection request requirements.

SECTION J112 DUST CONTROL

Santa Barbara County Air Pollution Control District's dust control measures identified as "Construction Impact Mitigation: PM10 Mitigation Measures" in SBCAPCD's "Scope and Content of Air Quality Sections in Environmental Documents" shall be adhered to during all ground disturbing activities.

SECTION J113 REFERENCED STANDARDS

These regulations establish minimum standards and are not intended to prevent the use of alternate materials, methods or means of conforming to such standards, provided such alternate has been approved.

The Building Official shall approve such an alternate provided he or she finds that the alternate is, for the purpose intended, at least the equivalent of that prescribed in this Code in quality, strength, effectiveness, durability and safety.

The Building Official shall require that sufficient evidence or proof be submitted to substantiate any claims regarding the alternate.

The standards listed below are recognized standards. Compliance with these standards is mandatory unless specifically exempted by the Building Official.

1. Testing.

- a) ASTM D 1557, Laboratory Characteristics Compaction of Soil Using Modified Effort
- b) ASTM D 1556, Density and Unit Weight of Soils In Place by the Sand Cone Method
- c) ASTM D 2167, Density and Unit Weight of Soils In Place by the Rubber--Balloon Method
- d) ASTM D 2937, Density of Soils in Place by the Drive--Cylinder Method
- e) ASTM D 2922, Density of Soil and Soil Aggregate In Place by Nuclear Methods
- f) ASTM D 3017, Water Content of Soil and Rock in Place by Nuclear Methods

SECTION 3. Section 22.04.025 of Chapter 22.04 of Title 22 of the Santa Barbara Municipal Code is adopted to read as follows:

22.4.25 Amendments to California Residential Code

The 2022 California Residential Code, as adopted by reference pursuant to this Chapter, is amended as set forth in this Section 22.04.025.

A. Section R105.2 is amended to read as follows:

Section R105.2 Work exempt from permit. Permits shall not be required for the following. Exemption from permit requirements of this code shall not be deemed to grant authorization for any work to be done in any manner in violation of the provisions of this code or any other laws or ordinances of this jurisdiction. (Note - For work, other than work involving, or accessory to, detached one- and two-family dwellings or townhouses, see Section 105 of the 2022 California Building Code as amended):

Building:

1. One-story detached residential accessory structures used as tool and storage sheds, playhouses, portable and fixed playground equipment, bicycle or skateboard ramps and similar uses, provided the floor area does not exceed 120 square feet and the height does not exceed 10 feet at the highest point; and further provided the structure does not encroach into required setbacks or required open yards, does not obstruct required parking, and is not served by any utilities. The combined square footage of exempt accessory structures may not exceed 200 square feet on any single parcel.

2. Residential fences and walls not over 3 ½ feet high, as measured from the lowest adjacent grade of the fence or wall.

3. Residential retaining walls, and non-retaining walls, including masonry and concrete walls, which are not over 4 feet in height as measured from the bottom of the footing to the top of the wall, and that such wall will not support a surcharge, and is not located on a slope greater than 20%.

4. Water tanks supported directly upon grade if the capacity does not exceed 5,000 gallons and the ratio of height to diameter or width does not exceed 2:1.

5. New, replaced or altered uncovered residential platforms, decks, porches, walks, patios, flatwork (other than parking areas and driveways) that are not more than 10 inches above adjacent grade, and not over any basement or story below, and not part of the means of egress from a normally occupied interior space.

6. Interior painting, papering, tiling, carpeting, counter tops and similar finish work.

7. Prefabricated swimming pools that are less than 24" deep.

8. Ground mounted radio, television and other masts or antenna or dish shaped communication reception or transmitting structures less than 3 feet in diameter, which do not extend more than 15 feet above grade and are not served by electrical circuits regulated under the National Electrical Code (NEC). Light-weight roof-mounted radio, television, and other masts or antenna or dish shaped communication reception or transmitting structures less than 2 feet in diameter, which do not extend more than 15 feet above the roof, are not served by electrical circuits regulated under the NEC, and which are not subject to design review by the Architectural Board of Review, Historic Landmarks Commission, or Single Family Design Board.

Electrical:

1. Listed cord-and-plug connected temporary decorative lighting.

2. Reinstallation of attachment plug receptacles but not the outlets therefore.

3. Replacement of branch circuit over current devices of the required capacity in the same location.

4. Electrical wiring, devices, appliances, apparatus, or equipment operating at less than 25 volts and not capable of supplying more than 50 watts of energy.

5. Minor repair work, including the replacement of lamps or the connection of approved portable electrical equipment to approved permanently installed receptacles.

Gas:

1. Portable heating, cooking or clothes drying appliance.

2. Replacement of any minor part that does not alter approval of equipment or make such equipment unsafe.

3. Portable-fuel-cell appliances that are not connected to a fixed piping system and are not interconnected to a power grid.

Mechanical:

1. Portable heating appliance.

2. Portable ventilation equipment.

3. Portable cooling unit.

4. Steam, hot- or chilled-water piping within any heating or cooling equipment regulated by this code.
5. Replacement of any minor part that does not alter approval of equipment or make such equipment unsafe.
6. Portable evaporative cooler.
7. Self-contained refrigeration system containing 10 pounds or less of refrigerant or that are actuated by motors of 1 horsepower or less.

Plumbing:

1. The stopping of leaks in drains, water, soil, waste or vent pipe, provided, however, that if any concealed trap, drain pipe, water, soil, waste or vent pipe becomes defective and it becomes necessary to remove and replace the same with the new material, such work shall be considered as new work and a permit shall be obtained and inspection made as provided in this code.
2. The clearing of stoppages or the repairing of leaks in pipes, valves or fixtures and the removal and reinstallation of water closets, provided such repairs do not involve or require the replacement or rearrangement of valves, pipes or fixtures.

B. Section R105.5 "Expiration" is amended to read as follows:

R105.5 Expiration. Unless extended by the Building Official, every permit issued shall become invalid without notice provided when the following occurs:

1. The work on the site, authorized by such permit, is not commenced within 180 days of the permit issuance date, or
2. During any period of more than 180 days after permit issuance, the work on site does not receive a City Inspection approval for any one of the inspections found in Section 110.3

Prior to the permit expiration above, when requested in writing, the Building Official may grant administrative permit extensions for circumstances out of the permit holder's control that caused the construction to stop. However, no permit will be active for more than 6 years.

C. Section R112 "Board of Appeals" is deleted and replaced with the following:

R112.1 General. Appeals of orders, decisions, or determinations made by the Building Official shall be addressed in accordance with the provisions of Section 113 of the 2022 California Building Code as amended by the City of Santa Barbara in Section 22.04.020 of this Code.

D. Section R115 "Post-Damage Assessment" is added to read as follows:

E. **R115 Post-Damage Assessment.** After a disaster, residential buildings subject to this Code shall be assessed for damage and placarded in accordance with Chapter 22.09 of this Code. Section R304.4 "Efficiency Dwelling Units" is added to read as follows:

R304.4 Efficiency Dwelling Units. Unless modified by local ordinance pursuant to Health and Safety Code Section 17958.1, efficiency dwelling units shall comply with the following:

1. The unit shall have a living room of not less than 220 square feet (20.4 m²) of floor area. An additional 100 square feet (9.3 m²) of floor area shall be provided for each occupant of such unit in excess of two.

Exception:

Notwithstanding the provisions of subsection 1 above, for projects constructed or operated by a nonprofit or governmental agency offering housing at an Affordable Housing Cost to Lower Income Households (as those terms are defined in sections 50052.5 and 50079.5 of the California Health and Safety Code), the City may permit efficiency dwelling units for occupancy by no more than two persons who qualify as either very low or low income households where the units have a minimum useable floor area, (excluding floor area in the kitchen, bathroom and closet), of not less than 150 square feet. In all other respects, such efficiency dwelling units shall conform to the minimum standards specified in this code.

2 The unit shall be provided with a separate closet.

3 The unit shall be provided with a kitchen sink, cooking appliance and refrigeration facilities, each having a clear working space of not less than 30 inches (762 mm) in front. Light and ventilation conforming to this code shall be provided.

4 The unit shall be provided with a separate bathroom containing a water closet, lavatory and bathtub or shower.

F. Section R313.1 "Townhouse automatic fire sprinkler systems" is amended to read as follows:

R313.1 Townhouse and one- and two-family dwelling automatic fire sprinkler systems. An automatic residential fire sprinkler system shall be installed in new townhouses and one- and two- family dwellings.

R313.1.1 Design and installation. Automatic residential fire sprinkler systems for townhouses and one- and two- family dwellings shall be designed and installed in accordance with Section R313.3 or NFPA 13D.

G. Section R313.2 "One- and two- family dwellings automatic fire sprinkler systems" is amended to read as follows:

R313.2 City of Santa Barbara Local Requirements. Approved sprinkler systems shall be provided throughout a building in connection with the projects or changes of occupancy listed in this Section R313.2.2 or as specified elsewhere in this Section R313, whichever is more protective.

R313.2.1 Additions to or Remodels of Single Family Residences, Duplexes and Townhouses. Sprinklers are required for the addition of floor area to, or the modification or alteration of the interior of, an existing building that contains a Group R, Division 3 occupancy and townhouses, where the floor area of the portion of the building that is added, modified, or altered exceeds 75% of the existing floor area of the building. For purposes of this section, all modifications or alterations to an existing building that occur after September 11, 2009 shall be counted in the aggregate toward the 75% threshold measured against the floor area of the building.

R313.2.2 Computation of Square Footage. For the purposes of this Section R313, the floor area of buildings shall be computed in accordance with the definition of “Floor area, Gross” provided in Section 202 of the 2022 California Building Code.

R313.2.3 existing use. Except as provided in this Section R313, any building in existence at the time of the effective date of the ordinance adopting this section may continue with such use if such use was legal at the time.

H. Section R337.1.1 “Scope” is amended to read as follows:

R337.1.1 Scope. This chapter applies to building materials, systems and/or assemblies used in the exterior design and construction of new buildings, remodels or additions to existing buildings located within a Wildland-Urban Interface Fire Area as defined in Section R337.2 and R337.1.3.1 Item #3.

I. Section R337.1.3 “Application” is amended to read as follows:

R337.1.3. Application. New buildings, remodeled buildings or additions to existing buildings in any Fire Hazard Severity Zone or Wildland-Urban Interface Area designated by the enforcing agency constructed after the application date shall comply with this chapter.

Exception: Accessory and/or Group U occupancy buildings may be exempted from all or portions of this chapter upon approval of the Fire code official and/or Chief Building Official.

J. Section R337.5 “Roofing” is amended to read as follows:

R337.5 Roofing

R337.5.1 General. Roofs shall comply with the most restrictive requirements of Sections R337 and R902. Roofs shall have a roofing assembly installed in accordance with its listing and manufacturers installation instructions.

R337.5.2 Roof Coverings. Roof coverings on new buildings shall be class A noncombustible in accordance with adopted CRC Standards or otherwise as may be approved by the Chief Building Official. Roof coverings shall be class A or noncombustible fire retardant materials on existing buildings and additions or repairs to existing buildings. Treated or untreated wood shakes or shingles shall not be permitted, except on existing structures which are constructed with shake or shingle roofs where less than 20% of the existing roof is being replaced within a two (2) year period, provided such replacement roofing is fire retardant treated wood shakes or shingles. Green” or “Vegetated” roofs shall not be used in the Wildland-Urban Interface Fire Area.

R337.5.3 Roof Valleys. Where valley flashing is installed, the flashing shall be not less than 0.019-inch (0.48 mm) No. 26 gage galvanized sheet corrosion-resistant metal installed over not less than one layer of minimum 72 pound (32.4 kg) mineral-surfaced non-perforated cap sheet complying with ASTM D 3909, at least 36-inch wide (914 mm) running the full length of the valley.

R337.5.4 Roof Gutters. Roof gutters shall be provided with an approved means to prevent the accumulation of leaves and debris in the gutter. All roof gutters and downspouts shall be constructed of non-combustible materials.

R337.5.5 Drip Edge Flashing. When drip edge flashing is used at the free edges of roofing materials, it shall be non-combustible.

K. Section R337.6.2 “Requirements” is amended to read as follows:

R337.6.2 Requirements. Ventilation openings for enclosed attics, enclosed eave soffit spaces, enclosed rafter spaces formed where ceilings are applied directly to the underside of roof rafters, and underfloor ventilation openings shall be fully covered with metal wire mesh, vents, other materials, or other devices that meet the following requirements:

1. The dimensions of the openings therein shall be a minimum of 1/16th inch (1.6 mm) and shall not exceed 1/8th inch (3.2mm).
2. The materials used shall be noncombustible.

Exception to item #2: Vents located under the roof covering, along the ridge of roofs, with the exposed surface of the vent covered by noncombustible wire mesh, may be of combustible materials.

3. The materials used shall be corrosion resistant.
4. Individual ventilation openings shall not exceed 144 square inches.
5. Turbine attic vents shall be equipped to allow one-way direction rotation only and shall not free spin in both directions.
6. Ventilation openings protected with vent openings that resist the intrusion of flame and embers, and which are listed by the State Fire code official, are exempt from complying with this sub-section.

L. Section R337.8.2 “Exterior glazing” is amended to read as follows:

R337.8.2 Exterior glazing. The following exterior glazing materials and/or assemblies shall comply with this section:

1. Exterior windows and/or skylights.
2. Exterior glazed doors.
3. Glazed openings within exterior doors.
4. Glazed openings within exterior garage doors.
5. Exterior structural glass veneer.

M. Sections R341 and R342 are added as follows:

R341. Encroachments into the Public Right of Way. Encroachments into the public right of way shall comply with the standards of Chapter 32 of the California Building Code.

R342. Safeguards During Construction. Provisions for pedestrian safety during construction and the protection of adjacent public and private properties shall be governed by the requirements of Chapter 33 of the California Building Code.

N. Section R401.4 “Soils tests” is amended to read as follows:

R401.4. Soils Reports/Geotech Investigations. A Soils Report or Geotechnical Investigation shall be required as outlined in Section 1803 of the 2022 California Building Code.

Exceptions:

1. Single-story additions with less than a 500 sq. ft. “footprint” and that are less than 50% of the existing structure they are attached to.
2. Second story additions to an existing slab on grade structure that does not require new footings.
3. Detached “U” Occupancy Category buildings.

O. Section 401.5 “Grading” is added to read as follows:

R401.5 Grading. All grading, excavations and earthwork, including work required and/or related to structures regulated by this code, shall comply with Appendix J “Grading” of the 2022 California Building Code as amended.

P. Section R403.1.2 “Continuous footing in seismic design categories D₀, D₁ and D₂” is amended to read as follows:

R403.1.2 Continuous footing in seismic design categories D₀, D₁ and D₂. The braced wall panels at exterior walls of buildings located in Seismic Design Categories D₀, D₁ and D₂ shall be supported by continuous footings. All required interior braced wall

panels in buildings shall be supported by continuous footings regardless of the spacing of the brace wall lines.

O. Section R403.1.5 “Slope” is amended to read as follows:

R403.1.5 Slope. The top surface of footings shall be level. The bottom surface of footings shall not have a slope exceeding one unit vertical in ten units horizontal (10-percent slope). Footings shall be stepped where it is necessary to change the elevation of the top surface of the footings or where the slope of the bottom surface of the footings will exceed one unit vertical in ten units horizontal (10-percent slope).

For structures located in Seismic Design Categories D₀, D₁, D₂, and E, stepped footings shall be reinforced with four ½-inch diameter (12.7 mm) deformed reinforcing bars. Two bars shall be placed at the top of the footing and two bars shall be placed at the bottom of the footing.

R. Section R404.2 “Wood foundation walls” is amended to read as follows:

R404.2 Wood foundation walls. Wood foundation walls shall be constructed in accordance with the provisions of Sections R404.2.1 through R404.2.6 and with the details shown in Figures 403.1(2) and R403.1(3). Wood foundation walls shall not be used for structures located in Seismic Design Categories D₀, D₁, D₂, and E.

S. **Sections R902.1–R902.1.3** of the “Roofing covering materials” section are amended to read as follows:

R902.1 Roofing covering materials. Roofs shall be covered with materials as set forth in Sections R904 and R905. A minimum Class A or B roof shall be installed in areas designated by this section. Classes A and B roofing required by this section to be listed shall be tested in accordance with UL 790 or ASTM E 108.

R902.1.1 Roof Coverings within Very-High Fire Hazard Severity Zones. The roofing and re-roofing requirements of structures within Very-High Fire Hazard Severity Zones shall be the same as is required for Wildland-Urban Interface Fire Area, as defined in Section R337.2 and R337.1.3.1 Items #2 and #3.

R902.1.2 “Roof coverings within state-responsibility areas” is deleted in its entirety without replacement.

R902.1.3 Roof coverings in all other areas. The roof covering or roofing assembly of any new building or the re-roofing of any existing building shall be no less than Class B. Treated or untreated wood shakes or shingles shall not be permitted, except on existing structures which are constructed with shake or shingle roofs where less than 20% of the existing roof is being replaced within a two-year period, provided such replacement roofing is fire retardant treated wood shakes or shingles.

Exception: In the High Fire Hazard District, roof coverings shall be in accordance with Section R337 as amended.

T. Section R905.10.1 “Deck Requirements” is amended to read as follows:

R905.10.1 Deck Requirements. Metal roof panel roof coverings shall be applied to a solid or closely fitted deck, except where the roof covering is specifically designed to be applied to spaced supports. Metal roof panel coverings shall not be installed over combustible shingles or shakes.

U. Appendix AX - is amended to read as follows:

AV100 Private Swimming Pools. Private swimming pools shall be constructed in accordance with the 2022 California Building Code, Section 3109.

SECTION 4. Section 22.04.030 of Chapter 22.04 of Title 22 of the Santa Barbara Municipal Code is adopted to read as follows:

22.4.30. Amendments to California Plumbing Code.

The 2022 California Plumbing Code, as adopted by reference pursuant to this Chapter, is amended as set forth in this Section 22.04.030.

A. Section 104.4.3 “Expired Permits” is amended to read as follows:

104.4.3 Expired Permits. Permits shall expire in accordance with Section 105.5 of the 2022 California Building Code as amended by the City of Santa Barbara in Section 22.04.020.

B. Section 104.5 “Fees” is amended to read as follows:

104.5 Fees. Fee payments, fee schedules, work commencing prior to permit issuance, related fees and refunds shall be in accordance with 2022 California Building Code Section 109.

C. Section 107 “Board of appeals” is amended to read as follows:

107 Board of Appeals. Appeals of orders, decisions, or determinations made by the Building Official shall be addressed in accordance with the provisions of Section 113 of the 2022 California Building Code as amended by the City of Santa Barbara in Section 22.04.020.

D. Section 301.6.1 “County as Local Health Authority” is added to read as follows:

301.6.1 County as Local Health Authority. Pursuant to the application of section 301.6, the Santa Barbara County Environmental Health Department is the Local Health Authority.

E. Local Modifications to Section 422.2. 1 “Single Use Toilet Facilities” of the California Plumbing Code

- (1.) 422.2.1 Single-user Toilet Facilities Identification. Family or assisted use toilet facilities shall be identified with signage indicating use by either sex. All single-user toilet facilities in any business establishment, place of public accommodation, or state or local government agency shall be identified as all-gender toilet facilities by signage that complies with Section 11B-703.7.2.6 of the California Building Code and designated for use by no more than one occupant at a time or for family or assisted use. For the purposes of Section 422.2.1, “single-user toilet facility” means a toilet facility with one water closet and no more than one urinal with a locking mechanism controlled by the user.
- (2.) Separate facilities shall not be required where rooms have fixtures designed for use by all genders and the water closets are installed in privacy compartments with a locking mechanism controlled by the user. When installed, urinals shall be located in a enclosed privacy compartment or an enclosed separate private area with a locking mechanism controlled by the user.
- (3.) The substitution of a water closet for each urinal shall be permitted provided the total number of fixtures installed complies with Table 422.1 of the California Plumbing Code.

This subsection shall not allow for a reduction in the total number of plumbing fixtures that are required by Part 5 of Title 24 of the California Code of Regulations nor a reduction in the number of toilet facilities accessible to persons with disabilities that are otherwise required under either Title 24 of the California Code of Regulations or the federal Americans with Disabilities Act of 1990 (42 U.S.C. Sec. 12101 et seq.).

F. Section 423 “Fountains” is added to read as follows:

423 Fountains. All fountains and other decorative bodies of water of any depth shall be equipped with a recirculation system, an approved Reduced Pressure Principle_Backflow Prevention Assembly (RP) and shall be designed to operate without a continuous supply of water.

G. Section 424 “Vehicle Wash Facilities” is added to read as follows:

424 Vehicle Wash Facilities.

All vehicle wash facilities using conveyors, touchless and/or rollover in-bay technology shall reuse a minimum of fifty percent (50%) of water from previous vehicle rinses in subsequent washes.

Vehicle wash facilities using reverse osmosis to produce water rinse with a lower mineral content, shall incorporate the unused concentrate in subsequent vehicle washes.

All hoses pipes and faucets designed for the manual application of water to vehicles at vehicle wash facilities shall be equipped with a positive shut-off valve

designed to interrupt the flow of water in the absence of operator applied pressure.

1. Section 603.1 “General” is amended to read as follows:

H. **603.1 General.** Cross-connection control shall be provided in accordance with the provisions of this chapter and Sections 7583 through 7630 “Drinking Water Supplies” of Title 17 of the California Administrative Code, and where there is a conflict between the requirements, the higher level of protection shall apply.

No person shall install any water-operated equipment or mechanism, or use any water-treating chemical or substance, if it is found that such equipment, mechanism, chemical, or substance causes pollution or contamination of the domestic water supply. Such equipment or mechanism shall be permitted only when equipped with an approved backflow prevention device or assembly.

1. Section 608.2 “Excessive Water Pressure” is amended to read as follows:

I. **608.2 Excessive Water Pressure.** Regardless of the pressure at the main, all occupancies served by the City of Santa Barbara Water Resource Division shall be equipped with an approved pressure regulator preceded by a strainer (unless a strainer is built into the device). Any irrigation system or other secondary piping that bypasses

said regulator shall be equipped with its own approved pressure regulator and strainer, installed upstream of any piping, backflow device, valve, solenoid or outlet. Such regulator(s) shall control the pressure to all water outlets in the building unless otherwise approved by the Authority Having Jurisdiction. Each such regulator and strainer shall be accessibly located above ground or in a vault equipped with a properly sized and sloped bore-sighted drain to daylight, shall be protected from freezing, and shall have the strainer readily accessible for cleaning without removing the regulator or strainer body or disconnecting the supply piping. Pipe size determinations shall be based on 80 percent of the reduced pressure when using Table 610.4. An approved expansion tank shall be installed in the cold water distribution piping downstream of each such regulator to prevent excessive pressure from developing due to thermal expansion and to maintain the pressure setting of the regulator. The expansion tank shall be properly sized and installed in accordance with the manufacturer's instructions and listing. Systems designed by registered engineers shall be permitted to use approved pressure relief valves in lieu of expansion tanks provided such relief valves have a maximum pressure relief setting of one hundred (100) pounds per square inch (689 kPa) or less.

J. Sections 710.14 "Sewage Pump Signaling Device" and 710.15 "Approved Type Backwater Valve" are added to read as follows:

710.14 Sewage Pump Signaling Device. Specially designed sewage disposal systems which depend upon a sewage lift pump or ejector for their operation shall be provided with an approved audible signaling device to warn building occupants in the event of pump failure.

710.15. Approved Type Backwater Valve. When the valuation of an addition, alteration, or repair to a building exceeds \$1,000.00 or when additions, alterations, or repairs are made to the plumbing system or fixtures and a permit is required, an approved backwater valve shall be installed in accordance with Section 710.0 of this Code.

Exception: The following work is allowed without the installation of a Backwater Valve:

1. Repairs to the exterior surface of a building.
2. The installation of solar photo voltaic energy systems
3. The installation of electrical vehicle charging systems
4. Domestic water heater equipment replacements
5. Residential electrical panel board replacement/upgrades
6. Other work as deemed exempt in writing by the Chief Building Official

K. Section 713.2 "Connection to Public Sewage System" is amended to read as follows:

713.2 Connection to Public Sewage System. When no public sewer intended to serve any lot or premises is available in any thoroughfare or right of way abutting such

lot or premises, drainage piping from any building or works shall be connected to an approved private sewage disposal system.

Approved private systems may be used until a public system is available. Upon written notice by the Chief Building Official to the record owner of title, such private systems shall be abandoned in accordance with the provisions of Section 722.0 of this code and permits to connect to the public system must be secured.

SECTION 5. Section 22.04.040 of Chapter 22.04 of Title 22 of the Santa Barbara Municipal Code is adopted to read as follows:

22.4.40 Amendments to the California Mechanical Code.

The 2022 California Mechanical Code, as adopted by reference pursuant to this Chapter, is amended as set forth in this Section 22.04.040.

A. Section 104.5 “Fees” is amended to read as follows:

104.5 Permit fees. Fee payments, fee schedules, work commencing prior to permit issuance, related fees and refunds shall be in accordance with 2022 CBC Section 109.

B. Section 104.4.3 “Expired Permits” is hereby is amended to read as follows:

104.4.3 Expired Permits. Permits shall expire in accordance with Section 105.5 of the 2022 California Building Code as amended by the City of Santa Barbara in Section 22.04.020

C. Section 107 “Board of Appeals” is hereby amended to read as follows:

107 Board of Appeals. Appeals of orders, decisions, or determinations made by the Authority Having Jurisdiction shall be addressed in accordance with the provisions of Section 113 of the 2022 California Building Code as amended by the City of Santa Barbara in Section 22.04.020.

SECTION 6. Section 22.04.050 of Chapter 22.04 of Title 22 of the Santa Barbara Municipal Code is adopted to read as follows:

22.4.50. Amendments to the California Electrical Code.

The 2022 California Electrical Code, as adopted by reference pursuant to this Chapter, is amended as set forth in this Section 22.04.050.

A. Article 90.4.1 added to read as follows:

90.4.1 Administration. This code shall be administered in accordance with Chapter 1 of the 2022 California Building Code as amended by the City of Santa Barbara in Section 22.04.020.

SECTION 7. Section 22.04.060 of Chapter 22.04 of Title 22 of the Santa Barbara Municipal Code is adopted to read as follows:

22.4.60 Amendments to the 2022 California Green Building Standards Code

The 2022 California Green Building Standards Code, as adopted by reference pursuant to this Chapter, is amended as set forth in this Section 22.04.060.

A. Section 4.304.2 “Fountains” is added to read as follows:

4.304.2 Fountains. All residential fountains and other decorative bodies of water of any depth directly plumbed by potable water, on a single parcel of land, shall not exceed a total water surface area of twenty five square feet.

B. Section 5.304.7 “Fountains” is added to read as follows:

5.304.7 Fountains. All non-residential fountains and other decorative bodies of water of any depth directly plumbed by potable water, on a single parcel of land, total water surface area shall not exceed twenty five square feet.

SECTION 8. Section 22.04.070 of Chapter 22.04 of Title 22 of the Santa Barbara Municipal Code is adopted to read as follows:

22.4.70 Amendments to the 2021 International Property Maintenance Code

The 2021 International Property Maintenance Code, as adopted by reference pursuant to this Chapter, is amended as set forth in this Section 22.04.070.

A. Section 101.1 “Title” is amended to read as follows:

101.1 Title. These regulations shall be known as the Property Maintenance Code of the City of Santa Barbara, hereinafter referred to as “this code”.

B. Section 101.2 is amended to add the following exception:

Exception: Where this Code conflicts with the State Housing Law beginning in State Health and Safety Code Section 17910, the requirements of the State Housing Law shall govern.

C. Section 103.1 is amended to read as follows:

103.1 General. The City Building and Safety Division unit is hereby appointed to enforce this code. The City's Chief Building Official will serve as the Code Official.

D. Sections 103.2 -103.3 are deleted without replacement.

Section 107 "Means of Appeal" is amended to read as follows:

107 Means of Appeal. Appeals of orders, decisions, or determinations made by the Code Official shall be addressed in accordance with the provisions of Section 113 of the 2022 California Building Code as amended by the City of Santa Barbara in Section 22.04.020.

E. Section 108 "Board of Appeals" is amended to read as follows:

108 Board of Appeals. The Board of Appeals shall be in accordance with the provisions of Section 113 of the 2022 California Building Code as amended by the City of Santa Barbara in Section 22.04.020.

F. Section 110.4 "Failure to Comply" is amended to read as follows:

110.4 Failure to comply. Any person who shall continue any work after having been served with a stop work order, except such work as that person is directed by the Code Official to perform to remove a violation or unsafe condition, may be assessed a citation as outlined in Santa Barbara Municipal Code Section 1.25.

G. Section 111.1 "Unsafe Conditions" is amended to read as follows:

111.1 Unsafe Conditions. When a structure or equipment is found by the Code Official to be unsafe, unfit for human occupancy, or unlawful, such structure or equipment shall be placarded pursuant to section 111.7 of this code.

H. Section 111.3 "Record" is amended to read as follows:

111.3 Record. Official records associated with a violation that is subject to the application of this code shall be retained by the City.

I. Section 111.7 "Placarding" is amended to read as follows:

111.7 Placarding. Pursuant to conditions found in sections 111.1.1, 111.1.2,

111.1.3, and 111.8 of this code, the Code Official shall post on the subject premises, structure or equipment a placard stating either “Unsafe” or “Limited Entry”. Such placard shall include the penalty for violating the conditions of the placard and reference to the appeals process of section 113 of 2022 California Building Code as amended by the City of Santa Barbara in Section 22.04.020 of this Code.

J. Section 111.9 “Restoration or Abatement” is amended to add the following exception:

Exception: Similar to the State Public Resources Code 5028:

(a) No structure that is listed on the National Register of Historic Places, on the California Register of Historic Places, or on any local public register of historic places, and that has been damaged due to a disaster, including, but not limited to, an earthquake, fire, or flood, may be demolished, destroyed, or significantly altered, except for restoration to preserve or enhance its historical values, unless the structure presents an imminent threat to the public of bodily harm or of damage to adjacent property.

(b) The City’s Building & Fire Code Board of Appeals and the City’s Historic Landmarks Commission, shall hold a joint meeting, to determine whether a structure meeting the requirements set forth in subdivision (a) shall be demolished, destroyed, or significantly altered. That determination shall be based upon the extent of damage to the structure, the total cost of rehabilitating or reconstructing the structure, the structure’s historical significance, and any other factor deemed to be relevant. In making that determination, the recommendation of a City certified historic preservation expert shall be considered. A determination should be issued no later than 30 days after the meeting.

J. Section 302.4 “Weeds” is amended to read as follows:

302.4 Weeds. All premises and exterior property shall be maintained free from weeds or plant growth in excess of 12”. All noxious weeds shall be prohibited. Weeds shall be defined as all grasses, annual plants and vegetation, other than trees or shrubs provided; however, this term shall not include cultivated flowers and gardens.

Upon failure of the owner or agent having charge of a property to cut and destroy weeds after service of a notice of violation, any duly authorized employee of the jurisdiction or contractor hired by the jurisdiction shall be authorized to enter upon the property in violation and cut and destroy the weeds growing thereon, and the costs of such removal shall be paid by the owner or agent responsible for the property.

L. Section 304.14 “Insect Screens” is amended to read as follows:

304.14 Insect screens. Regardless of the season of the year, every door, window and other outside opening required for ventilation of habitable rooms, food preparation areas, food service areas or any areas where products to be included or utilized in food for human consumption are processed, manufactured, packaged or stored shall be supplied with approved tightly fittings screens of minimum 12 mesh per inch (16 mesh per 25mm), and every screen door used for insect control shall have a self-closing device in good working condition.

Exception: Screens shall not be required where other approved means, such as air curtains or insect repellant fans, are employed.

M. Section 602.2 “Residential Occupancies” is amended to read as follows:

602.2 Residential Occupancies. Regardless of the season of the year, dwellings shall be provided with heating facilities capable of maintaining a room temperature of 68°F (20°C) in all habitable rooms. Cooking appliances shall not be used, nor shall

portable unvented fuel-burning space heaters be used, as a means to provide required heating.

J. Section 602.3 “Heat Supply” is deleted without replacement.

K. Section 602.4 “Occupiable Work Spaces” is deleted without replacement.

SECTION 9. Section 22.04.080 of Chapter 22.04 of Title 22 of the Santa Barbara Municipal Code is deleted without replacement.

SECTION 10. Whenever in this Ordinance or in any of the codes adopted by reference hereby, another code or publication of standards or of rules or regulations is referred to, such reference shall incorporate and adopt by reference such other codes, standards or rules or regulations as part of this ordinance. A copy of said primary and secondary codes are on file and shall be maintained for public inspection by the Chief Building Official as provided in Title 5, Division 1, Part 1, Chapter 1 of the California Government Code while this Ordinance is in force.

SECTION 11. Ordinance Number 5919 repealed upon the effective date of this ordinance.

SECTION 12. The provisions of this ordinance shall take effect at 12:01 a.m. on January 1, 2023.

ORDINANCE NO. _____

AN ORDINANCE OF THE COUNCIL OF THE CITY OF SANTA BARBARA AMENDING CHAPTER 8.04 OF THE MUNICIPAL CODE AND ADOPTING BY REFERENCE THE 2021 EDITION OF THE INTERNATIONAL FIRE CODE, INCLUDING APPENDIX CHAPTER 4 AND APPENDICES B, BB, C, CC, AND H OF THAT CODE, AND THE 2022 CALIFORNIA FIRE CODE WITH LOCAL AMENDMENTS TO BOTH CODES.

THE CITY COUNCIL OF THE CITY OF SANTA BARBARA DOES ORDAIN AS FOLLOWS:

SECTION 1. Findings.

Climatic Conditions

A. The City of Santa Barbara is located in a semi-arid Mediterranean type climate. It annually experiences extended periods of high temperatures with little or no precipitation. Hot, dry winds, ("Sundowners") which may reach speeds of 60 m.p.h. or greater, are also common to the area. These climatic conditions cause extreme drying of vegetation and common building materials. In addition, the high winds generated often cause road obstructions such as fallen trees. Frequent periods of drought and low humidity add to the fire danger. This predisposes the area to large destructive fires. In addition to directly damaging or destroying buildings, these fires also disrupt utility services throughout the area. The City of Santa Barbara and adjacent front country have a history of such fires, including the 1990 Painted Cave Fire and the 1977 Sycamore Canyon Fire. In 2007, the City was impacted by the back country Zaca Fire and by the Gap fire in 2008. The Tea Fire destroyed over 150 homes within the City in November of 2008 and the Jesusita Fire destroyed homes and property in much of the Santa Barbara front country in May of 2009. In 2017, the Thomas Fire burned into the eastern part of the City which was followed by the catastrophic 1/9 Debris Flow in 2018, which affected Montecito and the Coast Village Road area of Santa Barbara, causing millions of dollars in damage and taking twenty-three lives.

B. The climate alternates between extended periods of drought and brief flooding conditions. Flood conditions may affect the Fire Department's ability to respond to a fire or emergency condition. When heavy rainfall follows a significant wildfire in the foothills, as in the Thomas Fire, the potential for flash floods, slides and debris flows is great. Such events may disrupt access, egress and utility services to buildings and facilities within the City.

C. Water demand in this area challenges the quantity supplied by natural precipitation and, although the population continues to grow, the already-taxed water supply does not. The estimated population of California in 2006 was more than 36 million people. The state is projected to increase in population by nearly 10 million by the year 2030, with 50 percent of that growth centered in Southern California. Due to storage

capacities and consumption, and a limited amount of rainfall, future water allocation may not be fully dependable in many areas of the state. The City's core area continues to become more concentrated, with new multi-storied mixed-use structures whose occupants, along with the structures themselves, could be vulnerable to uncontrolled fires due to lack of available water. This necessitates the need for additional and on-site fire protection features.

D. These dry climatic conditions and winds contribute to the rapid spread of even small fires originating in high-density housing or vegetation. These fires spread very quickly and create a need for increased levels of fire protection. The added protection of fire sprinkler systems and other fire protection features will supplement normal fire department response by providing immediate protection for the building occupants and by containing and controlling the fire spread to the area of origin. Fire sprinkler systems also reduce the use of water for firefighting by extinguishing fires at an early stage. Climatic conditions also necessitate the implementation of additional measures to control the threat of overgrown vegetation in the wildland urban interface areas, commonly referred to as the high fire hazard areas of the City.

Topographical Conditions:

A. Natural slopes of 15 percent or greater generally occur throughout the foothills of Santa Barbara, especially in the High Fire Hazard areas such as the Foothill and Extreme Foothill zones. With much of the populated lower elevation areas already built upon, future residential growth is and will continue to occur on steeper slopes and in areas with greater constraints in terrain such as the Foothill and Extreme Foothill zones. Geographic and land-use constraints throughout the city have resulted in greater density along with a large number of mixed use projects, combining residential with commercial occupancies.

B. Traffic and circulation congestion is an ongoing problem throughout the region. Traffic flow in and through Santa Barbara is limited by the transverse Santa Ynez Mountains, which provide limited passage to the north, and the Pacific Ocean to the south. The narrow corridor that Highway 101 occupies is subject to traffic delays under normal conditions and emergency events can render the highway impassable. This has the double effect of preventing traffic from leaving the City and potentially preventing emergency workers, who often live out of town, from entering. This condition existed for several days during the La Conchita slide in 2005 and it disrupted the return of City workers who live in the Ventura area. At various times in the City's history, Highway 101 has also been closed north of the City due to mudslides, fires and flooding. The most recent and most disastrous example of this effect was the closure of both Highway 101 & 192, as well as numerous surface streets, during the 1/9 Debris Flow in 2018.

In addition, roads in the foothills are narrow, often steep and vulnerable to emergency conditions. Some of the older roadways are below current access standards and pose challenges to responding emergency vehicles, especially fire engines. These challenges are exacerbated in the event of an evacuation, particularly in the Foothill and Extreme Foothill zones.

C. These topographical conditions combine to create a situation which places fire department response time to fire occurrences at risk, and makes it necessary to provide automatic on-site fire-extinguishing systems and other protection measures to protect occupants and property.

Geological Conditions:

The City of Santa Barbara region is a densely populated area that has buildings constructed over and near a vast and complex network of faults that are believed to be capable of producing future earthquakes similar or greater in size than the 1994 Northridge and the 1971 Sylmar earthquakes. Known faults in the city include the Lavigia, North Channel Slope, Mesa and Mission Ridge-More Ranch faults. Additional faults near the city would also be capable of disruption of services, including fire protection. The Southern California Earthquake Center predicts that there is an 80-90% probability of a magnitude 7.0 earthquake somewhere in Southern California before the year 2024. Regional planning for reoccurrence of earthquakes is recommended by the State of California, Department of Conservation.

A. Previous earthquakes have been accompanied by disruption of traffic flow and fires. A severe seismic event has the potential to negatively impact any rescue or fire suppression activities because it is likely to create obstacles similar to those indicated under the high wind section above. With the probability of strong aftershocks there exists a need to provide increased protection for anyone on upper floors of buildings. The October 17, 1989, Loma Prieta earthquake resulted in one major fire in the Marina District (San Francisco). When combined with the 34 other fires locally and over 500 responses, the department was taxed to its fullest capabilities. The Marina fire was difficult to contain because mains supplying water to the district burst during the earthquake. In addition to gas mains, individual gas and electric service connections to residences may provide both fuel and ignition sources during a seismic event. This situation creates the need for both additional fire protection and automatic on-site fire protection for building occupants.

B. Road circulation features located throughout Santa Barbara also make amendments reasonably necessary. There are major roadways, highways and flood control channels that create barriers and slow response times. Hills, particularly in the Foothill and Extreme Foothill zones, slopes, street and storm drain design accompanied by occasional heavy rainfall, cause roadway flooding and landslides and at times may make an emergency access route impassable, as they did in the 1/9 Debris Flow. Much of the City's foothill area, including Mountain Drive and Sycamore Canyon, are located in areas subject to geologic activity, as witnessed by the recent long term closure of Sycamore Canyon Road due to the slide potential.

The climatic, topographical, and geological conditions described above make it prudent to rely upon automatic fire sprinkler systems and other fire protection measures to mitigate extended fire department response times. Automatic sprinklers, mixed use notification signs, fire alarms and other measures specified in this Ordinance are intended to lessen life safety hazards and keep fires manageable with potentially reduced fire flow (water) requirements for a given structure. Additional fire protection is also justified to match the current resources of firefighting equipment and personnel within the Santa Barbara City Fire Department. As California is subjected to the ongoing effects of climate change, local changes to climatic, topographic and geological conditions will likely pose a greater threat to disruption of services and loss of life and property.

SECTION 2. Section 8.04.010 of Chapter 8.04 of Title 8 of the Santa Barbara Municipal Code is amended to read as follows:

8.04.010 Adoption of International Code by Reference.

Subject to the amendments specified in Section 8.04.020 of this Code, The International Fire Code, as published by the International Code Council (2021 Edition), including Appendix Chapter 4 and Appendices B, BB, C, CC and H; The 2022 California Fire Code (Title 24, Part 9 of the California Code of Regulations); and all standards and secondary codes referenced in said codes are adopted by reference and shall be known as the City of Santa Barbara Fire Code.

Said codes and any standards and secondary codes adopted by reference and the amendments therein, are on file and available for public inspection in the office of the City Clerk.

SECTION 3. Section 8.04.020 of Chapter 8.04 of Title 8 of the Santa Barbara Municipal Code is amended to read as follows:

8.04.020 Amendments to International Fire Code.

In response to local climatic, geological and topographical conditions, The 2021 International Fire Code and the 2022 California Fire Code, as adopted by reference by in Section 8.04.010, are amended as follows:

A. Section 103 is hereby renamed: **Fire Prevention Bureau** and is amended as follows:

[A] 103.1 General. The Fire Prevention Bureau is established in the jurisdiction under the fire code official. The function of the division shall be the implementation, administration and enforcement of the provisions of this code.

[A]103.3.1 Fire prevention bureau personnel and police. The fire code official and members of the fire prevention bureau shall have the powers of a police officer in performing their duties under this code. When requested to do so by the fire chief, the chief of police is authorized to assign such available police officers as necessary to assist the fire department in enforcing the provisions of this code.

B. Section 104.11 "Fire investigations" is amended to read as follows:

[A] 104.11 Fire investigations. The fire code official is authorized to investigate promptly the cause, origin and circumstances of every fire, explosion or other hazardous condition occurring in the jurisdiction. In addition, the fire code official is authorized to investigate the cause, origin and circumstances of unauthorized releases of hazardous materials in the jurisdiction. If it appears to fire code official that such incidents are of suspicious origin, the fire code official is authorized to take immediate charge of all physical evidence relating to the cause of the fire, explosion, hazardous condition, or release and is authorized to pursue the investigation to its conclusion.

[A]104.11.1 Assistance from other agencies. Police and other enforcement agencies are authorized to assist in the investigation of fires when requested to do so by the fire code official.

C. Section 111 "Board of Appeals" is deleted in its entirety without replacement.

D. Section [A] 112.4 "Violation penalties" is amended to read as follows:

[A] Section 112.4 Violation penalties. Persons who violate a provision of this code or shall fail to comply with any of the requirements thereof or who shall erect, install, alter,

repair or do work in violation of the approved construction documents or directive of the fire code official, or of a permit or certificate used under provisions of this code, shall be guilty of a misdemeanor. Penalties shall be as prescribed by state law and local ordinance. Each day that a violation continues after due notice has been served shall be deemed a separate offense.

E. Chapter 1, Division II of the International Fire Code is amended by adding Section 115 "Building and Fire Code Board of Appeals" to read as follows:

115.1 Board of Appeals.

In order to provide technical Code advice to the City's Building Official and fire code official, and to hear and decide appeals of orders, decisions or determinations made by the fire code official or Building Official relative to the application and interpretations of the technical codes, there shall be and is hereby created a Building and Fire Code Board of Appeals. This Board consists of 7 members who are qualified by experience and training to pass upon matters pertaining to building construction and building service equipment and who are not employees of the jurisdiction. The Building and Fire Code Board of Appeals shall be appointed by the City Council and shall hold office on 4-year terms. The Board is not empowered to waive requirements of the State Title 24 Codes. The Board shall adopt rules of procedure for conducting its business and shall render all decisions and findings in writing to the appellant with a duplicate copy to the fire code official or Building Official.

115.1.1 Alternatives. The Board may consider any alternate provided that it finds that the proposed design, material, method, or work offered is, for the purpose intended. The Board shall have no authority to waive the requirements of the applicable Code.

115.1.2 Appointments. The City Council shall appoint qualified individuals to an eligibility list. Appeals shall be scheduled before 7 members, provided that at least 3 members are physically handicapped when hearing items involving Chapter 11 of the California Building and Fire Code.

115.1.3 Quorum. For other than appeals and ratifications relative to Chapter 11, it shall take a quorum of 5 members to hear an appeal and majority vote of the Board convened to sustain an appeal. Appeals and ratifications relative to the enforcement of Chapter 11, at least 2 of the Board members hearing the item must be physically disabled. (Ref: State Health & Safety Code, Section 19957.5)

115.1.4 Chairperson. The chairperson shall be selected annually by the convened Board. The chairperson shall maintain order and conduct the meeting in accordance with the California Brown Act.

115.1.5 Meetings. The Board shall meet when needed to hear an appeal or when needed to transact business of the Board. Either the Building Official or the fire code official or their designee shall act as Secretary of the Board.

115.1.6 Board Decisions. The decision of the Building and Fire Code Board of Appeals shall be final on all matters of appeals and shall become an order to the Appellant, Chief Building Official or fire code official as may be appropriate.

115.1.7 Procedural Rules. Appeal hearings shall be conducted substantially in accordance with the following format:

1. Any person may appeal a decision of the Building Official or fire code official by filing a written appeal with the Building Official or fire code official within 10-days of the issuance of the written decision. The notice of appeal shall state the grounds for the appeal.

2. No notice of appeal shall be accepted unless the notice is accompanied by the fee specified by resolution of the City Council.

3. Unless agreed to otherwise by the appellant and the Building Official or fire code official, all appeals shall be heard not less than 10-days and not more than 60-days from the date on which the Building Official or fire code official receives the written appeal.

4. The filing of a timely appeal with the Building Official or fire code official shall place a stay on further enforcement of the specific matter appealed, except for instances of immediate danger to life or property.

5. The Chairperson shall call the meeting to order.

6. The Chairperson shall note the Board members present for the minutes.

7. The Chairperson shall recognize the Building Official or fire code official for presentation of the appeal. The Building Official or the fire code official shall read his/her recommendation to the Board.

8. The Chairperson shall recognize the Appellant for presentation of rebuttals.

9. All witnesses must be called by either the Appellant or the Building Official or the fire code official and may be questioned as permitted by the Chair of this Board.

10. The Board may entertain comments from the public.

11. The Board may affirm, deny, or amend the decision of the Building Official or the fire code official.

12. The Board shall issue its decisions in writing and shall include a statement of the decision appealed, the decision of the Board and the findings made by the Board in reaching their decision.

13. The Chairperson shall adjourn the meeting at the end of business.

14. The Secretary shall prepare minutes for the record and shall serve as custodian of case records and said minutes.

F. Chapter 3 of the International Fire Code is amended as follows:

307.1.1 Prohibited open burning. Opening burning that is offensive or objectionable because of smoke emissions or when atmospheric or local circumstances make such fires hazardous shall be prohibited.

1. **Section 308.1.4** is deleted without replacement.

2. **Section 308.1.4.1** is amended to read as follows:

Section 308.1.4.1 Liquefied-petroleum gas fueled cooking devices. LP gas burners having an LP gas container with a water capacity greater than 25 pounds (5 Gallon) shall not be located on combustible balconies or within 10 feet (3048 mm) of combustible construction.

Exception: One and two-family dwellings.

317.1 Rooftop Gardens and Landscaped Roofs. Rooftop gardens and landscaped roofs, also known as vegetated roofs, are prohibited in the High Fire Hazard areas.

G. Chapter 4 of the International Fire Code is deleted in its entirety without replacement.

H. Section 503 "Fire Apparatus Access Roads" is deleted in its entirety and readopted to read as follows:

503.1 Where Required. Fire Department access roads shall be provided and maintained in accordance with Sections 503.1.1 and 503.1.3

503.1.1 Buildings and Facilities. Approved fire apparatus access roads shall be provided for every facility, building or portion of a building hereafter constructed or moved into or within the jurisdiction. The fire apparatus roads shall comply with the requirements of this section and shall extend to within 150 feet of (45,720 mm) of all portions of the facility and all portions of the exterior walls of the first story of the building as measured by an approved route around the exterior of the building or facility.

Exception: The fire code official is authorized to increase the dimension of 150 feet (45,720 mm) where:

1. The building is equipped throughout with an approved automatic sprinkler system and installed in accordance with Section 903.3.1.1, 903.3.1.2 or 903.3.1.3.

2. Fire apparatus Roads cannot be installed because of location on property, topography, waterways, nonnegotiable grades or other similar conditions, and an approved alternate means of fire protection is provided.

503.1.2 Additional Access. The fire code official is authorized to require more than one fire apparatus access road based on the potential for impairment of a single road by vehicle congestion, condition of the terrain, climatic conditions or other factors that could limit access.

503.1.3 High Piled Storage. Fire department vehicle access to buildings used for high-piled combustible storage shall comply with the applicable provisions of Chapter 32.

503.2 Specifications. Fire apparatus access roads shall be installed and arranged in accordance with Sections 503.2.1 through 503.2.8.

503.2.1 Dimensions. Fire apparatus access roads shall have an unobstructed width of not less than 20 feet (6096 mm) except for approved security gates in accordance with Section 503.6 and an unobstructed vertical clearance of not less than 13 feet 6 inches. If a fire apparatus access road serves three or fewer single-family residential units, the required width may be reduced to not less than 16 feet (4879 mm) upon the approval of the fire code official.

503.2.2 Authority. The fire code official is authorized to require an increase in the minimum access widths where they are inadequate for fire or rescue operations.

503.2.3 Surface. Fire apparatus access roads shall be designed and maintained to support the imposed loads of fire apparatus and shall be surfaced so as to provide all-weather driving capabilities. Such fire apparatus access roads shall be capable of supporting 60,000 pounds and shall be constructed of approved materials.

503.2.4 Turning radius. The turning radius of roadways shall be no less than 70 feet in diameter measured from outer edge to outer edge.

503.2.5 Dead ends. Dead-end fire apparatus access roads in excess of 300 feet in length shall be provided with approved provisions for the turning around of fire apparatus.

503.2.6 Bridges and elevated surfaces. Where a bridge or an elevated surface is part of a fire apparatus access road, the bridge shall be constructed and maintained in accordance with AASHTO HB-17. Bridges and elevated surfaces shall be designed for a live load sufficient to carry the imposed loads of fire apparatus. Vehicle load limits shall be posted at both entrances to bridges when required by the fire code official. Where elevated surfaces designed for emergency vehicle use are adjacent to surfaces which are not designed for such use, approved barriers, approved signs or both shall be installed and maintained when required by the fire code official.

503.2.7 Grade. The gradient for a fire apparatus access road shall not exceed a 16 % grade.

503.2.7.1 Cross-slope. The cross-slope gradient shall not exceed 6%.

503.2.8 Angle of Approach and Departure. The angles of approach and departure for fire apparatus access roads shall be within the limits established by the fire code official based on the fire department's apparatus.

503.3 Marking. Where required by the fire code official, approved signs or other approved notices or markings that include the words NO PARKING - FIRE LANE shall be provided for fire apparatus access roads to identify such roads or prohibit the obstruction thereof. The means by which fire lanes are designated shall be maintained in a clean and legible condition at all times and be replaced or repaired when necessary to provide adequate visibility.

503.4 Obstruction of fire apparatus access roads. Fire apparatus access roads shall not be obstructed in any manner, including parking of vehicles. The minimum required widths and clearances established in Section 503.2.1 shall be maintained at all times.

503.5 Required gates or barricades. The fire code official is authorized to require the installation and maintenance of gates and other approved barricades across fire apparatus access roads, trails or other access ways, not including public streets, alleys or highways. Electric gate operators, where provided, shall be listed in accordance with UL 325. Gates intended for automatic operation shall be designed, constructed and installed to comply with the requirements of ASTM F2200.

503.5.1 Secured gates and barricades. When required, gates and barricades shall be secured in an approved manner. Roads, trails and other access ways that have been closed and obstructed in the manner prescribed by Section 503.5 shall not be trespassed on or used unless authorized by the owner and the fire code official.

503.5.1.1 Vehicle obstruction. Entrances to roads, trails, or other access ways that have been closed with gates and barriers in accordance with Section 503.5 shall not be obstructed by parked vehicles, except for public officers acting within their scope of duty.

503.5.1.2 Closure of access ways. Locks, gates, doors, barricades, chains, enclosures, signs, tags, or seals which have been installed by the fire department or by its order or under its control shall not be removed, unlocked, destroyed, tampered with or otherwise molested in any manner except when authorized by the fire code official or by public officers acting within their scope of duty.

503.5.2 Fences and Gates. School grounds may be fenced and gates therein may be equipped with locks, provided that safe dispersal areas based on 3 square feet

(0.28m²) per occupant are located between the school and the fence. Such required safe dispersal areas shall not be located less than 50 feet (15240 mm) from school buildings. Every public and private school shall conform to Section 32020 of the Education Code.

I. Section 505 "Premises Identification" is amended to add Sections 505.1.1 and 505.3 to read as follows:

505.1.1 Mixed Use Occupancy Identification. Mixed use occupancy notifications signs shall be provided according to Municipal Code 8.04.030 (B).

505.3 Directory. For complexes and large buildings, an approved directory or premise map may be required at a location determined by the fire code official.

J. Section 507 "Fire Protection Water Supplies" is deleted in its entirety and readopted to read as follows:

507.1 Required Water Supply. An approved water supply capable of supplying the required fire flow for fire protection shall be provided to all premises upon which facilities, buildings or portions of buildings are hereafter constructed or moved into or within the jurisdiction. Prior to development of a project, the fire code official may require the flow testing of fire hydrants adjacent to the proposed development in order to determine adequacy of fire flow.

507.2 Type of Water Supply. A water supply shall consist of reservoirs, pressure tanks, elevated tanks, water mains or other fixed systems capable of providing the required flow.

507.2.1 Private fire service mains. Private fire service mains and appurtenance shall be installed in accordance with NFPA 24.

507.2.2 Water tanks. Water tanks for private fire protection shall be installed in accordance with NFPA 22.

507.3 Fire Flow. Fire Flow requirements for buildings or portions of buildings and facilities shall be determined by an approved method or Appendix B. For the purposes of this section, an "approved water supply" shall mean the following:

1. Residential Requirement. All residential buildings containing ten (10) or less dwelling units shall be served by a fire flow of 750 gpm at a residual pressure of 20 psi when flowing. Fire-flow requirements may be modified downward by the fire code official for isolated buildings or the installation of approved fire protection devices, but in no case shall the fire flow be less than 500 gpm at a residual pressure of 20 psi. Residential buildings containing eleven (11) or more dwelling units shall be served by fire flows in compliance with the commercial requirements below.

2. Commercial Requirement. A fire flow of 1,250 gpm at a residual pressure of 20 psi when flowing will be required.

507.4 Water Supply Test. The fire code official shall be notified prior to the water supply test. Water supply tests shall be witnessed by the fire code official or approved documentation of the test shall be provided to the prior to final approval of the water supply system.

507.5 Fire hydrant systems. Fire hydrant systems shall comply with Sections 507.1 through 507.5.6 or Appendix C of the International Fire Code.

507.5.1 Where Required, Commercial. A commercial hydrant to Santa Barbara City standards must be located within 300 feet of all portions of a facility or building as measure by an approved route around the exterior of the facility or building. Where a portion of the facility or building is hereafter constructed or moved into or within the jurisdiction is more than 300 feet from a hydrant on a fire apparatus road, as measured by an approved

route around the exterior of the facility or building, the fire code official may require on-site hydrants or another approved mitigation method.

507.5.1.1 Where Required, Residential. For Group R-3, Group U and Group R-2 occupancies containing ten (10) or less dwelling units, a residential hydrant to Santa Barbara City standards must be located within 500 feet of all portions of a facility or building as measure by an approved route around the exterior of the facility or building. Where a portion of the facility or building is hereafter constructed or moved into or within the jurisdiction is more than 500 feet from a hydrant on a fire apparatus road, as measured by an approved route around the exterior of the facility or building, the fire code official may require on-site hydrants or another approved mitigation method.

507.5.2 Inspection, Testing and maintenance. Fire hydrant systems shall be subject to such periodic tests as required by the fire code official. Fire hydrant systems shall be maintained in an operative condition at all times and shall be repaired where defective. Additions, repairs, alterations and servicing shall be in accordance with approved standards.

507.5.3 Private fire service mains and water tanks. Private fire service mains and water tanks shall be periodically inspected, tested and maintained in accordance with *Title 19 California Code of Regulations Chapter 5*.

507.5.4 Obstruction. Posts, fences, vehicles, growth, trash, storage and other materials or objects shall not be placed or kept near fire hydrants, fire department inlet connections or fire protection system control valves in a manner that would prevent such equipment or fire hydrants from being immediately discernible. The fire department shall not be deterred or hindered from gaining immediate access to fire protection equipment or fire hydrants.

507.5.5 Clear space around hydrants. A 3-foot (914 mm) clear space shall be maintained around the circumference of fire hydrants except as otherwise required or approved.

507.5.6 Physical protection. Where fire hydrants are subject to impact by a motor vehicle, guard posts or other approved means shall comply with Section 312.

K. Section 903.2 "Where required" is amended to add Section 903.2.22 to read as follows:

903.2.22 Local Requirements. Approved automatic sprinkler systems shall be installed throughout buildings and structures as specified elsewhere in this Section 903.2 or as specified in this Section 903.2.22, whichever is more protective.

903.2.22.1 New Buildings, Generally. The construction of a new building containing any of the following occupancies: A, B, E, F, H, I, L, M, R, Sor U.

Exceptions: A new building containing a Group U occupancy that is constructed in the City's designated High Fire Hazard Area is not required to provide a sprinkler system as long as the building does not exceed 500 square feet of floor area. A new building containing a U occupancy that is constructed outside the City's designated High Fire Hazard Area is not required to provide a sprinkler system as long as the building does not exceed 5000 square feet of floor area.

903.2.22.2 New Buildings in the High Fire Hazard Area. The construction of any new building within the City's designated High Fire Hazard Area.

Exception: A new building containing a Group U occupancy that is constructed in the City's designated High Fire Hazard Area is not required to provide a sprinkler system as long as the building does not exceed 500 square feet of floor area.

903.2.22.3 Additions to Buildings Other than Single Family Residences. The addition of floor area to an existing building that contains any occupancy other than Group R, Division 3.

Exception: For buildings that have had no additions since September 11, 2009, a single addition of not more than 250 square feet, provided the addition does not change the use to a more hazardous occupancy, shall not trigger the requirement to install an automatic fire sprinkler system. However, the square footage of the single addition allowed under this exception will be included in the cumulative total of modifications and alterations for purposes of Section 903.2.22.4.

903.2.22.4 Remodels of Buildings Other than Single Family Residences. The remodel or alteration of the interior of an existing building that contains any occupancy other than Group R, Division 3, where the floor area of the portion of the building that is modified or altered exceeds 50% of the existing floor area of the building. For purposes of this section, all modifications or alterations to an existing building that occur after September 11, 2009 shall be counted in the aggregate toward the 50% threshold measured against the floor area of the building. It shall be the responsibility of the building owner to install the sprinkler system throughout the building when the threshold has been exceeded.

Exception: Nothing in this section shall prevent the building owner from negotiating a written agreement with the tenant or tenants for allocating the cost of the sprinkler system in any proportion.

903.2.22.5 Change of Occupancy to a Higher Hazard Classification. Any change of occupancy in an existing building where the occupancy changes to a higher hazard classification.

Exception: For purposes of sprinklers only, the higher hazard classification will not be deemed to include low hazard assembly occupancies of 75 occupants or fewer.

903.2.22.6 Computation of Square Footage. For the purposes of this Section 903.2.19, the floor area of buildings shall be computed in accordance with the definition of "Floor area, Gross" provided in Section 1002.1 of the California Building Code.

903.2.22.7 Existing use. Any existing building not classified as Group R, Division 3, in existence at the time of the effective date of this code may have their use continued if such use was legal at the time. Additions to existing buildings shall require an automatic fire sprinkler system installed throughout, including areas not previously protected.

Exception: For buildings that have had no additions since September 11, 2009, a single addition of not more than 250 square feet, provided the addition does not change the use to a more hazardous occupancy, shall not trigger the requirement to install an automatic fire sprinkler system. However, the square footage of the single addition will be included in the cumulative total of modifications and alterations for purposes of Section 903.2.20.4.

903.2.22.8 Exceptions and Modifications. Where hardship or substantial difficulty make strict compliance with a sprinkler section impractical, the fire code official is authorized to grant exceptions or modifications on an individual basis, pursuant to Section 104.8.

L. Section 907 "Fire Alarm and Detection Systems" is amended to add Section 907.2.30 to read as follows:

907.2.30 Mixed Use Occupancies. Where residential occupancies are combined with commercial occupancies, a fire alarm system shall be installed which notifies all occupants in the event of a fire. The system shall include automatic smoke detection

throughout the commercial and common areas. In addition, a notification system shall be installed in a manner and location approved by the fire code official that indicates the presence of residential dwelling units in accordance with Municipal Code Section 8.04.030 B.

M. Section 4901 "General" is amended to read as follows:

Section 4901.1 Scope. The mitigation of conditions where a wildfire burning in vegetative fuels may readily transmit fire to buildings and threaten to destroy life, overwhelm fire suppression capabilities, or result in large property losses shall comply with this chapter. In addition, this section is intended to prevent the occurrence of fires and to provide adequate fire-protection facilities to control the spread of fire which might be caused by recreational, residential, commercial, industrial or other activities conducted in Wildland Urban Interface Areas (WUI). The WUI is defined by the City of Santa Barbara Community Wildfire Protection Plan (CWPP), formerly the Wildland Fire Plan, and includes, but is not limited to, areas defined by the State of California as Very High Fire Hazard Severity Zones (VHFHSZ). Future revisions and updates to the City CWPP shall be incorporated into this Code by reference as best practices, whether or not adopted as mandatory code sections.

Section 4901.2 Purpose. The purpose of this code is to provide minimum standards to increase the ability of a building to resist the intrusion of flame or burning embers being projected by a vegetation fire and contributes to a systematic reduction in conflagration losses through the use of performance and prescriptive requirements. In addition, the purpose of this code is to prevent the occurrence of fires and to provide adequate fire- protection facilities to control the spread of fire which might be caused by recreational, residential, commercial, industrial or other activities conducted in the Wildland Urban Interface Area.

Section 4901.3 Policy. The policy direction for the City of Santa Barbara Wildland Urban Interface Area is established by the City of Santa Barbara Wildland Fire Plan, approved by City Council in January of 2004 and formally recognized as the CWPP in 2011.

N. **Section 4902 "Definitions"** is amended to add the definitions of "Spark Arrester", "Tracer", and "Tracer Charge" and to amend the definition of "Wildland-Urban Interface Fire Area" to read as follows:

Spark Arrester is defined as a device constructed of non-flammable materials specifically for removing and retaining carbon and other flammable particles over 0.0232 inches in size from the exhaust flow of an internal combustion engine operated by hydrocarbons.

Tracer is any bullet or projectile incorporating a feature which marks or traces the flight of said bullet or projectile by flame, smoke or other means which result in fire or heat.

Tracer Charge is any bullet or projectile incorporating a feature designed to create a visible or audible effect by means which result in fire or heat and shall include any incendiary bullets and projectiles.

Wildland-Urban Interface Area is a geographical area identified by the state as a "Fire Hazard Severity Zone" in accordance with the Public Resources Code Sections 4201 through 4204 and Government Code Sections 51175 through 51189, or other areas designated by the enforcing agency to be at a significant risk from wildfires. The CWPP outlines the Wildland Urban Interface Area within the City of Santa Barbara's local jurisdiction. For purposes of this code, Wildland Urban Interface Area and High Fire Hazard

Area are interchangeable. Future updates and revisions to the City High Fire Hazard Area maps shall be incorporated by reference.

O. Section 4903 "Plans" is amended to read as follows:

4903.1 General. When required by the fire code official, a fire protection plan shall be prepared for parcels within the High Fire Hazard Area.

4903.2 Content. The plan shall be based on site specific wildfire hazard and risk assessment that includes considerations of location, topography, aspect, flammable vegetation, climatic conditions and fire history. The plan shall address water supply, access, building construction and fire-resistance factors, fire protection systems and equipment, evacuation, defensible space and vegetation management. The plan shall also address any off site factors listed above that affect the project area.

4903.3 Cost. The cost of fire protection plan preparation and review shall be the responsibility of the applicant.

4903.4 Plan retention. The fire protection plan shall be retained by the fire code official.

P. Section 4904 "Fire Hazard Severity Zones" is amended to add Section 4904.1.1 to read as follows:

4904.1.1 Local Land Classification. Lands in the local jurisdiction are classified by the Fire Code Official in accordance with the City of Santa Barbara.

Q. Section 4906 "Hazardous Vegetation and Fuel Management" is amended to add Section 4906.1.1 to read as follows:

4906.1.1 General. The City of Santa Barbara CWPP identifies vegetation management areas that pose an increased threat to the community during a wildland fire. Within these areas the fire code official has the authority to work with property owners to reduce the amount of flammable vegetation outside the defensible space areas. These areas include both City and Private lands. Standards for vegetation management are specified in the City CWPP.

4906.1.2 Flammable Vegetation. Vegetation installed without an approved landscape plan shall be removed if in the opinion of the fire code official, it is capable of being ignited and endangering property

4906.3 New Development. New developments in the High Fire Hazard Area must submit Landscape Plans for review by the fire code official. Landscaping shall meet the High Fire Hazard Area Defensible Space Requirements outlined in the City of Santa Barbara CWPP. All landscape plant species must be fire resistant as described in the CWPP High Fire Hazard Area Landscape Requirements.

R. Section 4907 "Defensible Space" is amended by adding the following:

4907.1.1 General. Persons owning, leasing, controlling, operating or maintaining buildings or structures in, upon or adjoining hazardous fire areas, and persons owning, leasing or controlling land adjacent to such buildings or structures, shall follow defensible space requirements outlined in 4907.1 through 4907.11. For purposes of this section, defensible space requirements shall apply to persons owning, leasing or controlling land with hazardous vegetation that is within the required defensible space of structures on adjacent properties.

4907.3 Distance Requirements: Maintain an effective firebreak by removing and clearing away flammable vegetation and combustible growth from areas within 30 to 150 feet

of such buildings or structures as outlined in the following zones;

1. Coastal Interior 30 to 50 feet brush clearance from structures
2. Coastal 50 to 70 feet brush clearance from structures
3. Foothill 100 feet brush clearance from structures
4. Extreme Foothill 150 feet brush clearance from structures

Exceptions:

1. Single specimens of trees, ornamental shrubbery or similar plants used as ground covers do not have to be removed, provided they do not form a means of rapidly transmitting fire from the native growth to any structure.

2. Grass and other vegetation located more than 30 feet from buildings or structures and less than 18 inches in height above the ground need not be removed where necessary to stabilize the soil and prevent erosion.

4907.4 Chimney Clearance. Remove portions of trees which extend within 10 feet (3048 mm) of the outlet of a chimney.

4907.5 Overhanging Trees. Maintain trees adjacent to or overhanging a building free of deadwood.

4907.6 Vines and Climbing Ornamental Plants: Existing vines and climbing plants attached to structures must be maintained in a well-watered condition, free of excessive dead material and trimmed to minimize fire propagation.

4907.7 Roof Debris. Maintain the roof and gutters of a structure free of leaves, needles or other dead vegetation.

4907.8 Additional Clearance Requirements. Within any High Fire Hazard Area additional brush clearance may be required on slopes greater than 30%. Slopes ranging between 30 and 40 % slope may require 200 feet clearance. Slopes ranging from 41 to 60% may require 250 to 300 foot clearance.

4907.9 High Fire Hazard Area Fire Safe Landscaping. All parcels in the High Fire Hazard Area must meet defensible space requirements as outline in 4907.1. Defensible space requirements can be met though fire safe landscaping in accordance with The City of Santa Barbara CWPP High Fire Hazard Area Defensible Space and Landscape Requirements.

4907.10 Vegetation Road Clearance. The owner, occupant or other person in control of any real property (vacant or developed) in, upon, or adjoining hazardous fire areas, and the owner, occupant or other person in control of real property adjacent to such property shall:

1. Maintain an area cleared of flammable vegetation and other combustible growth for a distance of 10 feet on each side of portions of highways and private streets which are improved, designed or ordinarily used for vehicular traffic.

Exception: Single specimens of trees, ornamental shrubbery or cultivated ground cover such as green grass, ivy, succulents or similar plants used as ground covers, provided they do not form a means of readily transmitting fire.

2. Maintain an area cleared of all overhanging vegetation for a vertical clearance of not less than 13 feet 6 inches within the full portion of highways and private streets which are improved, roadway and one foot (1 foot) on each side from the edge of the drivable roadway.

4907.11 Unusual Circumstances. If the fire code official determines that difficult terrain, danger or erosion or other unusual circumstances make strict compliance with the

clearance of vegetation provisions of Sections 4907 undesirable or impractical, enforcement thereof may be suspended and approved alternative measures shall be provided.

S. Section 4908 "Trespassing On Posted Property" is added to Chapter 49 to read as follows:

4911.1 General. When the fire code official determines that a specific area within the High Fire Hazard Area presents an exceptional and continuing fire danger because of the density of natural growth, difficulty of terrain, proximity to structures or accessibility to the public, such areas shall be closed until changed conditions warrant termination of closure. Such areas shall be posted as hereinafter provides.

4911.2. Signs. Approved signs prohibiting entry by unauthorized persons and referring to Section 4908.1 shall be placed on every closed area pursuant to this section.

4911.3 Trespassing. Entering and remaining within areas closed and posted is prohibited.

Exception: Owners and occupiers of private or public property within closed and posted areas, their guests or invitees, and local, state and federal public officers and their authorized agents acting in the course of duty.

4911.4 Tampering With Fire Department Locks, Barricades And Signs Locks, barricades, seals, cables, signs and markers installed within the High Fire Hazard Area, by or under the control of the fire code official, shall not be tampered with, mutilated, destroyed or removed. Gates, doors, barriers and locks installed by or under the control of the fire code official shall not be unlocked.

T. Section 4912 "Ignition Sources" is added to Chapter 49 to read as follows:

4912.1 General. Control of ignition sources in the High Fire Hazard Area shall be in accordance with 4912.1 through 4912.13.

4912.2 Smoking. Lighting, igniting or otherwise setting fire to or smoking as defined in Title 9 of the Santa Barbara Municipal Code in public places in the High Fire Hazard Area is prohibited.

4912.3 Spark Arresters. Chimneys used in conjunction with fireplaces, barbeques or heating appliances in which solid or liquid fuels is used, upon buildings, structures or premises located within 200 feet of the High Fire Hazard Area, shall be provided with a spark arrestor constructed with heavy wire mesh or other non-combustible material with openings not to exceed 1/4 inch.

4912.4 Suppression Equipment for Gasoline-Fueled Internal Combustion Engines-Off Road Vehicles. No person shall use or operate any internal combustion engine which operates on hydrocarbon fuels on any forest, brush, or grass covered land without providing, and maintaining in good working order, a spark arrester attached to the exhaust system, except for motorcycles, vehicles equipped with a muffler as defined by the California Vehicle Code, such as motor trucks, truck tractors, buses, and passenger vehicles are not subject to the provisions of this section. Spark arresters affixed to the exhaust of engines shall not be placed or mounted in such a manner as to allow flames or heat from the exhaust system to ignite any flammable material.

4912.5 Suppression Equipment For Gasoline-Fueled Internal Combustion Engines-Tools. No person shall use or operate any portable saw, auger, drill, tamper or other portable tool powered by a gasoline-fueled internal combustion engine on or near any forest, brush, grass covered land, within 25 feet from any flammable material without providing at the immediate location a round point shovel or a 2A 10 BC fire extinguisher.

The above tools shall at no time be farther than 25 feet, with unrestricted access, from the operator to the point of operation.

4912.6 Tracer Bullets, Tracer Charges, Rockets And Model Aircraft. Tracer bullets and tracer charges shall not be possessed, fired or caused to be fired into or across wildland urban interface areas. Rockets, model airplanes, gliders and balloons powered with an engine, propellant or other feature liable to start or cause fire shall not be fired or projected into or across the wildland urban interface area.

4912.7 Apiaries. Lighted and smoldering material shall not be used in connection with smoking bees in or upon the High Fire Hazard Area except by permit from the fire code official.

4912.8 Open Flame Devices. Welding torches, tar pots, decorative torches and other devices, machines or processes liable to start or cause fire shall not be operated or used in or upon the High Fire Hazard Area except by permit from the fire code official.

Exception: Use within habited premises or designated campsites which are a minimum of 30 feet from grass, grain, brush or forested areas.

4912.9 Outdoor Fires. Outdoor fires shall not be built, ignited or maintained in or upon, the High Fire Hazard Area, except by permit from the fire code official. Permits shall incorporate such terms and conditions which will reasonably safeguard public safety and property. Outdoor fires shall not be built, ignited or maintained in or upon the High Fire Hazard Area under the following conditions:

1. When high winds are blowing;
2. When a person age 17 or over is not present at all times to watch and tend fire; or
3. When the fire code official declares a Red Flag Warning.

4912.10 Outdoor Fireplaces and Barbeques. Permanent barbeques, portable barbeques, outdoor fireplaces or grills shall not be used for the disposal of rubbish, trash, or combustible waste material. Permanent barbeques outdoor fireplaces, portable barbeques and grills shall be a minimum of 30 feet from grass, grain, brush or forested areas, maintained in good repair and in a safe condition at all times. Openings in such appliances shall be provided with an approved spark arrester, screen, or door.

Exception: When approved, unprotected openings in barbeques and grills necessary for proper functioning.

4912.11 Dumping. Garbage, cans, bottles, papers, ashes, refuse, trash, rubbish or combustible waste material shall not be placed, deposited or dumped in or upon the High Fire Hazard Area or in, upon or along trails, roadways or highways in the High Fire Hazard Area.

Exception: Approved public and private dumping areas.

4912.12 Disposal Of Ashes. Ashes and coals shall not be placed, deposited or dumped in or upon the High Fire Hazard Area.

Exception: (1) In the hearth of an established fire pit, camp stove or fireplace, (2) In a noncombustible container with a tight fitting lid, which is kept or maintained in a safe location not less than 10 feet from combustible vegetation or structures, (3) Where such ashes or coals are buried and covered with 1 foot of mineral earth not less than 25 feet from combustible vegetation or structures.

4912.13 Use Of Fire Roads And Firebreaks. Motorcycles, motor scooters and motor vehicles shall not be driven or parked upon, and trespassing is prohibited upon, fire

roads or firebreaks beyond the point where travel is restricted by a cable, gate or sign, without the permission of the property owners. Vehicles shall not be parked in a manner which obstructs the entrance to a fire road or firebreak.

Exception: Public officers acting within their scope of duty.

U. Section 5601 "General" is amended to add Sections 5601.2, 5601.3, and 5601.4 to read as follows:

Section 5601.2 Explosives and Blasting Agents. Storage of explosives and blasting agents is restricted to the A-I (Airport Industrial) zone.

Section 5601.1.3 Fireworks. The manufacturing, possession, storage, sale, use and handling of fireworks are prohibited in the City of Santa Barbara.

Exceptions:

1. Storage and Handling of Fireworks as allowed in Section 5604.
2. Manufacture, testing and assembly of fireworks as allowed in Section 5605 and Health and Safety Code Division 11.
3. The use of Fireworks for Fireworks displays, pyrotechnics before a proximate audience and pyrotechnic special effects in motion pictures, television, theatrical or group entertainment productions as allowed in Title 19, Division 1, Chapter 6 Fireworks reprinted in Section 5608 and Health and Safety Code Division 11.

Section 5601.7 Seizure: The fire code official is authorized to remove or caused to be removed or disposed of in an approved manner, at the expense of the owner, explosives, explosive materials or fireworks offered or exposed for sale, stored, possessed or used in violation of this ordinance and Chapter 56.

SECTION 4. Section 8.04.030 of Chapter 8.04 of Title 8 the Santa Barbara Municipal Code is adopted to read as follows:

8.04.030 Fire Prevention Development Standards

A Fire Zone 2. Buildings or portions of buildings constructed within the boundaries of Fire Zone 2, as designated by the fire code official and shown on a map on file with the City Clerk and the Community Development Department, shall provide a ten thousand (10,000) gallon water tank to be used for fire protection purposes only, designed, installed and maintained in a manner approved by the fire code official, incorporating each of the following additional features in its construction:

1. All fire department access complies with the requirements of Section 503 of the International Fire Code (2021 Edition) as amended by this Chapter; and
2. All plantings used for landscaping within one hundred-fifty feet (150') of any structure must be fire resistant; and
3. All native brush, shrubs and grasses are kept cleared to within one hundred-fifty feet (150') of any structure; and
4. Residential fire sprinklers are installed in any building used for sleeping or cooking according to National Fire Protection Association Residential Standards.

B. Mixed Use Occupancy Notification System. Signs shall be installed in a manner and in locations approved by the fire code official indicating the presence of residential dwelling units in buildings of mixed-use occupancy. Required signs shall be clearly visible from the front of the building and conform to the following criteria:

1. All signs shall begin with the letter **R** followed by a hyphen.
2. **R** - shall be followed by cardinal numbers denoting the floors containing dwelling units. Example: **R-2** denotes dwelling units on the 2nd floor; **R-2-3** denotes dwelling units on the 2nd and 3rd floors.
3. Letters shall have a minimum of 4" high with a 1/2" wide stroke.
4. Letters shall contrast to their background.
5. Letters on glass shall be in reflective tape.
6. In the event that dwelling units are added or removed from floors, the required sign shall be updated prior to the occupancy of the altered floor space.



CITY OF SANTA BARBARA

COUNCIL AGENDA REPORT

AGENDA DATE: November 15, 2022

TO: Mayor and Councilmembers

FROM: Environmental Services Division, Sustainability and Resilience Department

SUBJECT: Designation of the Sustainability and Resilience Department as the Entity Responsible for Enforcement of Municipal Code Chapters 9.150 and 9.165 [Ordinance Introduction]

RECOMMENDATION:

That Council introduce and subsequently adopt, by reading of title only, an Ordinance of the Council of the City of Santa Barbara Amending Sections 9.150.070 and 9.165.050 of the Santa Barbara Municipal Code (SBMC) to Designate the Sustainability and Resilience Department as the Entity Responsible for Enforcement and Make Corresponding Changes Consistent with State Law.

DISCUSSION:

SBMC Sections 9.150.070 and 9.165.050 currently designate the City Finance Director as the primary entity responsible for enforcement of Chapters 9.150 (Single-Use Carryout Bags) and 9.165 (Restrictions on Provision of Plastic Beverage Straws, Stirrers, and Cutlery). The amendment will designate the Sustainability and Resilience Department, which oversees the City's solid waste program, as the primary enforcement authority for single use carry out bags and plastic beverage straws, stirrers, and cutlery ordinances.

Earlier this year, the state legislature adopted AB 1276, which places restrictions on the distribution of single use foodware accessories and standard condiments and requires local jurisdictions to enforce the requirements. Pursuant to the subject ordinance, SBMC Section 9.165.050 will be amended to designate the Sustainability and Resilience Department as the local entity responsible for enforcement of AB 1276 under Chapter 5.2 of Part 3 of Division 30 of the California Public Resources Code. The proposed ordinance also removes provisions of Section 9.165.050 relating to applications for a one year exemption because it has been more than four years since the chapter was adopted.

BUDGET/FINANCIAL INFORMATION:

This action does not have a budget or financial impact on the City.

SUSTAINABILITY IMPACT:

This municipal code amendment will allow the Sustainability and Resilience Department to continue the City's waste reduction efforts. Single use items are sources of waste and litter within the city that can end up in landfills, creeks, and the ocean. Limiting the consumption of these single use items will help reduce pollution in our environment.

PREPARED BY: Daniela Rosales, Environmental Services Specialist II

SUBMITTED BY: Alelia Parenteau, Acting Sustainability and Resilience Co-Director

APPROVED BY: City Administrator's Office

ORDINANCE NO. _____

AN ORDINANCE OF THE COUNCIL OF THE CITY OF SANTA BARBARA AMENDING SECTIONS 9.150.070 AND 9.165.050 OF THE SANTA BARBARA MUNICIPAL CODE (SBMC) TO DESIGNATE THE SUSTAINABILITY AND RESILIENCE DEPARTMENT AS THE ENTITY RESPONSIBLE FOR ENFORCEMENT AND MAKE CORRESPONDING CHANGES CONSISTENT WITH STATE LAW

THE CITY COUNCIL OF THE CITY OF SANTA BARBARA DOES ORDAIN AS FOLLOWS:

SECTION 1. Section 9.150.070 of the Santa Barbara Municipal Code is amended to read as follows:

9.150.070 Enforcement and Violations - Penalties.

A. Administrative Enforcement. ~~The City Finance Director (or his/her designee) shall have the~~ Sustainability and Resilience Department has primary responsibility for enforcement of this chapter. The Sustainability and Resilience Director is authorized to promulgate Departmental regulations to assist stores in understanding and in complying with this chapter and to take any and all other actions reasonable and necessary to enforce and interpret this chapter.

B. Regulations on Free Reusable Bags. If determined to be appropriate and necessary, the ~~City Finance~~ Sustainability and Resilience Director may adopt regulations restricting or limiting the ability of those stores defined in paragraphs J.1 and J.2 of Section 9.150.010 to offer customers free reusable bags as a promotional item.

SECTION 2. Section 9.165.050 of the Santa Barbara Municipal Code is amended

to read as follows:

9.165.050 Exemptions and Enforcement.

~~A. — A food provider or beverage provider may apply for an exemption from the requirements set forth in Section 9.165.030.A as provided in this section. The Finance Director is authorized and directed to promulgate and publish rules and regulations to interpret and implement this chapter, including specifically the exemptions.~~

~~B. — The Finance Director or his or her designee may approve exemptions in the following categories:~~

- ~~1. — Food Provider or Beverage Provider – Financial Hardship or Practical Difficulty. The Finance Director may exempt a food provider or beverage provider when compliance would create an undue hardship or practical difficulty such as when no alternatives are available or when such alternatives are not economically feasible for the food provider or beverage provider from the requirements set forth in Section 9.165.030.A for up to one year if the food provider or beverage provider applies for an exemption from the City Finance Director showing, in writing, that this chapter would create an undue hardship or practical difficulty as evidenced by no alternatives being available or such alternatives are not affordable to the food provider or beverage provider.~~
- ~~2. — Public Health and Safety. The Finance Director may exempt a food provider or beverage provider when there is a public health and safety requirement or medical necessity to use the product.~~

~~C. Procedures for Applying for an Exemption – Application Materials. An exemption application shall include all information necessary for the Finance Director or designee to make a decision, including, but not limited to, documentation showing factual support for the claimed exemption. The Finance Director or designee may require the applicant to provide additional information. The application shall be in a form prescribed by the Finance Director.~~

~~DA.~~ Food providers and beverage providers are exempt from the provisions of this chapter under the following circumstances:

1. During a locally declared emergency, the City, emergency response agencies operating within the City, users of City facilities, and food providers and beverage providers shall be exempt from the provisions of this chapter.
2. The provision of plastic beverage straws, stirrers or cutlery when the plastic beverage straws, stirrers or cutlery are provided as a part of product that was packaged outside the City and sold within the City.
3. The food provider or beverage provider provides or distributes a plastic beverage straw to a person in order to assure full compliance with the Americans with Disabilities Act (42 USC § 12102).

B. The Sustainability and Resilience Department has primary responsibility for enforcement of this chapter.

C. Pursuant to California Public Resources Code Section 42272, the Sustainability and Resilience Department is designated as the agency for enforcement of Chapter 5.2 (commencing with Section 42270) of Part 3 of Division 30 of the California Public

Resources Code.



CITY OF SANTA BARBARA

COUNCIL AGENDA REPORT

AGENDA DATE: November 15, 2022

TO: Mayor and Councilmembers

FROM: Accounting Division, Finance Department

SUBJECT: Fiscal Year 2023 First-Quarter Review

RECOMMENDATION: That Council:

- A. Receive a report from staff on the status of revenues and expenditures in relation to the budget for the three months ended September 30, 2022;
- B. Accept the Fiscal Year 2023 Interim Financial Statements for the three months ended September 30, 2022; and
- C. Approve the proposed first quarter adjustments to Fiscal Year 2023 appropriations and estimated revenues as detailed in the attached schedule of Proposed First Quarter Adjustments.

DISCUSSION:

Each month, staff presents the interim financial statements (Attachment 1) showing the status of revenues and expenditures in relation to budget for each of the City's Funds. Each quarter, the interim financial statements are expanded to include a detailed narrative analysis of the General Fund and Enterprise Funds. This narrative analysis is included in Attachment 2.

In addition to the First Quarter budget analysis, staff brings forward recommended adjustments for City Council approval. These adjustments are the result of new information and/or unanticipated events that occurred since the adoption of the budget in June 2022. A listing and description of each proposed adjustment to the current year budget is provided in Attachment 3.

Due to the economic uncertainties articulated in the FY2023 adopted budget and the need to implement \$1 Million of budget reduction plans spread amongst all General Funded City departments, staff implemented a first quarter review process to review revenue and expenditure line items to assess any material changes that may occur during the fiscal year. This information was reviewed and discussed with department and Finance staff and consolidated into this first quarter report for the City Council's review.

For General Funded departments, staff have reviewed their operating budget reduction targets and have identified specific plans in order to meet these targets. This approach to identify and confirm specific budget reduction line items will best ensure required cost savings in order to achieve a balance budget by the end of the fiscal year.

The table below summarizes the General Fund revenue and expenditure budget including actuals through First Quarter. The total adopted revenue budget is \$184.6 Million and the total adopted expenditure budget is \$185.7 Million, resulting an operating deficit of \$1.1 Million. The revised budget includes additional expenditures from encumbrances from prior year contractual commitments.

General Fund	FY2023 Adopted Budget	FY2023 Revised Budget	Q1 Actuals	Q1 Actuals Percent of Revised Budget
Revenues	\$184,618,453	\$184,523,307	\$39,878,529	21.6%
Expenditures	185,678,749	191,907,700	42,159,349	22.0%
Surplus/(Deficit)	(\$1,060,296)	(\$7,384,393)	(\$2,280,820)	

Staff are anticipating continued economic recovery from the impacts of COVID that will likely result in additional sales tax and transient occupancy tax revenues compared to last fiscal year and the FY2023 adopted budget. Staff are projecting that property tax revenue will be \$1.2 Million more than the adopted budget. General Fund departments are projected to implement the \$1 Million of expenditure reduction targets included in the adopted budget. Additional expenditure savings are likely to be realized due to continuing high staff vacancy rates.

At this time, staff are not recommending any additional budget actions in order to balance the budget by fiscal year end. Furthermore, staff will continue to closely monitor revenues and expenditures each month, and provide a comprehensive financial projection during the mid-year report in preparation for the upcoming FY2024 budget cycle. Also, staff will continue to monitor fund balance and reserve implications due to any additional economic changes and present potential impacts in the mid-year report.

ATTACHMENTS:

1. Summary by Fund Statement of Revenues and Expenditures for the Three Months Ended September 30, 2022
2. Interim Financial Statements for the Three Months Ended September 30, 2022 (Narrative Analysis)
3. Schedule of Proposed First Quarter Adjustments

PREPARED BY: Doug Smith, Accounting Manager

SUBMITTED BY: Keith DeMartini, Finance Director

APPROVED BY: City Administrator's Office

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
Summary by Fund
For the Three Months Ended September 30, 2022 (25% of Fiscal Year)

	Revised Budget	YTD Actual	Encum- brances	Remaining Balance	Percent of Budget	Previous YTD
GENERAL FUND (pages 3-7)						
Revenue	184,523,308	39,878,531	3,005	144,647,782	21.6%	38,122,175
Expenditures	<u>191,907,700</u>	<u>42,159,349</u>	<u>4,730,329</u>	145,018,022	24.4%	<u>43,117,047</u>
Addition to / (use of) reserves	<u>(7,384,392)</u>	<u>(2,280,818)</u>	<u>(4,733,334)</u>			<u>(4,994,872)</u>
SOLID WASTE FUND (page 9)						
Revenue	34,140,749	8,431,160	-	25,709,589	24.7%	8,743,968
Expenditures	<u>34,402,810</u>	<u>6,219,736</u>	<u>493,949</u>	27,689,125	19.5%	<u>6,623,200</u>
Addition to / (use of) reserves	<u>(262,061)</u>	<u>2,211,424</u>	<u>(493,949)</u>			<u>2,120,769</u>
WATER OPERATING FUND (page 10)						
Revenue	70,492,814	18,491,656	-	52,001,158	26.2%	17,972,162
Expenditures	<u>70,960,432</u>	<u>13,758,305</u>	<u>7,499,669</u>	49,702,458	30.0%	<u>18,816,906</u>
Addition to / (use of) reserves	<u>(467,618)</u>	<u>4,733,351</u>	<u>(7,499,669)</u>			<u>(844,744)</u>
WASTEWATER OPERATING FUND (page 11)						
Revenue	27,441,554	6,780,995	-	20,660,559	24.7%	6,482,721
Expenditures	<u>28,321,916</u>	<u>5,218,368</u>	<u>2,134,277</u>	20,969,271	26.0%	<u>5,224,887</u>
Addition to / (use of) reserves	<u>(880,362)</u>	<u>1,562,627</u>	<u>(2,134,277)</u>			<u>1,257,833</u>
DOWNTOWN PARKING FUND (page 12)						
Revenue	10,266,997	2,408,266	-	7,858,731	23.5%	1,596,291
Expenditures	<u>10,750,478</u>	<u>2,186,189</u>	<u>1,509,400</u>	7,054,888	34.4%	<u>1,895,066</u>
Addition to / (use of) reserves	<u>(483,481)</u>	<u>222,076</u>	<u>(1,509,400)</u>			<u>(298,775)</u>
AIRPORT OPERATING FUND (page 13)						
Revenue	27,185,151	6,332,773	-	20,852,378	23.3%	6,179,360
Expenditures	<u>30,040,741</u>	<u>5,555,445</u>	<u>3,572,728</u>	20,912,569	30.4%	<u>6,070,352</u>
Addition to / (use of) reserves	<u>(2,855,590)</u>	<u>777,329</u>	<u>(3,572,728)</u>			<u>109,008</u>
GOLF COURSE FUND (page 14)						
Revenue	3,837,489	1,247,974	-	2,589,515	32.5%	1,094,894
Expenditures	<u>3,294,658</u>	<u>697,744</u>	<u>2,170,709</u>	426,205	87.1%	<u>603,387</u>
Addition to / (use of) reserves	<u>542,831</u>	<u>550,230</u>	<u>(2,170,709)</u>			<u>491,507</u>
FACILITIES MANAGEMENT FUND (page 15)						
Revenue	6,974,899	1,703,976	-	5,270,923	24.4%	1,458,556
Expenditures	<u>7,277,427</u>	<u>1,527,523</u>	<u>707,817</u>	5,042,088	30.7%	<u>1,474,968</u>
Addition to / (use of) reserves	<u>(302,528)</u>	<u>176,454</u>	<u>(707,817)</u>			<u>(16,412)</u>

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
Summary by Fund
For the Three Months Ended September 30, 2022 (25% of Fiscal Year)

	Revised Budget	YTD Actual	Encum- brances	Remaining Balance	Percent of Budget	Previous YTD
FLEET REPLACEMENT FUND (page 16)						
Revenue	3,761,445	836,664	-	2,924,781	22.2%	813,589
Expenditures	5,340,104	78,355	864,106	4,397,643	17.6%	706,232
<i>Addition to / (use of) reserves</i>	<u>(1,578,659)</u>	<u>758,309</u>	<u>(864,106)</u>			<u>107,357</u>
FLEET MAINTENANCE FUND (page 17)						
Revenue	3,226,802	831,317	-	2,395,485	25.8%	745,944
Expenditures	3,282,091	709,455	340,517	2,232,120	32.0%	708,287
<i>Addition to / (use of) reserves</i>	<u>(55,289)</u>	<u>121,862</u>	<u>(340,517)</u>			<u>37,656</u>
SELF INSURANCE TRUST FUND (page 18)						
Revenue	13,067,765	3,255,512	-	9,812,253	24.9%	2,232,639
Expenditures	14,203,713	2,654,396	360,525	11,188,791	21.2%	2,392,971
<i>Addition to / (use of) reserves</i>	<u>(1,135,948)</u>	<u>601,116</u>	<u>(360,525)</u>			<u>(160,332)</u>
INFORMATION TECHNOLOGY FUND (page 19)						
Revenue	5,261,982	1,259,501	-	4,002,481	23.9%	1,121,496
Expenditures	5,158,122	1,430,710	129,525	3,597,887	30.2%	1,489,235
<i>Addition to / (use of) reserves</i>	<u>103,860</u>	<u>(171,209)</u>	<u>(129,525)</u>			<u>(367,739)</u>
WATERFRONT OPERATING FUND (page 20)						
Revenue	17,036,131	5,340,214	-	11,695,917	31.3%	5,017,279
Expenditures	19,027,445	4,490,943	1,081,898	13,454,604	29.3%	3,927,784
<i>Addition to / (use of) reserves</i>	<u>(1,991,314)</u>	<u>849,271</u>	<u>(1,081,898)</u>			<u>1,089,495</u>
SANTA BARBARA CLEAN ENERGY FUND (page 21)						
Revenue	34,000,000	5,647,233	-	28,352,767	16.6%	200,140
Expenditures	33,400,235	3,548,853	1,548,661	28,302,722	15.3%	63,851
<i>Addition to / (use of) reserves</i>	<u>599,765</u>	<u>2,098,380</u>	<u>(1,548,661)</u>			<u>136,290</u>
ENERGY AND CLIMATE MANAGEMENT FUND (page 22)						
Revenue	2,552,568	638,142	-	1,914,426	25.0%	761,868
Expenditures	2,922,691	412,782	256,099	2,253,810	22.9%	295,563
<i>Addition to / (use of) reserves</i>	<u>(370,123)</u>	<u>225,360</u>	<u>(256,099)</u>			<u>466,305</u>
TOTAL FOR ALL FUNDS						
Revenue	443,769,654	103,083,914	3,005	340,688,744	23.2%	92,543,081
Expenditures	460,290,564	90,648,153	27,400,208	342,242,203	25.6%	93,409,735
<i>Addition to / (use of) reserves</i>	<u>(16,520,910)</u>	<u>12,435,761</u>	<u>(27,403,213)</u>			<u>(866,654)</u>

*** It is City policy to adopt a balanced budget. In most cases, encumbrance balances exist at year-end. These encumbrance balances are obligations of each fund and must be reported at the beginning of each fiscal year. In addition, a corresponding appropriations entry must be made in order to accommodate the 'carried-over' encumbrance amount. Most differences between budgeted annual revenues and expenses are due to these encumbrance carryovers.*

CITY OF SANTA BARBARA
General Fund
Interim Statement of Budgeted and Actual Revenues
For the Three Months Ended September 30, 2022 (25% of Fiscal Year)

	Revised Budget	YTD Actual	Remaining Balance	Percent Received	Previous YTD	Change Over Prior Year
TAXES						
Sales and Use	26,704,042	7,408,720	19,295,322	27.7%	7,458,934	-0.7%
Measure C Sales Tax	28,323,342	8,104,704	20,218,638	28.6%	7,647,258	6.0%
Property Taxes	43,250,489	-	43,250,489	0.0%	-	0.0%
Utility Users Tax	7,779,112	2,138,098	5,641,014	27.5%	1,926,902	11.0%
Transient Occupancy Tax	23,583,553	9,047,587	14,535,966	38.4%	8,311,110	8.9%
Business License	2,714,006	506,812	2,207,194	18.7%	523,132	-3.1%
Cannabis Excise Tax	1,879,977	368,558	1,511,419	19.6%	484,519	-23.9%
Real Property Transfer Tax	1,328,218	294,470	1,033,748	22.2%	321,276	-8.3%
<i>Total Tax Revenues</i>	<u>135,562,739</u>	<u>27,868,950</u>	<u>107,693,790</u>	<u>20.6%</u>	<u>26,673,131</u>	<u>4.5%</u>
LICENSES & PERMITS						
Licenses & Permits	159,858	39,539	120,319	24.7%	53,137	-25.6%
<i>Total</i>	<u>159,858</u>	<u>39,539</u>	<u>120,319</u>	<u>24.7%</u>	<u>53,137</u>	<u>-25.6%</u>
FINES & FORFEITURES						
Police	2,358,618	276,092	2,082,526	11.7%	837,863	-67.0%
Other Fines & Forfeitures	660,302	297,607	362,695	45.1%	5,729	5095.1%
<i>Total</i>	<u>3,018,920</u>	<u>573,699</u>	<u>2,445,221</u>	<u>19.0%</u>	<u>843,591</u>	<u>-32.0%</u>
USE OF MONEY & PROPERTY						
Investment Income	529,185	246,429	282,756	46.6%	87,090	183.0%
Rents & Concessions	1,392,631	376,270	1,016,361	27.0%	192,512	95.5%
<i>Total</i>	<u>1,921,816</u>	<u>622,700</u>	<u>1,299,117</u>	<u>32.4%</u>	<u>279,602</u>	<u>122.7%</u>
INTERGOVERNMENTAL						
Library	905,598	16,454	889,143	1.8%	42,649	-61.4%
Fire	1,000,000	19,123	980,877	1.9%	5,930	222.5%
Other Intergovernmental	709,932	50,690	659,242	7.1%	129,275	-60.8%
<i>Total</i>	<u>2,615,530</u>	<u>86,267</u>	<u>2,529,262</u>	<u>3.3%</u>	<u>177,854</u>	<u>-51.5%</u>
FEES & SERVICE CHARGES						
Community Development	6,313,279	1,866,861	4,446,418	29.6%	1,713,878	8.9%
Parks & Recreation	4,511,330	1,524,955	2,986,375	33.8%	1,417,558	7.6%
Other Service Charges	4,042,475	1,198,461	2,844,014	29.6%	763,112	57.0%
<i>Total</i>	<u>14,867,084</u>	<u>4,590,277</u>	<u>10,276,807</u>	<u>30.9%</u>	<u>3,894,548</u>	<u>17.9%</u>
OTHER REVENUES						
Interfund Charges & Reimbursement	11,922,594	2,594,714	9,327,880	21.8%	2,522,668	2.9%
Overhead Indirect Allocations	9,654,155	2,402,595	7,251,560	24.9%	2,246,765	6.9%
Interfund Transfers	562,510	124,034	438,476	22.1%	412,935	-70.0%
Interfund Loans	(24,140)	6,035	(30,175)	-25.0%	6,035	0.0%
Miscellaneous	116,442	21,742	94,701	18.7%	54,957	-60.4%
Donations	138,709	724	137,986	0.5%	1,490	-51.4%
Franchise Fees	4,007,090	947,256	3,059,834	23.6%	955,462	-0.9%
<i>Total</i>	<u>26,377,360</u>	<u>6,097,099</u>	<u>20,280,261</u>	<u>23.1%</u>	<u>6,200,311</u>	<u>-1.7%</u>
<i>Subtotal Non-Tax Revenues</i>	<u>48,960,569</u>	<u>12,009,581</u>	<u>36,950,987</u>	<u>24.5%</u>	<u>11,449,044</u>	<u>4.9%</u>
TOTAL REVENUES	<u>184,523,308</u>	<u>39,878,531</u>	<u>144,644,777</u>	<u>21.6%</u>	<u>38,122,175</u>	<u>4.6%</u>

CITY OF SANTA BARBARA
General Fund
Interim Statement of Appropriations, Expenditures and Encumbrances
For the Three Months Ended September 30, 2022 (25% of Fiscal Year)

	Revised Budget	YTD Actual	Encum- brances	Remaining Balance	YTD Expended and Encumbered	Previous YTD
GENERAL GOVERNMENT						
<u>Mayor & City Council</u>						
MAYOR & CITY COUNCIL	1,036,953	234,980	2,936	799,036	22.9%	228,243
ARTS AND COMMUNITY PROMOTIONS	2,335,503	423,679	1,207,432	704,392	69.8%	597,438
<i>Total</i>	<u>3,372,456</u>	<u>658,659</u>	<u>1,210,368</u>	<u>1,503,429</u>	<u>55.4%</u>	<u>825,680</u>
<u>City Attorney</u>						
CITY ATTORNEY-ADMINISTRATION	1,040,676	236,995	237,311	566,370	45.6%	244,417
CITY ATTORNEY-ADVISORY	1,520,447	348,942	-	1,171,504	22.9%	364,199
CITY ATTORNEY-CIVIL LITIGATION	1,429,249	288,011	-	1,141,238	20.2%	247,793
CITY ATTORNEY-CODE ENFORCEMENT	506,545	95,502	-	411,043	18.9%	123,249
<i>Total</i>	<u>4,496,917</u>	<u>969,451</u>	<u>237,311</u>	<u>3,290,155</u>	<u>26.8%</u>	<u>979,657</u>
<u>Administration</u>						
CITY ADMINISTRATOR	2,481,641	466,272	82,216	1,933,153	22.1%	510,702
CITY TV	729,923	166,690	158,353	404,880	44.5%	135,577
CITY CLERK	721,579	147,020	37,000	537,559	25.5%	152,795
<i>Total</i>	<u>3,933,142</u>	<u>779,981</u>	<u>277,569</u>	<u>2,875,592</u>	<u>26.9%</u>	<u>799,073</u>
<u>Human Resources</u>						
HUMAN RESOURCES	2,579,904	458,690	40,600	2,080,614	19.4%	410,964
EMPLOYEE RELATIONS	425,310	61,685	93,112	270,514	36.4%	54,171
EMPLOYEE DEVELOPMENT	42,699	4,461	-	38,238	10.4%	-
<i>Total</i>	<u>3,047,913</u>	<u>524,836</u>	<u>133,712</u>	<u>2,389,365</u>	<u>21.6%</u>	<u>465,135</u>
<u>Finance</u>						
ADMINISTRATION	334,970	69,719	24,339	240,912	28.1%	87,283
REVENUE & CASH MANAGEMENT	773,693	145,998	3,365	624,330	19.3%	134,551
CASHIERING & COLLECTION	636,968	131,803	-	505,166	20.7%	146,716
LICENSES & PERMITS	766,641	132,718	2,942	630,981	17.7%	114,344
BUDGET MANAGEMENT	816,288	128,032	56,483	631,773	22.6%	135,342
ACCOUNTING	1,141,852	242,881	84,216	814,756	28.6%	235,285
PAYROLL	479,984	111,487	-	368,497	23.2%	115,544
ACCOUNTS PAYABLE	278,278	66,395	-	211,883	23.9%	66,222
CITY BILLING & CUSTOMER SERVICE	833,618	139,234	3,355	691,029	17.1%	133,627
PURCHASING	840,556	197,335	96	643,126	23.5%	227,262
CENTRAL WAREHOUSE	244,738	61,965	-	182,773	25.3%	59,727
MAIL SERVICES	163,466	35,696	5,457	122,313	25.2%	34,971
<i>Total</i>	<u>7,311,052</u>	<u>1,463,262</u>	<u>180,253</u>	<u>5,667,538</u>	<u>22.5%</u>	<u>1,490,874</u>
TOTAL GENERAL GOVERNMENT	<u>22,161,481</u>	<u>4,396,188</u>	<u>2,039,214</u>	<u>15,726,079</u>	<u>29.0%</u>	<u>4,560,421</u>

CITY OF SANTA BARBARA
General Fund
Interim Statement of Appropriations, Expenditures and Encumbrances
For the Three Months Ended September 30, 2022 (25% of Fiscal Year)

	Revised Budget	YTD Actual	Encum- brances	Remaining Balance	YTD Expended and Encumbered	Previous YTD
PUBLIC SAFETY						
<u>Police</u>						
CHIEF'S STAFF	717,769	295,231	4,821	417,717	41.8%	440,878
BUSINESS OFFICE	675,625	133,154	2,849	539,621	20.1%	136,275
RECORDS BUREAU	2,142,109	376,461	2,883	1,762,765	17.7%	436,814
STRATEGIC OPERATIONS AND PERSONNEL	1,902,857	432,445	265,756	1,204,656	36.7%	498,115
PROPERTY ROOM	259,996	62,511	-	197,485	24.0%	51,624
TRAINING, RECRUITMENT AND WELLNESS	2,118,941	405,950	64,918	1,648,072	22.2%	392,499
RANGE AND EQUIPMENT	1,550,872	368,465	14,601	1,167,806	24.7%	356,290
COMMUNITY & MEDIA RELATIONS	488,574	37,038	-	451,536	7.6%	92,141
INFORMATION TECHNOLOGY/CRIME ANALYSIS	1,736,115	529,677	75,852	1,130,587	34.9%	580,039
CRIMINAL INVESTIGATIONS & INTERNAL OP'S	6,549,715	1,328,790	6,442	5,214,482	20.4%	1,426,545
CRIME LAB	170,298	36,213	-	134,085	21.3%	38,841
FIELD OPERATIONS DIVISION	23,293,045	4,794,096	27,639	18,471,310	20.7%	4,686,860
TRAFFIC	772,855	120,286	-	652,569	15.6%	269,445
SPECIAL EVENTS	627,643	297,076	14,998	315,569	49.7%	332,135
STREET CRIMES UNIT	2,731,971	358,839	-	2,373,131	13.1%	313,059
SPECIAL ENFORCEMENT TEAM	884,466	57,100	-	827,366	6.5%	241,194
PARKING AND STREET SWEEPING	397,555	104,358	-	293,197	26.2%	96,358
PARKING ENFORCEMENT	1,482,760	190,105	100,406	1,192,248	19.6%	226,427
COMBINED COMMUNICATIONS CENTER	3,129,417	791,533	-	2,337,883	25.3%	837,611
ANIMAL CONTROL	954,668	101,997	4,142	848,528	11.1%	119,808
<i>Total</i>	<u>52,587,249</u>	<u>10,821,326</u>	<u>585,307</u>	<u>41,180,616</u>	<u>21.7%</u>	<u>11,572,956</u>
<u>Fire</u>						
ADMINISTRATION	1,322,186	287,382	851	1,033,952	21.8%	281,745
EMERGENCY SERVICES AND PUBLIC ED	578,117	114,685	-	463,432	19.8%	120,991
PREVENTION	1,598,680	304,012	525	1,294,143	19.0%	338,042
WILDLAND FIRE MITIGATION PROGRAM	738,902	86,422	17,643	634,837	14.1%	55,849
OPERATIONS	26,377,093	6,239,873	99,569	20,037,650	24.0%	7,307,555
TRAINING AND RECRUITMENT	1,187,072	169,810	164	1,017,098	14.3%	135,384
ARFF	3,105,504	769,241	-	2,336,263	24.8%	775,386
<i>Total</i>	<u>34,907,554</u>	<u>7,971,426</u>	<u>118,753</u>	<u>26,817,376</u>	<u>23.2%</u>	<u>9,014,953</u>
TOTAL PUBLIC SAFETY	<u>87,494,803</u>	<u>18,792,752</u>	<u>704,059</u>	<u>67,997,992</u>	<u>22.3%</u>	<u>20,587,910</u>
PUBLIC WORKS						
<u>Public Works</u>						
ADMINISTRATION	1,464,933	311,608	27,522	1,125,804	23.1%	317,569
ENGINEERING SVCS	7,094,124	1,312,839	51,782	5,729,503	19.2%	1,442,028
PUBLIC RT OF WAY MGMT	2,092,273	369,724	52,069	1,670,480	20.2%	402,010
ENVIRONMENTAL PROGRAMS	806,576	88,255	211,131	507,190	37.1%	99,480
<i>Total</i>	<u>11,457,906</u>	<u>2,082,427</u>	<u>342,503</u>	<u>9,032,976</u>	<u>21.2%</u>	<u>2,261,088</u>
TOTAL PUBLIC WORKS	<u>11,457,906</u>	<u>2,082,427</u>	<u>342,503</u>	<u>9,032,976</u>	<u>21.2%</u>	<u>2,261,088</u>

CITY OF SANTA BARBARA
General Fund
Interim Statement of Appropriations, Expenditures and Encumbrances
For the Three Months Ended September 30, 2022 (25% of Fiscal Year)

	Revised Budget	YTD Actual	Encum- brances	Remaining Balance	YTD Expended and Encumbered	Previous YTD
COMMUNITY SERVICES						
<u>Parks & Recreation</u>						
REC PROGRAM MGMT	1,047,254	219,465	3,854	823,934	21.3%	146,711
FACILITIES & SPECIAL EVENTS	1,574,152	347,893	138,527	1,087,732	30.9%	275,041
YOUTH ACTIVITIES	1,631,330	481,396	13,759	1,136,175	30.4%	403,515
ACTIVE ADULTS	1,109,737	262,193	8,341	839,204	24.4%	252,003
AQUATICS	2,230,584	683,552	149,834	1,397,198	37.4%	689,658
SPORTS	856,188	189,395	160,436	506,357	40.9%	203,170
TENNIS	566,645	111,278	173,057	282,310	50.2%	112,933
NEIGHBORHOOD & OUTREACH SERV	1,235,466	257,102	6,745	971,619	21.4%	255,500
ADMINISTRATION	1,269,735	270,739	901	998,095	21.4%	254,233
PROJECT MANAGEMENT TEAM	740,712	145,488	29,001	566,223	23.6%	128,379
PARK OPERATIONS MANAGEMENT	1,043,643	232,913	11,683	799,047	23.4%	161,553
GROUPS & FACILITIES MAINTENANCE	5,926,303	1,312,741	147,702	4,465,859	24.6%	1,276,254
FORESTRY	1,609,092	324,865	101,901	1,182,327	26.5%	312,008
BEACH MAINTENANCE	158,254	27,477	8,610	122,167	22.8%	34,345
MEDIANS PARKWAYS & CONTRACTS	607,447	110,836	120,030	376,581	38.0%	105,524
<i>Total</i>	<u>21,606,542</u>	<u>4,977,335</u>	<u>1,074,378</u>	<u>15,554,829</u>	<u>28.0%</u>	<u>4,610,828</u>
<u>Library</u>						
ADMINISTRATION	932,821	245,949	99,654	587,218	37.0%	137,209
CENTRAL LIBRARY	5,946,744	1,145,827	7,400	4,793,518	19.4%	1,109,099
EASTSIDE LIBRARY	386,328	68,670	2,595	315,063	18.4%	82,499
LIBRARY ON THE GO	192,691	26,957	-	165,733	14.0%	21,809
ADULT EDUCATION	212,056	7,105	-	204,951	3.4%	-
<i>Total</i>	<u>7,670,640</u>	<u>1,494,508</u>	<u>109,649</u>	<u>6,066,483</u>	<u>20.9%</u>	<u>1,350,616</u>
TOTAL COMMUNITY SERVICES	<u>29,277,182</u>	<u>6,471,842</u>	<u>1,184,027</u>	<u>21,621,312</u>	<u>26.1%</u>	<u>5,961,443</u>
COMMUNITY DEVELOPMENT						
<u>Community Development</u>						
ADMINISTRATION	2,014,906	480,925	63,475	1,470,507	27.0%	335,340
RENTAL HOUSING MEDIATION	321,113	70,142	-	250,971	21.8%	73,372
HUMAN SERVICES	862,824	5,628	-	857,196	0.7%	6,623
LONG RANGE PLAN & SPEC STUDY	995,328	221,214	382	773,732	22.3%	274,155
DEVEL & ENVIRONMENTAL REVIEW	1,721,654	348,219	15,366	1,358,069	21.1%	385,946
ZONING INFO & ENFORCEMENT	1,744,050	314,092	22,139	1,407,819	19.3%	347,210
DESIGN REV & HIST PRESERVATION	1,582,720	342,273	3,582	1,236,865	21.9%	385,062
BLDG INSP & CODE ENFORCEMENT	1,761,300	295,181	35,724	1,430,396	18.8%	443,676
RECORDS ARCHIVES & CLER SVCS	797,593	152,276	46,696	598,621	24.9%	166,231
BLDG COUNTER & PLAN REV SVCS	2,646,010	461,392	213,900	1,970,718	25.5%	527,046
CODE COMPLIANCE	771,758	121,619	227	649,912	15.8%	-
<i>Total</i>	<u>15,219,256</u>	<u>2,812,961</u>	<u>401,489</u>	<u>12,004,806</u>	<u>21.1%</u>	<u>2,944,661</u>
TOTAL COMMUNITY DEVELOPMENT	<u>15,219,256</u>	<u>2,812,961</u>	<u>401,489</u>	<u>12,004,806</u>	<u>21.1%</u>	<u>2,944,661</u>

CITY OF SANTA BARBARA
General Fund
Interim Statement of Appropriations, Expenditures and Encumbrances
For the Three Months Ended September 30, 2022 (25% of Fiscal Year)

	Revised Budget	YTD Actual	Encum- brances	Remaining Balance	YTD Expended and Encumbered	Previous YTD
SUSTAINABILITY AND RESILIENCE						
<u>Sustainability and Resilience</u>						
ADMINISTRATION	222,146	29,237	59,036	133,873	39.7%	5,180
<i>Total</i>	222,146	29,237	59,036	133,873	39.7%	5,180
TOTAL SUSTAINABILITY AND RESILIENCE	222,146	29,237	59,036	133,873	39.7%	5,180
NON-DEPARTMENTAL						
<u>Non-Departmental</u>						
ANTICIPATED SALARY SAVINGS	(4,420,842)	-	-	(4,420,842)	0.0%	-
TRANSFERS OUT	858,743	214,686	-	644,057	25.0%	382,991
CAPITAL OUTLAY TRANSFER	29,437,026	7,359,256	-	22,077,770	25.0%	6,413,355
APPROP. RESERVE	200,000	-	-	200,000	0.0%	-
<i>Total</i>	26,074,927	7,573,942	-	18,500,985	29.0%	6,796,345
TOTAL NON-DEPARTMENTAL	26,074,927	7,573,942	-	18,500,985	29.0%	6,796,345
TOTAL EXPENDITURES	191,907,700	42,159,349	4,730,329	145,018,022	24.4%	43,117,047

*** The legal level of budgetary control is at the department level for the General Fund. Therefore, as long as the department as a whole is within budget, budgetary compliance has been achieved. The City actively monitors the budget status of each department and takes measures to address potential over budget situations before they occur.*

For Enterprise and Internal Service Funds, the level of budgetary control is at the fund level. The City also monitors and addresses these fund types for potential over budget situations.

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
Special Revenue Funds
For the Three Months Ended September 30, 2022 (25% of Fiscal Year)

	Revised Budget	YTD Actual	Encum- brances	Remaining Balance	Percent of Budget	Previous YTD
TRAFFIC SAFETY FUND						
Revenue	245,000	17,131	-	227,869	7.0%	57,879
Expenditures	245,000	17,131	-	227,869	7.0%	57,879
<i>Revenue Less Expenditures</i>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>		<u>-</u>
CREEK RESTORATION/WATER QUALITY IMPRVMT						
Revenue	4,845,513	1,858,445	-	2,987,068	38.4%	1,684,590
Expenditures	5,205,335	914,071	573,420	3,717,844	28.6%	776,360
<i>Revenue Less Expenditures</i>	<u>(359,822)</u>	<u>944,374</u>	<u>(573,420)</u>	<u>(730,776)</u>		<u>908,230</u>
COMMUNITY DEVELOPMENT BLOCK GRANT						
Revenue	1,196,247	44,835	-	1,151,412	3.7%	235,786
Expenditures	2,453,609	85,732	773,031	1,594,846	35.0%	359,695
<i>Revenue Less Expenditures</i>	<u>(1,257,362)</u>	<u>(40,897)</u>	<u>(773,031)</u>	<u>(443,434)</u>		<u>(123,909)</u>
COUNTY LIBRARY						
Revenue	446,729	844	-	445,885	0.2%	1,147
Expenditures	562,437	89,226	13,904	459,307	18.3%	206,796
<i>Revenue Less Expenditures</i>	<u>(115,709)</u>	<u>(88,383)</u>	<u>(13,904)</u>	<u>(13,422)</u>		<u>(205,649)</u>
STREETS FUND						
Revenue	13,178,081	3,187,807	-	9,990,274	24.2%	3,207,481
Expenditures	13,326,747	2,958,996	322,468	10,045,283	24.6%	2,913,451
<i>Revenue Less Expenditures</i>	<u>(148,666)</u>	<u>228,811</u>	<u>(322,468)</u>	<u>(55,009)</u>		<u>294,030</u>
MEASURE A FUND						
Revenue	5,045,484	1,016,980	-	4,028,504	20.2%	928,131
Expenditures	5,059,957	1,019,870	463,460	3,576,628	29.3%	799,852
<i>Revenue Less Expenditures</i>	<u>(14,473)</u>	<u>(2,890)</u>	<u>(463,460)</u>	<u>451,877</u>		<u>128,280</u>

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
For the Three Months Ended September 30, 2022 (25% of Fiscal Year)

SOLID WASTE FUND

	Revised Budget	YTD Actual	Encum- brances	Remaining Balance	Percent of Budget	Previous YTD
REVENUES						
Service charges	33,716,747	8,374,994	-	25,341,753	24.8%	7,848,194
Other Fees & Charges	70,000	9,133	-	60,867	13.0%	875,914
Investment Income	44,500	20,956	-	23,544	47.1%	6,415
Grants	23,279	23,279	-	-	100.0%	23,211
Miscellaneous	286,223	2,799	-	283,424	1.0%	(9,766)
TOTAL REVENUES	34,140,749	8,431,160	-	25,709,589	24.7%	8,743,968
EXPENSES						
Salaries & Benefits	1,736,916	320,052	-	1,416,864	18.4%	371,125
Materials, Supplies & Services	30,930,325	5,814,317	422,887	24,693,121	20.2%	6,231,252
Special Projects	1,380,659	37,020	55,846	1,287,793	6.7%	2,909
Transfers-Out	50,000	12,500	-	37,500	25.0%	12,500
Capital Outlay Transfers	973	243	-	730	25.0%	487
Equipment	241,518	35,604	15,217	190,697	21.0%	4,927
Other	40,000	-	-	40,000	0.0%	-
Appropriated Reserve	22,420	-	-	22,420	0.0%	-
TOTAL EXPENSES	34,402,810	6,219,736	493,949	27,689,125	19.5%	6,623,200
<i>Revenue Less Expense</i>	<u>(262,061)</u>	<u>2,211,424</u>	<u>(493,949)</u>	<u>(1,979,536)</u>		<u>2,120,769</u>

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
For the Three Months Ended September 30, 2022 (25% of Fiscal Year)

WATER OPERATING FUND

	Revised Budget	YTD Actual	Encum- brances	Remaining Balance	Percent of Budget	Previous YTD
REVENUES						
Water Sales- Metered	60,197,486	16,888,815	-	43,308,671	28.1%	17,042,457
Service Charges	208,765	91,534	-	117,231	43.8%	95,165
Cater JPA Treatment Charges	2,359,556	-	-	2,359,556	0.0%	(656)
Investment Income	901,175	327,873	-	573,302	36.4%	165,444
Reimbursements	6,759,704	1,162,722	-	5,596,982	17.2%	554,273
Miscellaneous	66,128	20,713	-	45,415	31.3%	115,478
TOTAL REVENUES	70,492,814	18,491,656	-	52,001,158	26.2%	17,972,162
EXPENSES						
Salaries & Benefits	13,981,945	2,880,459	-	11,101,487	20.6%	2,992,139
Materials, Supplies & Services	21,066,556	3,224,198	7,291,642	10,550,716	49.9%	2,787,216
Special Projects	803,963	84,215	52,509	667,239	17.0%	112,515
Water Purchases	11,084,565	2,050,915	-	9,033,650	18.5%	2,202,831
Debt Service	9,221,536	1,983,899	-	7,237,637	21.5%	1,943,199
Capital Outlay Transfers	14,080,186	3,520,046	-	10,560,140	25.0%	8,759,966
Equipment	247,606	1,875	11,503	234,229	5.4%	7,212
Capitalized Fixed Assets	290,275	11,991	144,016	134,269	53.7%	11,778
Other	33,800	708	-	33,092	2.1%	48
Appropriated Reserve	150,000	-	-	150,000	0.0%	-
TOTAL EXPENSES	70,960,432	13,758,305	7,499,669	49,702,458	30.0%	18,816,906
<i>Revenue Less Expense</i>	(467,618)	4,733,351	(7,499,669)	2,298,700		(844,744)

NOTE-These figures reflect the operating fund only. Though the capital fund is excluded, the current year contribution from the operating fund is shown in the Capital Transfers.

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
For the Three Months Ended September 30, 2022 (25% of Fiscal Year)

WASTEWATER OPERATING FUND

	Revised Budget	YTD Actual	Encum- brances	Remaining Balance	Percent of Budget	Previous YTD
REVENUES						
Service Charges	26,609,956	6,563,385	-	20,046,571	24.7%	6,330,086
Fees	584,826	140,741	-	444,085	24.1%	100,236
Investment Income	180,700	61,440	-	119,260	34.0%	32,694
Rents & Concessions	61,072	12,251	-	48,822	20.1%	15,268
Miscellaneous	5,000	3,179	-	1,821	63.6%	4,437
TOTAL REVENUES	27,441,554	6,780,995	-	20,660,559	24.7%	6,482,721
EXPENSES						
Salaries & Benefits	8,562,134	1,862,660	-	6,699,474	21.8%	1,904,012
Materials, Supplies & Services	10,216,367	1,811,822	1,975,400	6,429,145	37.1%	1,583,261
Special Projects	993,527	11,617	148,227	833,683	16.1%	115,613
Debt Service	3,551,087	341,981	-	3,209,106	9.6%	341,981
Capital Outlay Transfers	4,743,055	1,185,764	-	3,557,291	25.0%	1,234,960
Equipment	128,500	3,012	8,150	117,338	8.7%	18,301
Capitalized Fixed Assets	122,246	-	-	122,246	0.0%	25,275
Other	5,000	1,513	2,500	988	80.3%	1,485
TOTAL EXPENSES	28,321,916	5,218,368	2,134,277	20,969,271	26.0%	5,224,887
<i>Revenue Less Expense</i>	<i>(880,362)</i>	<i>1,562,627</i>	<i>(2,134,277)</i>	<i>(308,712)</i>		<i>1,257,833</i>

NOTE-These figures reflect the operating fund only. Though the capital fund is excluded, the current year contribution from the operating fund is shown in the Capital Transfers.

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
For the Three Months Ended September 30, 2022 (25% of Fiscal Year)

DOWNTOWN PARKING FUND

	Revised Budget	YTD Actual	Encum- brances	Remaining Balance	Percent of Budget	Previous YTD
REVENUES						
Improvement Tax	900,000	263,563	-	636,437	29.3%	208,541
Parking Fees	8,283,728	1,869,909	-	6,413,819	22.6%	1,124,815
Other Fees & Charges	30,000	3,939	-	26,061	13.1%	5,456
Investment Income	37,400	11,909	-	25,491	31.8%	7,579
Rents & Concessions	157,760	40,940	-	116,820	26.0%	40,940
Miscellaneous	144,366	39,570	-	104,796	27.4%	26,036
Operating Transfers-In	713,743	178,436	-	535,307	25.0%	182,924
TOTAL REVENUES	10,266,997	2,408,266	-	7,858,731	23.5%	1,596,291
EXPENSES						
Salaries & Benefits	5,255,213	1,101,348	-	4,153,865	21.0%	1,030,561
Materials, Supplies & Services	4,277,554	862,973	1,153,095	2,261,485	47.1%	630,299
Special Projects	439,459	39,455	356,305	43,700	90.1%	175,534
Capital Outlay Transfers	721,087	180,272	-	540,815	25.0%	56,606
Equipment	47,165	2,142	-	45,024	4.5%	2,066
Appropriated Reserve	10,000	-	-	10,000	0.0%	-
TOTAL EXPENSES	10,750,478	2,186,189	1,509,400	7,054,888	34.4%	1,895,066
<i>Revenue Less Expense</i>	(483,481)	222,076	(1,509,400)	803,843		(298,775)

NOTE-These figures reflect the operating fund only. Though the capital fund is excluded, the current year contribution from the operating fund is shown in the Capital Transfers.

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
For the Three Months Ended September 30, 2022 (25% of Fiscal Year)

AIRPORT OPERATING FUND

	Revised Budget	YTD Actual	Encum- brances	Remaining Balance	Percent of Budget	Previous YTD
REVENUES						
Leases-Commercial/Industrial	5,607,214	1,196,238	-	4,410,976	21.3%	1,332,720
Leases-Terminal	9,757,487	2,246,240	-	7,511,247	23.0%	2,269,620
Leases-Non-Commercial Aviation	4,658,247	1,247,915	-	3,410,332	26.8%	1,231,016
Leases-Commercial Aviation	6,374,208	1,555,046	-	4,819,162	24.4%	1,291,234
Investment Income	200,500	81,943	-	118,557	40.9%	35,002
Grants	437,036	-	-	437,036	0.0%	-
Service Charges	-	412	-	(412)	100.0%	311
Miscellaneous	150,459	4,978	-	145,481	3.3%	19,457
TOTAL REVENUES	27,185,151	6,332,773	-	20,852,378	23.3%	6,179,360
EXPENSES						
Salaries & Benefits	10,376,693	2,194,377	-	8,182,316	21.1%	2,127,771
Materials, Supplies & Services	12,967,253	2,394,793	2,375,233	8,197,228	36.8%	2,137,537
Special Projects	3,156,948	161,758	1,195,360	1,799,830	43.0%	54,799
Transfer-Out	250,000	62,500	-	187,500	25.0%	-
Debt Service	1,551,750	387,938	-	1,163,813	25.0%	388,937
Capital Outlay Transfers	1,352,551	338,138	-	1,014,413	25.0%	1,348,413
Equipment	253,990	15,942	2,135	235,914	7.1%	12,894
Appropriated Reserve	131,556	-	-	131,556	0.0%	-
TOTAL EXPENSES	30,040,741	5,555,445	3,572,728	20,912,569	30.4%	6,070,352
<i>Revenue Less Expense</i>	<i>(2,855,590)</i>	<i>777,329</i>	<i>(3,572,728)</i>	<i>(60,192)</i>		<i>109,008</i>

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CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
For the Three Months Ended September 30, 2022 (25% of Fiscal Year)

GOLF COURSE FUND

	Revised Budget	YTD Actual	Encum- brances	Remaining Balance	Percent of Budget	Previous YTD
REVENUES						
Fees & Card Sales	3,652,737	1,175,608	-	2,477,129	32.2%	1,049,328
Investment Income	22,500	9,447	-	13,053	42.0%	4,140
Rents & Concessions	162,252	63,230	-	99,022	39.0%	42,236
Miscellaneous	-	(311)	-	311	100.0%	(810)
TOTAL REVENUES	3,837,489	1,247,974	-	2,589,515	32.5%	1,094,894
EXPENSES						
Salaries & Benefits	87,333	19,244	-	68,089	22.0%	17,943
Materials, Supplies & Services	2,937,895	621,500	2,170,709	145,686	95.0%	532,784
Debt Service	41,430	-	-	41,430	0.0%	-
Capital Outlay Transfers	228,000	57,000	-	171,000	25.0%	52,659
TOTAL EXPENSES	3,294,658	697,744	2,170,709	426,205	87.1%	603,387
<i>Revenue Less Expense</i>	542,831	550,230	(2,170,709)	2,163,310		491,507

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CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
For the Three Months Ended September 30, 2022 (25% of Fiscal Year)

FACILITIES MANAGEMENT FUND

	Revised Budget	YTD Actual	Encum- brances	Remaining Balance	Percent of Budget	Previous YTD
REVENUES						
Service Charges	3,355,887	838,972	-	2,516,915	25.0%	771,976
Work Orders - Bldg Maint.	3,608,512	865,004	-	2,743,508	24.0%	684,978
Miscellaneous	10,500	-	-	10,500	0.0%	1,602
TOTAL REVENUES	6,974,899	1,703,976	-	5,270,923	24.4%	1,458,556
EXPENSES						
Salaries & Benefits	4,629,735	989,049	-	3,640,686	21.4%	974,224
Materials, Supplies & Services	2,070,928	404,013	534,618	1,132,298	45.3%	353,707
Special Projects	288,101	83,879	173,199	31,023	89.2%	26,822
Capital Outlay Transfers	193,598	48,399	-	145,199	25.0%	119,300
Equipment	10,000	2,183	-	7,817	21.8%	916
Appropriated Reserve	85,065	-	-	85,065	0.0%	-
TOTAL EXPENSES	7,277,427	1,527,523	707,817	5,042,088	30.7%	1,474,968
<i>Revenue Less Expense</i>	(302,528)	176,454	(707,817)	228,835		(16,412)

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
For the Three Months Ended September 30, 2022 (25% of Fiscal Year)

FLEET REPLACEMENT FUND

	Revised Budget	YTD Actual	Encum- brances	Remaining Balance	Percent of Budget	Previous YTD
REVENUES						
Vehicle Rental Charges	3,182,866	742,403	-	2,440,463	23.3%	752,859
Investment Income	204,248	53,968	-	150,280	26.4%	30,815
Rents & Concessions	107,553	25,793	-	81,760	24.0%	29,900
Miscellaneous	68,000	14,500	-	53,500	21.3%	15
Operating Transfers-In	198,778	-	-	198,778	0.0%	-
TOTAL REVENUES	3,761,445	836,664	-	2,924,781	22.2%	813,589
EXPENSES						
Salaries & Benefits	231,260	41,759	-	189,501	18.1%	52,058
Materials, Supplies & Services	21,038	970	-	20,068	4.6%	8,117
Debt Service	198,778	-	-	198,778	0.0%	-
Capital Outlay Transfers	-	-	-	-	0.0%	257,253
Capitalized Fixed Assets	4,889,028	35,626	864,106	3,989,296	18.4%	388,804
TOTAL EXPENSES	5,340,104	78,355	864,106	4,397,643	17.6%	706,232
<i>Revenue Less Expense</i>	<i>(1,578,659)</i>	<i>758,309</i>	<i>(864,106)</i>	<i>(1,472,863)</i>		<i>107,357</i>

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
For the Three Months Ended September 30, 2022 (25% of Fiscal Year)

FLEET MAINTENANCE FUND

	Revised Budget	YTD Actual	Encum- brances	Remaining Balance	Percent of Budget	Previous YTD
REVENUES						
Vehicle Maintenance Charges	3,161,802	789,535	-	2,372,267	25.0%	727,990
Reimbursements	5,000	1,250	-	3,750	25.0%	1,250
Miscellaneous	60,000	40,531	-	19,469	67.6%	16,704
TOTAL REVENUES	3,226,802	831,317	-	2,395,485	25.8%	745,944
EXPENSES						
Salaries & Benefits	1,698,636	351,456	-	1,347,180	20.7%	370,422
Materials, Supplies & Services	1,463,788	346,460	253,350	863,978	41.0%	316,593
Special Projects	65,042	10,679	56,892	(2,529)	103.9%	11,573
Capital Outlay Transfers	1,467	367	-	1,100	25.0%	734
Equipment	7,884	494	-	7,390	6.3%	-
Capitalized Fixed Assets	30,275	-	30,275	-	100.0%	8,966
Appropriated Reserve	15,000	-	-	15,000	0.0%	-
TOTAL EXPENSES	3,282,091	709,455	340,517	2,232,120	32.0%	708,287
<i>Revenue Less Expense</i>	<i>(55,289)</i>	<i>121,862</i>	<i>(340,517)</i>	<i>163,366</i>		<i>37,656</i>

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
For the Three Months Ended September 30, 2022 (25% of Fiscal Year)

SELF INSURANCE TRUST FUND

	Revised Budget	YTD Actual	Encum- brances	Remaining Balance	Percent of Budget	Previous YTD
REVENUES						
Insurance Premiums	7,658,599	1,914,650	-	5,743,949	25.0%	1,023,263
Workers' Compensation Premiums	4,572,008	1,142,315	-	3,429,694	25.0%	1,041,025
OSH Charges	292,974	73,244	-	219,731	25.0%	72,558
Unemployment Insurance Premium	427,559	102,566	-	324,993	24.0%	69,382
Investment Income	40,800	3,782	-	37,018	9.3%	7,455
Operating Transfers-In	75,825	18,956	-	56,869	25.0%	18,956
TOTAL REVENUES	13,067,765	3,255,512	-	9,812,253	24.9%	2,232,639
EXPENSES						
Salaries & Benefits	928,263	188,379	-	739,884	20.3%	197,107
Materials, Supplies & Services	13,275,041	2,465,915	360,525	10,448,601	21.3%	2,195,659
Capital Outlay Transfers	409	102	-	307	25.0%	205
TOTAL EXPENSES	14,203,713	2,654,396	360,525	11,188,791	21.2%	2,392,971
<i>Revenue Less Expense</i>	<i>(1,135,948)</i>	<i>601,116</i>	<i>(360,525)</i>	<i>(1,376,539)</i>		<i>(160,332)</i>

The Self Insurance Trust Fund is an internal service fund of the City, which accounts for the cost of providing workers' compensation, property and liability insurance as well as unemployment insurance and certain self-insured employee benefits on a city-wide basis. Internal Service Funds charge other funds for the cost of providing their specific services.

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
For the Three Months Ended September 30, 2022 (25% of Fiscal Year)

INFORMATION TECHNOLOGY FUND

	Revised Budget	YTD Actual	Encum- brances	Remaining Balance	Percent of Budget	Previous YTD
REVENUES						
Service charges	5,061,982	1,259,501	-	3,802,481	24.9%	1,121,496
Operating Transfers-In	200,000	-	-	200,000	0.0%	-
TOTAL REVENUES	5,261,982	1,259,501	-	4,002,481	23.9%	1,121,496
EXPENSES						
Salaries & Benefits	3,420,556	625,552	-	2,795,004	18.3%	600,714
Materials, Supplies & Services	1,612,951	776,578	124,957	711,417	55.9%	841,681
Special Projects	297	-	97	200	32.7%	-
Capital Outlay Transfers	111,677	27,919	-	83,758	25.0%	45,532
Equipment	12,640	660	4,471	7,509	40.6%	1,309
TOTAL EXPENSES	5,158,122	1,430,710	129,525	3,597,887	30.2%	1,489,235
<i>Revenue Less Expense</i>	103,860	(171,209)	(129,525)	404,594		(367,739)

NOTE-These figures reflect the operating fund only. Though the capital fund is excluded, the current year contribution from the operating fund is shown in the Capital Transfers.

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
For the Three Months Ended September 30, 2022 (25% of Fiscal Year)

WATERFRONT OPERATING FUND

	Revised Budget	YTD Actual	Encum- brances	Remaining Balance	Percent of Budget	Previous YTD
REVENUES						
Leases - Commercial	2,000,000	745,097	-	1,254,903	37.3%	763,738
Leases - Food Service	3,100,000	1,133,878	-	1,966,122	36.6%	1,049,961
Slip Rental Fees	5,070,940	1,293,147	-	3,777,793	25.5%	1,221,349
Visitors Fees	750,000	182,828	-	567,172	24.4%	240,141
Slip Transfer Fees	1,100,000	341,725	-	758,275	31.1%	466,450
Parking Revenue	3,254,325	1,223,457	-	2,030,868	37.6%	928,042
Wharf Parking	220,000	99,381	-	120,620	45.2%	90,593
Other Fees & Charges	252,630	63,747	-	188,884	25.2%	70,330
Investment Income	112,700	45,303	-	67,397	40.2%	23,276
Rents & Concessions	392,316	119,135	-	273,181	30.4%	106,548
Miscellaneous	783,220	92,515	-	690,705	11.8%	56,850
TOTAL REVENUES	17,036,131	5,340,214	-	11,695,917	31.3%	5,017,279
EXPENSES						
Salaries & Benefits	8,297,772	1,766,088	-	6,531,684	21.3%	1,894,545
Materials, Supplies & Services	6,062,350	1,257,866	1,030,291	3,774,193	37.7%	1,049,235
Special Projects	297,070	70,380	14,440	212,250	28.6%	57,166
Debt Service	2,036,965	889,263	-	1,147,702	43.7%	889,263
Capital Outlay Transfers	2,000,000	500,000	-	1,500,000	25.0%	27,353
Equipment	147,075	7,346	525	139,204	5.4%	10,221
Capitalized Fixed Assets	83,840	-	36,643	47,197	43.7%	-
Other	2,375	-	-	2,375	0.0%	-
Appropriated Reserve	100,000	-	-	100,000	0.0%	-
TOTAL EXPENSES	19,027,445	4,490,943	1,081,898	13,454,604	29.3%	3,927,784
<i>Revenue Less Expense</i>	(1,991,314)	849,271	(1,081,898)	(1,758,687)		1,089,495

NOTE - These figures reflect the operating fund only. Though the capital fund is excluded, the current year contribution from the operating fund is shown in the Capital Transfers.

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
For the Three Months Ended September 30, 2022 (25% of Fiscal Year)

SANTA BARBARA CLEAN ENERGY FUND

	Revised Budget	YTD Actual	Encum- brances	Remaining Balance	Percent of Budget	Previous YTD
REVENUES						
Service Charges	34,000,000	5,647,233	-	28,352,767	16.6%	-
Investment Income	-	-	-	-	0.0%	73
Operating Transfers-In	-	-	-	-	0.0%	200,067
TOTAL REVENUES	34,000,000	5,647,233	-	28,352,767	16.6%	200,140
EXPENSES						
Salaries & Benefits	177,610	42,761	-	134,848	24.1%	25,840
Materials, Supplies & Services	31,800,411	3,322,741	1,496,836	26,980,835	15.2%	38,010
Special Projects	1,110,847	183,351	51,825	875,671	21.2%	-
Other	311,368	-	-	311,368	0.0%	-
TOTAL EXPENSES	33,400,235	3,548,853	1,548,661	28,302,722	15.3%	63,851
<i>Revenue Less Expense</i>	<i>599,765</i>	<i>2,098,380</i>	<i>(1,548,661)</i>	<i>50,045</i>		<i>136,290</i>

CITY OF SANTA BARBARA
Interim Statement of Revenues and Expenditures
For the Three Months Ended September 30, 2022 (25% of Fiscal Year)

ENERGY AND CLIMATE MANAGEMENT FUND

	Revised Budget	YTD Actual	Encum- brances	Remaining Balance	Percent of Budget	Previous YTD
REVENUES						
Service charges	2,552,568	638,142	-	1,914,426	25.0%	592,268
Grants	-	-	-	-	0.0%	169,600
Miscellaneous	-	-	-	-	0.0%	1
TOTAL REVENUES	2,552,568	638,142	-	1,914,426	25.0%	761,868
EXPENSES						
Salaries & Benefits	691,985	139,127	-	552,858	20.1%	111,936
Materials, Supplies & Services	1,997,753	267,511	125,755	1,604,487	19.7%	145,791
Special Projects	127,778	-	51,744	76,034	40.5%	6,584
Debt Service	24,140	6,035	-	18,105	25.0%	6,035
Capital Outlay Transfers	435	109	-	326	25.0%	25,217
Equipment	2,000	-	-	2,000	0.0%	-
Capitalized Fixed Assets	78,600	-	78,600	-	100.0%	-
TOTAL EXPENSES	2,922,691	412,782	256,099	2,253,810	22.9%	295,563
<i>Revenue Less Expense</i>	<i>(370,123)</i>	<i>225,360</i>	<i>(256,099)</i>	<i>(339,384)</i>		<i>466,305</i>

NOTE-These figures reflect the operating fund only. Though the capital fund is excluded, the current year contribution from the operating fund is shown in the Capital Transfers.

***Fiscal Year 2023 Interim Financial Statements
For the Three Months Ended September 30, 2022 (25% of Year Elapsed)***

General Fund Revenues

The table below summarizes preliminary General Fund revenues for the three months ended September 30, 2022. For interim financial statement purposes, revenues are reported on a cash basis (i.e. when the funds are received). Each of these revenues below have unique cycles for collections, which may vary from other revenues, and which can cause fluctuations from previous years.

SUMMARY OF REVENUES For the Three Months Ended September 30, 2022 Fiscal Year 2023 GENERAL FUND								
	Current Year Analysis FY2023						Prior Year Analysis FY2022	
	Revised Budget	YTD Actual	Variance	**Projected Full-Year	Variance Projection to Budget	Percent Rec'd	Prior Year YTD Actual	Change From FY22
Property Tax	\$43,250,489	\$0	(\$43,250,489)	\$44,456,027	1,205,538	0%	\$0	-
Sales & Use Tax	26,704,042	7,408,720	(19,295,322)	26,704,042	-	28%	7,458,934	(1%)
Measure C Sales Tax	28,323,342	8,104,704	(20,218,638)	28,323,342	-	29%	7,647,258	6%
Transient Occupancy Tax	23,583,553	9,047,587	(14,535,966)	23,583,553	-	38%	8,311,110	9%
Utility Users Tax	7,779,112	2,138,098	(5,641,014)	7,779,112	-	27%	1,926,902	11%
Business License	2,714,006	506,812	(2,207,194)	2,714,006	-	19%	523,132	(3%)
Cannabis Excise Tax	1,879,977	368,558	(1,511,419)	1,879,977	-	20%	484,519	(24%)
Property Transfer tax	1,328,218	294,470	(1,033,748)	1,328,218	-	22%	321,276	(8%)
Total Taxes	\$135,562,739	\$27,868,948	(\$107,693,790)	\$136,768,277	\$1,205,538	21%	\$26,673,131	4%
License & Permits	159,858	39,539	(120,319)	159,858	-	25%	53,137	(26%)
Fines & Forfeitures	3,018,920	573,699	(2,445,221)	3,018,920	-	19%	843,591	(32%)
Franchise Fee	4,007,090	947,256	(3,059,834)	4,007,090	-	24%	955,462	(1%)
Use of Money & Property	1,921,816	622,700	(1,299,116)	1,921,816	-	32%	279,602	123%
Intergovernmental	2,615,530	86,267	(2,529,263)	2,615,530	-	3%	177,854	(51%)
Fee & Charges	14,867,084	4,590,277	(10,276,807)	14,867,084	-	31%	3,894,548	18%
Miscellaneous	22,370,270	5,149,843	(17,220,427)	22,370,270	-	23%	5,244,849	(2%)
Total Other	\$48,960,568	\$12,009,581	(\$36,950,987)	\$48,960,568	\$0	25%	\$11,449,043	5%
Total Revenues	\$184,523,307	\$39,878,529	(\$144,644,777)	\$185,728,845	\$1,205,538	22%	\$38,122,174	5%

****Projected Full-Year column projects only the general non-departmental revenues and Franchise Fees. All other revenues assume the same as budget for this table. Departments will be presenting more detailed projections during the Budget presentations in the upcoming months, and staff will provide more complete projections of all General Fund Revenues, as well.**

This table includes the annual adopted budget, as well as any budget adjustments to date, and actual collections for First Quarter of Fiscal Year (FY) 2023. The City's general tax revenues, Franchise Fees, and Fees & Charges are reported in the table above. The largest general tax revenues are discussed in more detail below.

Property Tax

As of September 30, 2022, no property tax revenues had been received to date. Typically, the County does not start issuing property tax payments to the City until November or December each year. During the budget process, it was expected the City would see growth of approximately 2.5% from the prior year; however, more recent information now shows lower growth of approximately 5%. Some of this is due to Proposition 13, in which the assessed value of properties increases each January to the lesser of 2% or CPI. In the last several years, this increase was 2%. CPI for 2021-2022 was only 1.016% but has returned to 2% for 2022-2023. Actual property tax revenues are projected to come in above budget by approximately \$1.2 Million.

Sales Taxes

Fiscal Year 2023 Interim Financial Statements
For the Three Months Ended September 30, 2022 (25% of Year Elapsed)

Sales tax revenue received to date is approximately \$7.4 Million, while representing three months of sales tax payments collected through September 30, 2022, on a cash basis. Sales tax has been trending in a positive direction for several months, likely due to a combination of increased consumer spending (particularly in online sales and auto sales), and the results of higher-than-expected inflation. Sales tax came in higher than budgeted to date by approximately \$732,710. Staff continues to monitor this revenue closely. It is likely that actual sales tax revenues will exceed budgeted revenues. Staff will evaluate a revised projection as additional tax remittances are received in preparation for the Q2 report.

Measure C Sales Taxes

Voters approved a one-cent Measure C sales tax in Fiscal Year 2018, which became effective April 1, 2018. Measure C sales tax revenue received at the end of First Quarter is approximately \$8.1 Million on a cash basis. Similar to sales tax, Measure C sales tax also came in higher than budget by \$1 Million for First Quarter. Staff will continue to monitor this revenue closely and may consider adjusting the FY2023 budget projection. It is likely that actual Measure C sales tax revenues will exceed budgeted revenues. Staff will evaluate a revised projection as additional tax remittances are received in preparation for the Q2 report. The additional Measure C revenue will be programmed for capital projects in future budget cycles.

Transient Occupancy Tax

Transient occupancy tax (TOT) revenue at First Quarter is \$9 Million, which is significantly higher than collections for FY2021 and FY2022, due to COVID-19. The local travel industry is seeing increases in demand for rooms, now higher than pre-pandemic levels. However, the main cause of the growth is largely the result of increases in average daily rates, which are 30%-40% higher than FY2019 pre-pandemic rates. TOT revenues came in higher than budget by \$3.1 Million (53%) at the end of First Quarter. Staff continues to monitor this revenue closely. It is likely that actual TOT revenues will exceed budgeted revenues. Staff will evaluate a revised projection as additional tax remittances are received in preparation for the Q2 report.

Department and Other Revenues

Departmental revenues comprise approximately \$33.7 Million of the General Fund budgeted revenues. They span various revenue categories listed in the table above, however, for simplicity of reporting, the variance is shown in the Fees & Charges category.

*Fiscal Year 2023 Interim Financial Statements
For the Three Months Ended September 30, 2022 (25% of Year Elapsed)*

The table below provides variances by department.

SUMMARY OF DEPARTMENTAL REVENUES GENERAL FUND For the Three Months Ended September 30, 2022 Fiscal Year 2023					
Department	Revised Budget	YTD Actual		Projected Full Year	Variance Projection to Budget
Mayor & Council	\$120,000	\$0	0%	\$120,000	\$0
City Attorney	311,302	270,000	87%	311,302	0
City Administrator	227,548	4,453	2%	227,548	0
Human Resources	35,000	0	-	35,000	0
Finance	535,631	66,284	12%	535,631	0
General Government	\$1,229,481	\$340,737	28%	\$1,229,481	\$0
Police	4,089,416	620,747	15%	4,089,416	0
Fire	4,959,442	932,713	19%	4,959,442	0
Public Safety	\$9,048,858	\$1,553,460	17%	\$9,048,858	\$0
Parks & Recreation	7,648,307	2,291,530	30%	7,648,307	0
Library	1,006,298	24,426	2%	1,006,298	0
Community Services	\$8,654,605	\$2,315,957	27%	\$8,654,605	\$0
Public Works	7,764,604	1,840,267	24%	7,764,604	0
Sustainability & Resiliency	0	0	0%	0	0
Community Development	7,032,134	2,054,350	29%	7,032,134	0
Total	\$33,729,682	\$8,104,771	24%	\$33,729,682	\$0
% of Annual Budget		24.0%		0.0%	

*Fiscal Year 2023 Interim Financial Statements
For the Three Months Ended September 30, 2022 (25% of Year Elapsed)*

General Fund Expenditures

The table below summarizes the General Fund budget and year-to-date expenditures through September 30, 2022. The "Revised Budget" column represents the adopted budget, appropriation carryovers from the prior year, and any supplemental appropriations approved by the City Council in the current year.

SUMMARY OF EXPENDITURES BY TYPE GENERAL FUND For the Three Months Ended September 30, 2022 Fiscal Year 2023					
Department	Revised Budget	YTD Actual		Projected Full Year	Variance Projection to Budget
Salaries	\$80,124,627	\$17,000,753	21%	\$80,124,627	\$0
Pension (Normal & UAL)	34,159,747	7,892,590	23%	34,159,747	0
Benefits (All Others)	14,919,422	2,880,237	19%	14,919,422	0
Attrition Savings	(4,420,842)	0	0%	(4,420,842)	0
Salaries & Benefits	\$124,782,954	\$27,773,580	22%	\$124,782,954	\$0
Supplies & Services	13,833,439	2,144,242	16%	13,833,439	0
Allocated Costs	15,495,102	3,819,872	25%	15,495,102	0
Special Projects	5,716,961	633,127	11%	5,716,961	0
Transfers Out	1,057,521	214,686	20%	1,057,521	0
Other	145,599	45,301	31%	145,599	0
Supplies & Other	\$36,248,622	\$6,857,227	19%	\$36,248,622	\$0
Equipment	1,610,371	116,080	7%	1,610,371	0
Capital - General Fund	1,251,610	310,853	25%	1,251,610	0
Capital - Measure C	28,399,413	7,099,853	25%	28,399,413	0
Debt Service	7,500	1,755	23%	7,500	0
Equipment & Capital	\$31,268,894	\$7,528,542	24%	\$31,268,894	\$0
Expenditure Reductions	(572,020)	0	0%	(572,020)	0
Appropriated Reserves	179,250	0	0%	179,250	0
Total	\$191,907,700	\$42,159,349	22%	\$191,907,700	\$0
% of Annual Budget		22.0%		0.0%	

The table includes actual expenditures without encumbrances. Inclusion of encumbrances can distort the analysis of budgeted and actual expenditures during the year, as actual receipt of services or goods can occur in later periods. Outstanding encumbrances include certain appropriations that were carried forward from the prior year and contracts or blanket purchase orders that have been executed in the current year but are expected to be used throughout the year. The following discussion of actual expenditures does not include the impact of encumbrances.

***Fiscal Year 2023 Interim Financial Statements
For the Three Months Ended September 30, 2022 (25% of Year Elapsed)***

In some cases, COVID-19 caused increased expenditures as the City has quickly pivoted in response to unprecedented impacts and potential threats of the pandemic. The FY2023 adopted budget included several targeted reduction plans designed to reduce the City's overall expenditures. These targeted reductions were spread over departments in the General Fund and total \$1 Million.

The General Fund revised budget, including \$7,923,162 of carried forward appropriations and encumbrances, and any new FY2023 council approved amendments, is \$191.9 Million, of which \$42.2 Million has been spent as of September 30, 2022. The table below shows performance at department level.

SUMMARY OF DEPARTMENTAL EXPENDITURES GENERAL FUND For the Three Months Ended September 30, 2022 Fiscal Year 2023							
Department	Revised Budget	YTD Actual		Projected Full Year	YTD Variance Without Encumbrance	Encumbrance	Remaining Budget After Encumbrances
Mayor & Council	\$3,372,456	\$658,659	19.5%	\$3,372,456	\$2,713,797	\$1,210,368	\$1,503,429
City Attorney	4,496,917	969,451	21.6%	4,496,917	3,527,466	237,311	3,290,155
City Administrator	3,933,142	779,981	19.8%	3,933,142	3,153,161	277,569	2,875,592
Human Resources	3,047,913	524,836	17.2%	3,047,913	2,523,077	133,712	2,389,365
Finance	7,311,052	1,463,262	20.0%	7,311,052	5,847,790	180,253	5,667,537
General Government	\$22,161,480	\$4,396,189	19.8%	\$22,161,480	\$17,765,291	\$2,039,213	\$15,726,078
Police	52,587,249	10,821,326	20.6%	52,587,249	41,765,923	585,307	41,180,616
Fire	34,907,554	7,971,426	22.8%	34,907,554	26,936,128	118,753	26,817,375
Public Safety	\$87,494,803	\$18,792,752	21.5%	\$87,494,803	\$68,702,051	\$704,060	\$67,997,991
Parks & Recreation	21,606,542	4,977,335	23.0%	21,606,542	16,629,207	1,074,378	15,554,829
Library	7,670,640	1,494,508	19.5%	7,670,640	6,176,132	109,649	6,066,483
Community Services	\$29,277,182	\$6,471,843	22.1%	\$29,277,182	\$22,805,339	\$1,184,027	\$21,621,312
Public Works	11,457,906	2,082,427	18.2%	11,457,906	9,375,479	342,503	9,032,976
Sustainability & Resiliency	222,146	29,237	13.2%	222,146	192,909	59,036	133,873
Community Development	15,219,256	2,812,961	18.5%	15,219,256	12,406,295	401,489	12,004,806
Non-Departmental	26,074,927	7,573,940	29.0%	26,074,927	18,500,987	0	18,500,987
Total	\$191,907,700	\$42,159,349	22.0%	\$191,907,700	\$149,748,351	\$4,730,328	\$145,018,023
% of Annual Budget		22.0%			78.0%	2.5%	75.6%

Expenditure Analysis by General Fund

The General Fund Expenditure Budget for FY2023 was adopted at \$187.3 Million, with an additional \$7.9 Million added as a result of carried over budget from FY2022 and any other Council-approved adjustments. 45.6% of the total budget, including Non-Departmental Expenditures, is appropriated to provide public safety services through the Police and Fire Departments. Community Services combines the City's Parks and Recreation and Library Departments and comprises 15.3% of the total budget. General Government makes up 11.6% of the appropriations and is the total of all costs for Finance, City Administration, Mayor & Council, City Attorney, Human Resources, and City Clerk.

All departments are targeted with achieving expenditure savings that were included in the adopted budget. Most departments met or exceeded this goal as originally determined at \$1 Million for all departments through FY2023. With continued uncertainty in how the economic impact of the

Fiscal Year 2023 Interim Financial Statements
For the Three Months Ended September 30, 2022 (25% of Year Elapsed)

pandemic will be felt by the City, staff has confidence that expenditures will end the year at or below budget and meet expenditure reduction targets.

General Fund expenditures were \$42.2 Million at September 30, 2022, representing 22% of the revised budget, with an additional \$4.7 Million (2.5%) encumbered.

General Government actual spending of \$4.4 Million, represents 19.8% of the annual budget. This includes salary savings from holding several positions vacant through First Quarter of Fiscal Year 2023.

Public Safety has expenditures of \$18.8 Million, representing 21.5% of the annual budget, with savings achieved from keeping a number of positions vacant.

The Police Department (PD) expended \$10.8 Million (20.6%) of its budget. The department continues to incur overtime due to impacts of COVID-19 on staffing levels with 25 open sworn positions and 21 professional service staff positions open. Staff continues to manage schedule and staffing needs to ensure appropriate law enforcement coverage for the City.

The ongoing officer staffing shortage has necessitated staffing reassignments and suspension of some programs until minimum staffing levels can be met. Two open officer positions will cover COVID-19 reduction targets. Additional salary and benefit savings will be used for supplies and services. Discussions regarding staffing needs continue between the Police Chief and the City Administrator, and levels with adjustments to be made accordingly.

The Fire Department (FD) expended \$8 Million, using 22.8% of its budget. Most operational costs are tracking at or below expected, with additional decreases due to reimbursements from the American Medical Response company for emergency incident response. Unfavorable variances in overtime offset this savings.

At First Quarter, overtime is tracking unfavorably at 31% expended. This is mostly due to personnel use of paid-time-off (sick, vacation, holiday) and covering for firefighters off duty due to injury. Fire will continue monitoring these costs and provide an update for Second Quarter.

Required COVID-19 reductions have been met via reductions to operating costs included in the adopted budget and holding the Fire Inspector II position vacant.

Community Services had actual expenditures of \$6.5 Million, or 22.1% of budget. This performance is ahead of expectations.

The Library Department spent \$1.5 Million, or 19.5% of its annual budget, through First Quarter 2023. The Library continues to be open at reduced hours post-COVID and has limited access to the public at Central Library due to construction. Despite this, hours have been increased at the Eastside Library, and the Library has continued to deliver materials and robust services in-person, virtually, and through offsite outreach with the Library on the Go Van. Savings in the General Fund for First Quarter are largely due to multiple vacancies, which are expected to be filled by year end. Required COVID-19 reductions have been met at First Quarter with Salaries & Benefits savings from these open positions.

The Parks and Recreation Department (P&R) had expenditures of \$5 Million (23% of annual budget). In the absence of COVID-19 restrictions, facility rentals and other activities increased. Several expenditure categories came in higher than First Quarter of Fiscal Year 2022 due to filled

*Fiscal Year 2023 Interim Financial Statements
For the Three Months Ended September 30, 2022 (25% of Year Elapsed)*

vacancies and increased water and fuel costs. Should warm weather and drought conditions persist, water costs are expected to have a considerable impact on the operating budget. Fuel costs increases may also negatively impact budget performance through the year. Increased Revenue performance may offset these additional expenditures. Required COVID-19 savings targets have been met with Salaries & Benefits savings from several vacancies through First Quarter of Fiscal Year 2023.

The Department continues to provide essential services, such as: park maintenance to ensure community access to safe and clean open spaces; food distribution and senior meal programs to provide nutrition for the community; and recreation programming, camps, and affordable activities both for youth and adults, while complying with required safety protocols to be open should COVID-19 restrictions recur. At the same time, they continue to advance key capital infrastructure planning, design, and construction for operations post pandemic.

The Public Works Department (PW) had expenditures of \$2.1 Million, or 18.2% of annual budget for FY2023, reflecting a savings of \$1.3 Million. Engineering and Land Development vacancies contribute to the large expenditure shortfall in First Quarter. Additional savings occurred with Administration's overall expenditures coming in at 2% below budgeted expectations. The Engineering Division continues to maintain productivity targets and anticipates ending the year at or very near target for General Fund subsidy by relying on internal staff for outreach needs and careful management of Supplies and Services spending.

The Sustainability & Resilience Department (S&R) had expenditures of \$29,237 (13.2% of annual budget). The General Fund currently contains spending related to a CalRecycle grant, as well as compensation of an Analyst position and part of the Director position. The two positions will be reclassified to the Energy Management Fund, which generates its own revenue. Activity related to the CalRecycle grant will be funded by that grant.

The Community Development Department (CD) had expenditures of \$2.8 Million or 18.5% of annual budget. If you include encumbrances of \$401,489 the total percentage of funds used is 21.1%. Salaries & Benefits expenditures are significantly below budget due to a departmental vacancy rate of approximately 15% and other required attrition savings measures. The Department expects to use a portion of this savings in MOU agreements that exceeded the budgeted staff increases and changes to the vacation cash-out and maximum hours policy. Targeted reductions were incorporated at the line-item level during budget development to meet required reductions.

Non-Departmental expenditures include transfers from the General Fund to other funds, the majority of which includes transfers to the Measure C fund for various capital maintenance and improvement projects. In prior fiscal years, the revenue summary table included anticipated year-end budget variances. This represented approximately 1.5% of budgeted operating expenditures in the General Fund and represents staff's estimate of the favorable expenditure variances (i.e. expenditures under budget primarily from attrition savings from position vacancies) for the year. The anticipated year-end variance budget is now reported in non-departmental expenditures in the adopted FY2023 budget.

General Fund Reserves Projection

The table below summarizes the reserves projected in the General Fund by the end of FY2023, compared to prior year actual reserves. The table summarizes the General Fund reserve targets of 15% disaster and 10% contingency reserves as required by City Council Resolution 12-066. Staff will continue to include a projection of anticipated changes to reserves based on likely

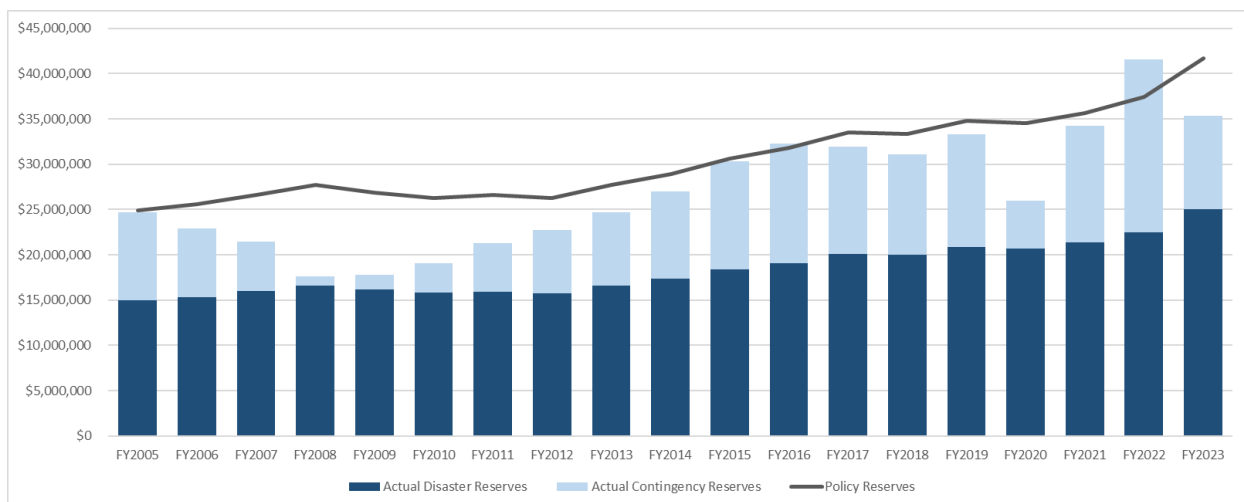
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revenue and expenditure projections included in future reports during quarterly reports to the City Council.

General Fund: Fund Balance	FY2019 Actual	FY2020 Actual	FY2021 Actual	FY2022 Actual	FY2023 Projected at Q1	FY2023 vs FY2022 Projected Change in Reserve
Contingency Reserve Target 10%	\$13,910,966	\$13,799,412	\$14,255,661	\$14,965,025	\$16,683,261	\$1,718,236
Disaster Reserve Target 15%	20,866,449	20,699,118	21,383,491	22,447,538	25,024,892	2,577,354
Total Policy Reserve Target	\$34,777,415	\$34,498,530	\$35,639,152	\$37,412,563	\$41,708,154	\$4,295,591
(Below) / Above Policy	(1,513,888)	(8,526,275)	(1,419,589)	4,110,358	(6,364,088)	(10,474,446)
Actual Reserves	\$33,263,527	\$25,972,255	\$34,219,563	\$41,522,921	\$35,344,066	(\$6,178,855)
Self-Insurance Fund Under-Reserve	(2,109,073)	(4,580,532)	(4,758,252)	(5,889,878)	(5,889,878)	0
Remaining Contingency Reserve	\$10,288,005	\$692,605	\$8,077,820	\$13,185,505	\$4,429,296	(\$8,756,209)

The FY2023 adopted budget required the strategic use of a small amount of reserves in order to balance the budget. Even though reserves were largely replenished by the end of FY2022, reserves are projected to be used in FY2023 to continue to balance the budget. The Self-Insurance Fund under-reserve amount represents the burden on the General Fund for the reserve position being lower than the actuarial recommended level.

The graph below summarizes the General Fund Reserves since FY2005, including a projection of ending reserves at the end of FY2023. The FY2023 adopted budget called for the use of \$1 Million of reserves to balance the budget, bringing reserves below policy targets in FY2023. FY2023 also includes the use of carryforward appropriation from FY2022, which is included in the revised budget.



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Enterprise Operating Fund Revenues and Expenses

Unlike the General Fund, which relies primarily on taxes to fund programs and services, Enterprise Fund operations is financed primarily from user fees and other non-tax revenues. The table below summarizes Enterprise Fund revenues and expenses through September 30, 2022, with a comparison to the current fiscal year budget and prior fiscal year expenses.

SUMMARY OF REVENUES & EXPENSES For the Three Months Ended September 30, 2022 Fiscal Year 2023 ENTERPRISE FUNDS							
	Current Year Analysis FY2023				Encumbered	Prior Year FY2022	
	Annual Budget	YTD Actual	Projected Year End	YTD Percent		YTD Actual	% Variance
Solid Waste Fund							
Revenues	\$34,140,749	\$8,431,160	\$34,140,749	24.7%	\$0	\$8,743,968	-3.6%
Expenses	34,402,810	6,219,736	34,402,810	18.1%	493,949	6,623,200	-6.1%
Add / (Use) Reserves	(\$262,061)	\$2,211,424	(\$262,061)		(\$493,949)	\$2,120,768	
Water Fund							
Revenues	70,492,814	18,491,656	70,492,814	26.2%	0	17,972,162	2.9%
Expenses	70,960,432	13,758,305	70,960,432	19.4%	7,499,669	18,816,906	-26.9%
Add / (Use) Reserves	(\$467,618)	\$4,733,351	(\$467,618)		(\$7,499,669)	(\$844,744)	
Wastewater Fund							
Revenues	27,441,554	6,780,995	27,441,554	24.7%	0	6,482,721	4.6%
Expenses	28,321,916	5,218,368	28,321,916	18.4%	2,134,277	5,224,887	-0.1%
Add / (Use) Reserves	(\$880,362)	\$1,562,627	(\$880,362)		(\$2,134,277)	\$1,257,834	
Downtown Parking Fund							
Revenues	10,266,997	2,408,266	10,266,997	23.5%	0	1,596,291	50.9%
Expenses	10,750,478	2,186,189	10,750,478	20.3%	1,509,400	1,895,066	15.4%
Add / (Use) Reserves	(\$483,481)	\$222,077	(\$483,481)		(\$1,509,400)	(\$298,775)	
Clean Energy Fund							
Revenues	34,000,000	5,647,233	34,000,000	16.6%	0	200,140	100.0%
Expenses	33,400,235	3,548,853	33,400,235	10.6%	1,548,661	63,851	5458.0%
Add / (Use) Reserves	\$599,765	\$2,098,380	\$599,765		(\$1,548,661)	\$136,289	
Airport Fund							
Revenues	27,185,151	6,332,773	27,185,151	23.3%	0	6,179,360	2.5%
Expenses	30,040,741	5,555,445	30,040,741	18.5%	3,572,728	6,070,352	-8.5%
Add / (Use) Reserves	(\$2,855,590)	\$777,328	(\$2,855,590)		(\$3,572,728)	\$109,008	
Golf Fund							
Revenues	3,837,489	1,247,974	3,837,489	32.5%	0	1,094,894	14.0%
Expenses	3,294,658	697,744	3,294,658	21.2%	2,170,709	603,387	15.6%
Add / (Use) Reserves	\$542,831	\$550,230	\$542,831		(\$2,170,709)	\$491,507	
Waterfront Fund							
Revenues	17,036,131	5,340,214	17,036,131	31.3%	0	5,017,279	6.4%
Expenses	19,027,445	4,490,943	19,027,445	23.6%	1,081,898	3,927,784	14.3%
Add / (Use) Reserves	(\$1,991,314)	\$849,271	(\$1,991,314)		(\$1,081,898)	\$1,089,495	

The expenses shown in the preceding table do not include outstanding encumbrances at September 30, 2022. Inclusion of encumbrances can distort the analysis of budgeted and actual expenditures. Outstanding encumbrances include appropriations that were carried forward from prior year as part of the appropriation carryovers and contracts or blanket purchase orders that have been added in the current year but are expected to be spent over the coming months.

Solid Waste Fund

Solid Waste Revenues are \$8.4 Million at the end of First Quarter, which is on target to budgeted amounts. This included \$864,000 from the ReSource Center, which was generated by an excess in their Rate Stabilization Fund. According to the Master agreement, when there is excess money in the Rate Stabilization Fund, the County returns the surplus based on a percentage of material

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delivered by each member. Due to delays in construction, the Rate Stabilization Fund had an excess amount, of which the City received \$864,000. This disbursement is considered a one-time event and is unlikely to occur in future periods. Without this one-time payment, the Department is tracing well ahead of receipts last year and is on track to meeting this year's budgeted revenue.

Expenditures are \$6.2 Million (26.2% of the budget). Beginning in September, the City changed the way it is paying the County for tipping fees at the ReSource Recovery Center and landfill (paying the County directly rather than through the City's hauler). This change began in September, so there were some differences in timing of payments, which caused the expenditures to look lower than last year and lower than budget. By the end of Second Quarter, the timing of payments will catch up, and we will be back on track to budget. Staff expect to meet be on budget by year end.

Water Fund

Through the first three months of the Fiscal Year, Water Fund revenues have totaled approximately \$18.6 Million, or 26% of the adopted budget, which is on target with staff expectations, including anticipated seasonal variance in revenues, especially rate revenue. This is a common trend as the drier summer months tend to yield higher customer rate revenue. Staff expect this to slow over the winter months. Offsetting this positive variance are several other revenue sources which, due to the timing of payments, are behind budget. These include Water Supply Agreements. Staff expect all revenue lines to end the year at or above budget.

Expenditures ended First Quarter at 19.4% of annual budget, excluding incumbrances, or approximately \$28.3 Million. Encumbrances of \$7.5 Million bring expenditures up to 30% of annual budget, and include contracted services throughout the Fiscal Year. Although the Water Fund typically has budgetary savings at year end, staff anticipates final numbers will be closer to budget than they are at the end of First Quarter.

Wastewater Fund

First Quarter revenues are on target at \$6.8 Million (24.7% of annual budget). Only a portion of Wastewater rates is dependent on water usage, which explains why there is less variability in Wastewater rate revenue when compared to Water rate revenue. Various other revenue sources, such as Interest Income, Sewer Tap Fees, Pre-treatment Analysis, and Fats-Oils-Grease (FOG) Disposal Fees experienced small variances that contributed to the overall balance of revenues.

Expenditures are below budget at \$5.2 Million (18.4% of annual budget). Encumbrances of \$2.1 Million bring expenditures up to 26.2% of annual budget. The timing of payments for services or obligations in Supplies and Services accounts for most large variances at First Quarter. Staff turnover and vacancies continue to drive savings, bringing Salaries and Benefits in at 22% of annual budget. Staff continues to cautiously spend financial resources and anticipates final numbers will be closer to budget than they are at the end of First Quarter.

Downtown Parking Fund

At First Quarter, actual revenues are at \$2.4 Million, or 23.5% of annual budget. Contributing factors include the PBIA assessment coming in at 29% of budget, exceeding expected budget to date by nearly \$39,000, due to stronger business activity Downtown. Conversely, Intergovernmental revenue had a shortfall of approximately \$11,000 not yet received for the Crossing Guard Program, but expected by year end. Continued difficulty staffing hourly lots for self-service in the mornings also affected Service Charges, which were down nearly \$205,000 from budget to date, or 2% short of target.

Overall expenditures for First Quarter are \$2.2 Million, or 20.3% of annual budget. Continued vacancies, including a Parking Supervisor, Marking Maintenance Coordinator, and Associate

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For the Three Months Ended September 30, 2022 (25% of Year Elapsed)*

Transportation Planner contribute approximately \$212,000 to this savings. Savings in Supplies and Services are mainly due to large unspent encumbrances of approximately \$1.1 Million for Facilities Maintenance of the plaza and downtown lots (\$992,000) such as landscaping, pest control, janitorial services, and alarm services; State Street Deep Cleaning & Lighting (\$66,000); and Equipment Repair (\$43,000), including elevator maintenance, Skidata revenue system maintenance, and other electronic equipment repair. These encumbrances are expected to be fully spent by year end. Additional unspent encumbrances for special projects total approximately \$356,000, and include the PBIA Assessment Study, Downtown Marketing Contract, and New Beginnings and Safe Parking projects. Staff expects all of these to be fully spent by year end.

Clean Energy Fund

The Santa Barbara Clean Energy Fund began operation in October 2021 and started receiving revenue in Second Quarter Fiscal Year 2022. Revenues are typically recorded one-month in arrears, so while a First Quarter report would typically have three months worth of activity, this report only contains two month's worth of activity after June's revenues were accrued back to Fiscal Year 2022. Through two months, revenues are about \$5.6 Million, or 16.6% of budget, which is tracking slightly ahead of budget.

Expenditures for the Fund were \$3.5 Million (10.6% of annual budget) for FY2023. Expenditures are tracking slightly behind budget at this time. Staff expect to meet budget by year end.

Airport Fund

Total revenue collected through First Quarter is \$6.3 Million, or 23.3% of annual budget. Commercial/Industrial lease revenue is behind projections at 21.3% due to unfilled vacancies of about 8% of leasable spaces. Vacancies are expected to improve as staffing level increases to meet the necessary workload. Commercial aviation revenue is at 24.4% of annual budget. Due to timing of Fuel Flowage and Freight and Charger landing revenues being billed a month in arrears, this category is currently slightly behind projections when it should be trending above budget. Non-commercial aviation revenue is ahead of projections at 26.8% of annual budget. Terminal revenues are comprised of concessions, ground transportation, parking revenues, and other, smaller revenue categories. These are slightly behind budget at 23%, mostly due to timing of billing and delays in reporting. These issues should be resolved before the end of Second Quarter reporting.

At First Quarter, actual expenditures are at \$5.5 Million, or 18.5% of annual budget. Encumbrances of \$3.6 Million bring this up to 30.4%. Much of this is earmarked to cover Debt Service expenditures. Staff expect all encumbered funds to be fully spent by year end.

Golf Fund

At First Quarter, actual revenues are \$1.2 Million, or 32.5% of annual budget. This revenue figure is 13% ahead of First Quarter revenues in Fiscal Year 2022. Paid rounds remain strong due to favorable weather conditions and the ongoing popularity of the sport. By September 30, 2022, 32% of the projected 60,000 rounds have been met, and current trend indicate this number may be expected by 25-30% by year end (15,000-19,000 rounds).

Expenditures at First Quarter are \$697,744 (21.2% of annual budget), which is consistent with projected levels. Encumbrances of \$2.1 Million bring committed expenditures to 87.1%, and are due mostly to contracted services which will be spent by the end of the Fiscal Year.

Waterfront Fund

The aftermath of the global pandemic continues to negatively impact Waterfront Department revenues through First Quarter of Fiscal Year 2023, but the Department is seeing signs of

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For the Three Months Ended September 30, 2022 (25% of Year Elapsed)***

economic recovery. We continue to closely monitor revenues with the growing concern that we could be headed into some form of economic recession.

Revenues for First Quarter of Fiscal Year 2023 are \$5.3 Million, or 31.3% of annual budget. Although Commercial Leases are down 2.4% (\$18,641) and Slip Permit Transfer Fees are down 27% (\$124,800) versus last year at this time, Food Service Leases are up nearly 8% (\$83,917) and Parking Revenue is up over 30% (306,043) over prior year. Although early in the Fiscal Year, staff project year-end revenues to come in above budgeted estimates.

Actual expenditures for First Quarter are \$4.5 Million (23.6% of annual budget). Encumbrances of \$1.1 Million bring that to 29.3% of annual budget. Numerous vacancies, including Maintenance Workers, Waterfront Business Manager, and several hourly positions drove savings in Salaries and Benefits, which finished slightly below budget for the first three months of the Fiscal Year. Supplies and Services also came in below budget. Combined savings for the Department are anticipated at nearly \$1 Million by year end.

Enterprise Operating Funds: Year-End Projected Reserves

The table below summarizes the anticipated impact on reserves in each of the major enterprise funds at the end of FY2023 compared to policy reserve targets.

Enterprise Operating Funds	FY2022 Policy Reserves	FY2022 Available Reserves	FY2023 Projected Add (Use) of Reserves	FY2023 Projected Available Reserves
Solid Waste	3,891,700	(195,791)	2,211,424	2,015,633
Water	31,423,570	4,220,266	4,733,351	8,953,617
Wastewater	10,203,876	1,125,749	1,562,627	2,688,376
Downtown Parking	2,391,028	503,670	222,077	725,747
Clean Energy	TBD	(2,789,132)	2,098,380	(690,752)
Airport	6,729,550	3,664,829	777,328	4,442,157
Golf	932,147	619,710	550,230	1,169,940
Waterfront	3,586,785	1,375,070	849,271	2,224,341

All enterprises ended FY2022 in a favorable reserve position with funds that will be used for much needed capital improvements for many enterprise funds. The Clean Energy fund will propose a reserve policy in the future as a formal policy has yet to be established being the enterprise is in its infancy stage. Staff developed and presented balancing strategies as part of the FY2022 budget process to minimize the use of reserves and a plan for replenishment, as per Resolution 12-066.

Interdepartmental Services Operating Fund Revenues and Expenses

The City has established internal service funds for centralized services that provide support across most or all funds and departments. These functions primarily include fleet, facilities, risk management, and information technology. The interim quarterly reports would typically not discuss in detail major changes in the City's internal services departments and funds as major changes typically do not occur during the year.

Self-Insurance Fund (SIF)

The City purchases insurance policies to cap its exposure to loss for specific hazards. The SIF accumulates revenues through an annual allocation from departments as an expenditure to each department. The SIF then pays for the program's annual renewals for insurance coverage, claims that may need to be paid during the fiscal year, and the staff administering the programs.

The SIF has experienced financial challenges in recent years. The annual allocations coming into the SIF from departments has remained relatively flat because of the budgetary challenges facing the City's General Fund and other funds. The cost to the City to retain the existing property, excess general liability, and other insurance programs has increased substantially over the years. Liability coverage has become more expensive across the nation due to recent large losses from law enforcement and other claims. And property coverage has become more expensive due to insurance companies factoring in increased costs due to recent droughts and wildfires in the Western United States and California, in particular.

The most recent actuarial report recommended that the City have an \$18.3 Million reserve balance in the SIF to be able to continue to fund the City's existing insurance program and cover reasonably anticipated claim activities. The FY2023 budget assumed an ending fund balance of \$6.5 Million in the SIF.

On November 16, 2021, staff presented an overview to the Finance Committee regarding major changes anticipated in the insurance industry, budget considerations for FY2022 and FY2023, and substantial increases to operating department allocations into the SIF, to ensure ongoing premium and claim payment costs can be met and adequate reserves can be achieved. The Finance Committee unanimously supported implementing a plan to gradually increase allocations into the SIF over the next nine fiscal years to target achieving reserves at or near the actuarial recommended level. Staff built in these allocation increases into the FY2023 adopted budget.

City of Santa Barbara
Fiscal Year 2023 Financial Statements for the Three Months Ending September 30, 2022
 Schedule of Proposed First Quarter Budget Adjustments

	Increase (Decrease) in Appropriations	Increase (Decrease) in Estimated Revenues	Addition to (Use of) Reserves
GENERAL FUND (Fund 1000)			
Increase appropriations for civil litigation and code enforcement/prosecution costs and reduce appropriations for facilities cost.	\$ 10,000.00	\$ -	\$ (10,000)
	\$ (10,000.00)	\$ -	\$ 10,000
Increase estimated revenues and appropriations for a transfer of \$30,000 from the Technology Fee Reserve (3000-331020) to provide funding required to upgrade Building & Safety inspectors to laptops for use in the field, add tablets for use by board and commission members to facilitate an improved digital plan viewing experience, and add improved plan review software to create a more efficient plan review process. The Technology Fee reserve has \$724K available at the end of FY22.	\$ 30,000	\$ 30,000	\$ -
Increase estimated revenues and appropriations in the amount of \$22,000 to replace a damaged and outdated overhead door at Airport Fire Station 8. The Airport is reimbursing the Fire Department for the replacement costs. Public Works Facilities Management is overseeing the replacement.	\$ 22,000	\$ 22,000	\$ -
Total General Fund (1000)	\$ 52,000	\$ 52,000	\$ -
SPECIAL REVENUE FUNDS			
Comm.Development Block Grant Fund (2130)			
Reduce estimated revenues and appropriations to adjust to actual award amount. The FY23 budget for the CDBG Fund was developed using the prior year's award amount as the official FY23 CDBG award notification had not yet been received. The actual FY23 CDBG award amount is \$29,389 less than the amount that was used for budget development. This entry adjusts the FY23 budget to the actual FY23 award amount.	\$ (29,389)	\$ (29,389)	\$ -
Total Comm.Development Block Grant Fund (2130)	\$ (29,389)	\$ (29,389)	\$ -
Federal Home Loan Program Fund (2140)			
Increase revenue and expenditure appropriations in the HOME program by \$62,618 to adjust to actual award amount. The FY23 budget for the HOME Fund was developed using the prior year's award amount as the official FY23 HOME award notification had not yet been received. The actual FY23 HOME award amount is \$62,618 more than the amount that was used for budget development. This entry adjusts the FY23 budget to the actual FY23 award amount.	\$ 62,618	\$ 62,618	\$ -
Increase estimated revenues and appropriations by \$3,767 in the HOME Fund. Each year there is a requirement to award the program income received during the prior fiscal year. This adjustment is necessary to have sufficient appropriations to fund the required award.	\$ 3,767	\$ 3,767	\$ -
Increase appropriations by \$2,238 in the HOME Fund. On an annual basis, the HOME Fund budget should be balanced. Total revenues should equal total expenditures. Due to position allocation changes and other moving costs, the final FY23 HOME Fund budget was not in balance. This entry adjusts the budget to bring it in to balance.	\$ 2,238	\$ -	\$ (2,238)
Total Federal Home Loan Program Fund (2140)	\$ 68,623	\$ 66,385	\$ (2,238)
Perm Local Housing Alloc Fund (2150)			
Increase revenue and expenditure appropriations in the PLHA Fund to adjust budgets to actual award amounts. Budget development for the PLHA Fund is based on an estimate prior to receiving the actual award amount from the State. This entry adjusts revenue and expenditures to accurately reflect the actual award amounts received for Fiscal Years 2023 and 2022. While this entry creates expenditure appropriations, all programming of activity in the PLHA Fund will return to Council as needed for contract approval.	\$ 599,302	\$ 599,302	\$ -
Total Perm Local Housing Alloc Fund (2150)	\$ 599,302	\$ 599,302	\$ -
Community Development Miscellaneous Grants Fund (2810)			
Increase estimated revenue and appropriations in the Community Development Miscellaneous Grants Fund by \$10,000. This entry programs an additional \$10,000 in REAP Grant Funds. The City received a REAP in the amount of \$499K with a period of activity from 1/1/21 through 9/30/23. The remaining balance in the grant is set aside for Grant Administration and reimbursement of staff time spent on grant activities. The Grant Administration is not going to be fully spent and there is a need for additional support from interns. This entry allocates \$10,000 that was previously set aside for Grant Administration to hourly salaries for interns.	\$ 10,000	\$ 10,000	\$ -
Total Community Development Miscellaneous Grants Fund (2810)	\$ 10,000	\$ 10,000	\$ -

		Increase (Decrease) in Appropriations	Increase (Decrease) in Estimated Revenues	Addition to (Use of) Reserves
SPECIAL REVENUE FUNDS (Continued)				
Library Miscellaneous Grants Fund (2850)				
Increase estimated revenue and appropriations as the Santa Barbara Public Library was awarded a \$20,000 grant by SB ACT to support outreach and resource navigation at Community Connections programs.	\$	20,000	\$ 20,000	\$ -
Increase estimated revenue and appropriations as the Friends of the Eastside Library gave the Eastside Branch Library an additional \$20,267.60 contribution to support Library collections and supplies.	\$	20,268	\$ 20,268	\$ -
Increase estimated revenue and appropriations as the Packard Foundation awarded the Library Department \$4,000 for Stay and Play programming which supports families and caregivers.	\$	4,000	\$ 4,000	\$ -
Total Library Miscellaneous Grants Fund (2850)	\$	44,268	\$ 44,268	\$ -
Parks and Recreation Miscellaneous Grants Fund (2860)				
Increase estimated revenue and appropriations to receive a final disbursement/donation from the Elizabeth Firth Wade Endowment Foundation for A.C. Postel Memorial Rose Garden.	\$	25,000	\$ 25,000	\$ -
Total Parks and Recreation Miscellaneous Grants Fund (2860)	\$	25,000	\$ 25,000	\$ -
Capital Outlay Fund (3000)				
Increase appropriations to transfer out \$30,000 from the Technology Fee Reserve (3000-331020) to provide General Fund (1000) funding required to upgrade Building & Safety inspectors to laptops for use in the field, add tablets for use by board and commission members to facilitate an improved digital plan viewing experience, and add improved plan review software to create a more efficient plan review process. The Technology Fee reserve has \$724K available at the end of FY22.	\$	30,000	\$ -	\$ (30,000)
Total Capital Outlay Fund (3000)	\$	30,000	\$ -	\$ (30,000)
ENTERPRISE FUNDS				
Airport Operating Fund (5700)				
Increase appropriations by \$250,000 from Airport Operating Reserves for TSA Queueing Support - Due to record levels of enplanements and new requirements, the TSA queuing is often spilling downstairs requiring additional Security Aide support; due to difficulty in recruitment, the need to contract this service out has become a necessity.	\$	250,000	\$ -	\$ (250,000)
Increase appropriations by \$33,000 from Airport Operating Reserves for Airport Terminal Passenger Profile and Economic Impact Study with Visit Santa Barbara and conducted by Destination Analytics.	\$	33,000	\$ -	\$ (33,000)
Increase appropriations by \$22,000 from Airport Operating Reserves to replace a damaged and outdated overhead door at Airport Fire Station 8. The Airport is reimbursing the Fire Department for the replacement costs. Public Works Facilities Management is overseeing the replacement.	\$	22,000	\$ -	\$ (22,000)
Total Airport Operating Fund (5700)	\$	305,000	\$ -	\$ (305,000)
Waterfront Operating Fund (5800)				
Increase appropriations to transfer out \$250,000 from the Waterfront Operating Fund to Waterfront Capital Fund for interior dredging.	\$	250,000	\$ -	\$ (250,000)
Total Waterfront Operating Fund (5800)	\$	250,000	\$ -	\$ (250,000)
Waterfront Capital Fund (5810)				
Increase appropriations by \$425,498 from the Waterfront Capital Fund reserves for various capital projects in FY 2023.	\$	425,498	\$ -	\$ (425,498)
Increase estimated revenues to transfer in \$250,000 from the Waterfront Operating Fund to Waterfront Capital Fund for interior dredging.	\$	-	\$ 250,000	\$ 250,000
Total Waterfront Capital Fund (5810)	\$	425,498	\$ 250,000	\$ (175,498)



CITY OF SANTA BARBARA

COUNCIL AGENDA REPORT

AGENDA DATE: November 15, 2022

TO: Mayor and Councilmembers

FROM: Engineering Division, Public Works Department

SUBJECT: Construction Management Services for Fiscal Year 2021B Water Main Replacement Project [Agreement]

RECOMMENDATION:

That Council authorize the Public Works Director to execute a professional services contract with Flowers & Associates, Inc. in the amount of \$344,577 for construction management and inspection services for the Fiscal Year 2021B Water Main Replacement Project, and approve expenditures of up to \$34,458 for potential extra services that may result from necessary changes in the scope of work.

DISCUSSION:

Background

The Fiscal Year 2021B Water Main Replacement Project (Project) will replace approximately two miles of aging and at-risk water mains at high-priority locations throughout the City of Santa Barbara (City). Long-term goals include more efficient maintenance and control of the water system, while also providing a safe and reliable water supply. Construction is anticipated to take place between January 2023 and August 2023.

Project Description

The construction work consists of a combination of conventional water main replacements on various streets throughout the City and the installation of a new raw water main on Santa Barbara Street and Anapamu Street. The design intent is to replace water mains along portions of Alberta Avenue, Alisos Street, Arrellaga Street, Cliff Drive, Gravilla Drive, Loma Alta Drive, Mason Street, San Andres Street, Santa Barbara Street, and Anapamu Street.

Construction Phase Consultant Engineering Services

Flowers & Associates, Inc. (Flowers) will provide construction management and inspection services during the construction phase of the Project. Flowers is responsible

for hosting the preconstruction conference and weekly meetings; preparing associated conference memos; reviewing submittals; distributing shutdown notices to affected businesses and residents; scheduling all required testing services with the City; maintaining records; responding to public inquiries; entering utility asset data on the City's Cartegraph system; reviewing pay requests; tracking project quantities; providing project monitoring with digital photographs and daily observation reports; and providing a final report at the end of the Project.

Flowers was selected through a competitive Request for Proposals process that included multiple qualified firms. Selected consultants were added to an on-call list. Flowers was selected from the on-call list to support this Project.

Staff recommends that Council authorize the Public Works Director to execute a contract with Flowers in the amount of \$344,577.00 for construction management and inspection services for the Project, and approve expenditures of up to \$34,457.70 for potential extra services, for a total of \$379,034.70.

The public may request a copy of the contract for review from the Public Works Department by contacting PWInfo@SantaBarbaraCA.gov.

Community Outreach

Prior to construction, City staff will send out public outreach mailers, the City News In Brief newsletter, and Nextdoor social media posts to alert residents and businesses of the upcoming work. During construction, the contractor will deliver door hangers for those directly impacted by the work.

BUDGET/FINANCIAL INFORMATION:

The following summarizes all estimated Project costs:

ESTIMATED TOTAL PROJECT COSTS

Design (Stantec Consulting Services Inc.)	\$200,000.00
Other Design Costs – City Staff, Environmental	\$106,985.00
<i>Design Subtotal</i>	\$306,985.00
Estimated Construction Contract with Change Order Allowance	\$3,950,000.00
Estimated Construction Management/Inspection (Flowers)	\$379,034.70
Estimated Construction Management – City Staff	\$83,091.00
Estimated Other Construction Costs (Outreach Efforts)	\$10,000.00
<i>Construction Subtotal</i>	\$4,422,125.70
TOTAL PROJECT COST	\$4,729,110.70

There are sufficient expenditure appropriations in the Water Capital Fund Budget in Fiscal Year 2023 to fund the contract, including extra services, with Flowers & Associates, Inc.

ENVIRONMENTAL REVIEW:

The Project received a California Environmental Quality Act Categorical Exemption on August 6, 2022, and a Coastal Exemption on October 22, 2022.

WATER COMMISSION:

This item was presented to the Water Commission at its meeting on October 20, 2022, and the Commission voted 4:0:1 in support of staff's recommendations.

PREPARED BY: Ashleigh A. Shue, P.E., Principal Engineer/VF/rl

SUBMITTED BY: Clifford M. Maurer, P.E., Public Works Director

APPROVED BY: City Administrator's Office



CITY OF SANTA BARBARA

COUNCIL AGENDA REPORT

AGENDA DATE: November 15, 2022

TO: Mayor and Councilmembers

FROM: Engineering Division, Public Works Department

SUBJECT: On-Call Water Main Design Services for Water Main Replacement Program [Agreements]

RECOMMENDATION: That Council:

- A. Authorize the Public Works Director to execute a City Professional Services Agreement with Stantec Consulting Services Inc. in an amount not to exceed \$3,000,000 for on-call water main design services for a term of up to five years; and
- B. Authorize the Public Works Director to execute a City Professional Services Agreement with Cannon Corporation in an amount not to exceed \$3,000,000 for on-call water main design services for a term of up to five years.

DISCUSSION:

Background

The City of Santa Barbara's (City) water system is comprised of a surface water treatment plant, a groundwater treatment plant, 12 pump stations, 13 reservoirs, and 19 pressure-reducing stations. These facilities convey water through approximately 307 miles of water mains for the consistent delivery of safe drinking water to the City's water customers. In the event of an unanticipated equipment failure, it is important to have the necessary engineering support available to quickly assist with returning equipment to working order. Replacing segments of the water main prior to breaking allows the system to operate efficiently. The City aims to complete two water main replacement projects per year, with the goal of replacing two percent of the City's water mains each year.

Staff desires to have professional engineering firms on-call to provide timely preparation of plans and specifications for ongoing water main replacement projects.

Project Description

Through a publicly advertised Request for Qualifications process, the City received proposals from the following six professional engineering firms:

1. Cannon Corporation (Cannon)
2. Jensen Design & Survey, Inc.
3. Lee & Ro, Inc.
4. MKN & Associates, Inc.
5. Stantec Consulting Services Inc. (Stantec)
6. Wallace Group

Each firm's proposal responded to an example task order for an anticipated water main replacement project. Staff determined that the proposals prepared by Stantec and Cannon were best suited to meet the City's needs. These firms ranked highest, are well qualified, and have substantial water resources engineering experience. Staff recommends that Council approve both Stantec and Cannon to be on the City's prequalified list to provide on-call engineering support for water main replacement projects.

On-Call Design Phase Consultant Engineering Services

Staff recommends that Council authorize the Public Works Director to execute two City Professional Services Agreements: one with Stantec and one with Cannon, each in an amount not to exceed \$3,000,000 for on-call water main design. Each contract is expected to cover, at minimum, two water main projects per fiscal year over the span of the five-year period.

The public may request a copy of each contract for review from the Public Works Department by contacting PWInfo@SantaBarbaraCA.gov.

BUDGET/FINANCIAL INFORMATION:

No funds will be encumbered until individual projects are identified. At that time, staff will negotiate the cost for each individual project with the selected firm and create a task order. Funds will be encumbered prior to the start of work. Expenditures for on-call water main design services will be funded by expenditure appropriations in the Water Capital Fund that are existing or included in the annual budget during the budget process.

SUSTAINABILITY IMPACT:

On-call engineering services will provide the ability to respond rapidly to potential operational and maintenance problems at water system facilities, such as water main breaks and wasted water. As a result, the water system will be able to better provide continuous safe drinking water to help ensure public health and safety.

ENVIRONMENTAL REVIEW:

All water main replacement projects are reviewed for compliance with the California Environmental Quality Act prior to construction.

WATER COMMISSION:

This item was presented to the Water Commission at its meeting on October 20, 2022, and the Commission voted 4:0:1 in support of staff's recommendations.

PREPARED BY: Ashleigh A. Shue, P.E., Principal Engineer/VF/rl

SUBMITTED BY: Clifford M. Maurer, P.E., Public Works Director

APPROVED BY: City Administrator's Office



CITY OF SANTA BARBARA

COUNCIL AGENDA REPORT

AGENDA DATE: November 15, 2022

TO: Mayor and Councilmembers

FROM: City Administrator's Office

SUBJECT: Cancellation of Certain Council Meetings in 2023

RECOMMENDATION:

That Council cancel the regular Council Meetings on the following dates: January 3, January 17, February 21, March 28, April 4, May 30, June 20, July 4, July 11, August 8, August 15, September 5, November 14, November 21, November 28, December 19, and December 26, 2023.

DISCUSSION:

Each year we review the calendar for the regular City Council Meeting dates.

The Council meeting schedule has been established based on cancelling the Council meetings on the Tuesdays following a holiday, and providing for a summer and winter break. Council canceled these same meetings in 2022. We are now proposing the cancellation of meetings for the next year.

Using this criteria, the following meetings would be cancelled:

- Tuesday, January 3, 2023 (Tuesday after New Year's Day Holiday);
- Tuesday, January 17, 2023 (Tuesday after Martin Luther King Jr. Holiday);
- Tuesday, February 21, 2023 (Tuesday after Presidents Day Holiday)
- Tuesday, March 28, 2023 (Spring Break);
- Tuesday, April 4, 2023 (Tuesday after Cesar Chavez Day Holiday);
- Tuesday, May 30, 2023 (Tuesday after Memorial Day Holiday);
- Tuesday, June 20, 2023 (Tuesday after Juneteenth Holiday);
- Tuesday, July 4, 2023 (Tuesday July 4th Holiday);
- Tuesday, July 11, 2023 (Summer Break);
- Tuesday, August 8, 2023 (Summer Break);
- Tuesday, August 15, 2023 (Summer Break);
- Tuesday, September 5, 2023 (Tuesday after Labor Day Holiday);
- Tuesday, November 14, 2023 (Tuesday after Veteran's Day Holiday);
- Tuesday, November 21, 2023 (Tuesday before Thanksgiving Holiday);
- Tuesday, November 28, 2023 (Tuesday after Thanksgiving Holiday);

Council Agenda Report
Cancellation of Certain Council Meetings in 2023
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- Tuesday, December 19, 2023 (Winter Break);
- Tuesday, December 26, 2023 (Winter Break)

PREPARED BY: Nicole Grisanti, Administrator's Office Supervisor

SUBMITTED BY: Rebecca Bjork, City Administrator

APPROVED BY: City Administrator's Office



CITY OF SANTA BARBARA

COUNCIL AGENDA REPORT

AGENDA DATE: November 15, 2022

TO: Mayor and Councilmembers

FROM: Engineering Division, Public Works Department

SUBJECT: Update on Santa Barbara County Association of Governments
Broadband Strategic Plan

RECOMMENDATION:

That Council receive and comment on a presentation by the Santa Barbara County Association of Governments and the Broadband Consortium of the Pacific Coast on the published Strategic Plan.

DISCUSSION:

The Santa Barbara County Association of Governments Broadband Strategic Plan team will make a presentation to Council on the scope of the project, the published Strategic Plan, and the anticipated next steps for the region and the City of Santa Barbara (City).

The published Strategic Plan, including instructions for the public to complete an ongoing survey and speed test, can be found at www.sbcag.org/broadband.

BUDGET/FINANCIAL INFORMATION:

The City used American Rescue Plan Act funds from the Federal Government to pay for the City's \$60,460 share of this work effort.

PREPARED BY: Adam Hendel, P.E., Principal Engineer/sk

SUBMITTED BY: Clifford M. Maurer, P.E., Public Works Director

APPROVED BY: City Administrator's Office



CITY OF SANTA BARBARA

COUNCIL AGENDA REPORT

AGENDA DATE: November 15, 2022

TO: Mayor and Councilmembers

FROM: Environmental Services Division, Sustainability & Resilience Department

SUBJECT: Consideration of Changes to Solid Waste Programs and Services for Inclusion in a New Solid Waste Franchise Agreement with MarBorg Industries

RECOMMENDATION:

That Council direct staff to include proposed changes to solid waste programs and services in negotiations for a new Solid Waste Franchise Agreement with MarBorg Industries, including implementation of industry standard residential curbside cart collection.

EXECUTIVE SUMMARY:

The City is preparing for the expiration of its current solid waste franchise hauler agreement (Agreement) with MarBorg Industries (MarBorg) in June 2023. As directed by Council on July 19, 2022, City staff and solid waste consultants from R3 Consulting Group Inc. (R3) are negotiating the terms and conditions of a new Agreement with MarBorg. Negotiations began in August 2022 and are anticipated to conclude by January 2023 with the intention to recommend a new Agreement to Council by March 2023.

The City conducted an online survey for solid waste customers from June to September 2022, which indicated that a majority of customers are either satisfied or very satisfied with MarBorg's services. Respondents to the survey provided feedback regarding priorities for enhanced service offerings, which are being pursued during the negotiation process, in addition to new and enhanced programs and services recommended by City staff and R3. New and enhanced programs and services being considered in negotiations include:

- Enhancements to a variety of neighborhood collection services
- Compliance with new State legislative mandates
- Terms and conditions reflecting current industry standards in a variety of areas

Council Agenda Report

Consideration of Changes to Solid Waste Programs and Services for Inclusion in a New Solid Waste Franchise Agreement with MarBorg Industries

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- Changes to compensation methodology and financial reporting
- Transitioning residential collection service to a curbside cart collection program

Negotiations are progressing smoothly and are anticipated to be completed within the eight-month timeline established by Council. Staff, R3 and MarBorg intend to negotiate financial outcomes that will minimize or avoid the need for increases in small residential (one to four units) curbside customer rates for the collection services provided by MarBorg in fiscal year (FY) 2025. Small residential customers who opt-in to “on-premises” collection of solid waste containers will see increased rates corresponding to the higher cost of providing on-premises collection service.

At this time, Council is only being requested to provide direction relating to the preparation of the final draft Agreement that is under negotiation. Final approval of that Agreement will be subject to later Council action, anticipated in February or March 2023. Any changes in MarBorg’s compensation that might result from service delivery changes and that would require adjustments to the City’s customer rates will be subject to future Council action after compliance with Proposition 218 procedural and substantive requirements.

Staff and R3 presented proposed changes to the solid waste programs and services to the Council Sustainability Committee on October 17, 2022, and the Committee voted unanimously to forward a recommendation to Council supporting changes for the new MarBorg Solid Waste Franchise Agreement.

DISCUSSION:

Background

The solid waste industry has changed significantly since the City first entered into the Agreement with MarBorg in 2003. In the last ten years, a series of legislative mandates have been signed into law requiring recycling and organic collections services in certain sectors and establishing targets to reduce statewide disposal of organic waste. Additionally, changes in recycling and labor markets and advancements in technology have shifted industry operating standards.

Implementing these mandates and new industry standards into a new Agreement will involve significant changes. Additionally, evaluating and incorporating lessons learned since current programs and services were put into place will be critical to developing a modern Agreement in alignment with industry best practices and the service needs of the Santa Barbara community. To support the City in that effort, on April 12, 2022, Council approved a professional service agreement with R3 for expert consulting services relating to developing and negotiating the new Agreement.

Council Agenda Report

Consideration of Changes to Solid Waste Programs and Services for Inclusion in a New Solid Waste Franchise Agreement with MarBorg Industries

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On June 13, 2022, the Council Sustainability Committee voted to recommend pursuing a direct negotiation with MarBorg, establishing an eight-month period to come to an agreement, while maintaining the right to conduct competitive procurement if an agreement is not reached during that time, as well as to extend the existing contract by one year. On July 19, 2022, the City Council voted to support the Sustainability Committee's recommendation.

Community Survey Results

Staff and R3 prepared for negotiating programs and services for the City by conducting a community survey from June through September 2022. There were over 1,200 respondents to the survey, which was conducted online, and while the results are not statistically significant, the majority of respondents indicated being satisfied or very satisfied with MarBorg's services. The majority of respondents also indicated that quality of overall service was of highest priority, with range of services provided and cost of service being the next highest overall priorities. Respondents also expressed interest in broader access to household hazardous waste (HHW) collection services, access to compost for gardens and backyards, and additional bulky item pickups, in that order of priority.

Status of Negotiations

Staff, MarBorg and R3 are meeting monthly to negotiate terms, conditions, programs, and services for inclusion in the new Agreement, which is proposed to be a 10-year Agreement with an optional 5-year extension. Negotiations are progressing well and are on-track for successful completion within the eight-month period approved by Council. Staff anticipates bringing a recommended Agreement with MarBorg forward to Council in February or March 2023.

During negotiation meetings in August and September, Staff, MarBorg and R3 identified certain opportunities for changes to solid waste programs and services that may be necessary and desirable to include in the new Agreement. While changes to programs and services are still being negotiated and are thus still subject to revision, the purpose of this item is to brief Council on the nature of those changes and seek feedback.

Enhanced Neighborhood Collection Services

Staff is exploring potential enhancements and additions to several existing MarBorg programs and services which, together, will contribute to greater cleanliness on City streets and public rights-of-way. It is possible that enhanced neighborhood collection services could be phased in over time in order to minimize or avoid changes in rates for MarBorg-provided services in FY 2025. The enhanced services are summarized below.

- **Bulky Items:** Expanding bulky item collection service to include large residential units within 5 business days of requests by residents., which would triple the amount of bulky item collections available to residents in the City. Palm fronds will also now be accepted in bulky item collections.
- **Abandoned Waste:** Enhancing abandoned waste clean-up efforts, which would be provided within 48 hours of City direction and provide for a greater number of abandoned waste collections based on City's needs.
- **Palm Fronds:** As requested by City staff, providing collection of palm fronds cleaned up by City crews on City property. Separately, collection of palm fronds generated on residential properties via the bulky item collection service provided to residents.
- **Clean Santa Barbara and City Services:** Providing additional solid waste collection services via roll-off containers from the City's designated yard locations as well as for the Clean Santa Barbara program.
- **Premium Sunday Service:** Providing a premium Sunday collection service to businesses that subscribe to service on all other collection days.
- **Household Hazardous Waste Collection Events:** Providing periodic events for City residents to drop-off HHW at convenient and centralized locations, supplementing the existing Community HHW Collection program at UCSB.

Programs and Services for Legislative Compliance

A primary reason to update the City's Agreement with MarBorg is to secure programs and services to facilitate compliance with State legislative requirements.

Over the last ten years, the solid waste industry has undergone a series of significant changes in response to post-consumer material markets and state legislation, driving the need to create a more adaptive system. Some of the major legislation includes Mandatory Commercial & Multi-Family Recycling (AB 341 (2012)) and Mandatory Commercial Organics Recycling (AB 1826 (2014)), which require commercial and multi-family residents to subscribe to recycling and organic collection services, and Short-Lived Climate Pollutants (SB 1383 (2016)), which is intended to reduce greenhouse gas emissions, such as methane, and address food insecurity in California.

SB 1383 imposes significant new administrative requirements on jurisdictions including program implementation, reporting to CalRecycle, and enforcement. The following are not included in the current Agreement and will be incorporated into the new Agreement to address these important changes:

- **New Containers/Lids and Labeling:** The City must adopt a collection system that complies with prescribed labeling and container or lid color for all account holders. This includes providing new containers or lids for those that do not comply. This City's brown trash containers do not comply as SB 1383 requires them to be grey or black. Brown trash containers will be replaced with grey containers during the Agreement to comply with this requirement.
- **Contamination Monitoring:** SB 1383 includes annual inspection requirements to verify self-hauler compliance, including inspections of Tier One and Tier Two commercial edible food generators to verify compliance with food recovery requirements and annual residential and commercial route reviews to visually assess container contamination.
- **Reporting and Data Tracking:** There are a number of reporting requirements that the City intends to have MarBorg fulfill, including type of organic waste collection service(s) provided to generators, results of waste evaluations performed to minimize contamination and the number of resulting targeted route reviews, and the total number of generators that receive each type of organic waste collection service provided.

Dedicated Staffing, New Collection Vehicles, and Other Industry Standards

The new Agreement will also include terms and conditions meeting industry standards in a variety of areas. Among those are:

- **Dedicated Staffing:** MarBorg will provide a dedicated point-of-contact serving as the primary liaison to the City and being responsible for resolution of City inquiries (such as service needs, complaint resolution, reporting requests, etc.).
- **New Collection Vehicles:** MarBorg's collection vehicles will be replaced as needed during the term of the Agreement. Language will be negotiated into the contract to prioritize zero emission vehicle technologies as soon as they become economically and technologically viable.

Other industry standard terms and conditions include, but are not limited to:

- **Reporting:** To meet legislative requirements and facilitate best practices in management and administration of the Agreement.
- **Performance Standards:** Providing the City with assurances of MarBorg's performance including administrative penalties for uncured failures to perform.

- **Performance Reviews:** Providing the City with the ability to audit MarBorg's operations and finances periodically to ensure adherence to the terms and conditions of the Agreement.
- **Risk Management:** Meeting current City standards for insurance coverages and performance bond assurances.

Residential Curbside Cart Collection Program

The City's existing residential collection program is provided in an outdated manner compared to current industry standard in Santa Barbara County and the rest of California. Currently, residential collection services in the City are provided via collection vehicles that require two employees to exit the vehicle to gather containers and manually move those containers to the rear of the collection vehicle for emptying. Containers are often located away from the public right-of-way, meaning that employees spend valuable time walking to and from the collection vehicle to gather and return containers. MarBorg is proposing to move away from this very labor intensive means of providing residential collection services.

The approach that MarBorg is proposing is the same collection approach that has been approved in MarBorg's other service areas County-wide and is the industry standard for communities in California. This approach entails use of automated collection vehicles (operated by one employee) which use a mechanical arm to collect specially designed wheeled carts which are placed at the curb by residents. MarBorg has implemented (or is imminently scheduled to implement) this residential curbside cart collection program in all the other communities to which it provides services. The program has been well-received in those communities, and, according to the City's consultant, R3, this collection approach has been in widespread use throughout the State for decades and is thus a time-proven method of providing residential collection service.

Residential curbside cart collection for the City will be flexible, providing for cost-effective rates for most of the customers while maintaining an option for those who would like to pay for the added convenience of "on-premises" non-curbside service. There are many benefits to a residential curbside cart collection program, including but not limited to:

- **Efficiency:** Shorter service time to collect carts from the curb.
- **Reduced Idling:** Fewer emissions from idling vehicles due to shorter service time.
- **Traffic Safety:** Safer traffic neighborhood traffic conditions resulting from less time with trucks collecting containers in the street.

- **Worker Safety:** Safer working conditions for MarBorg employees by virtue of less need to physically maneuver containers.
- **Customer Convenience:** Wheeled containers that are easier for customers to maneuver.
- **Litter Improvements:** Reduced roadside litter by providing containers with attached lids.
- **Uniformity:** Cleaner appearance of roadways with standardized containers.

Via the residential curbside cart collection program, MarBorg will provide every residential customer in the City with wheeled carts (color coordinated to meet the requirements of SB 1383) for collection of trash, recycling and organic waste. Customers will place those wheeled carts out to the curbside on their collection day and MarBorg will collect the containers from the curbside collection point. MarBorg will employ the new automated collection vehicles where the conditions of service make automated collection feasible (estimated at 60% of the City's residential customers) and will continue to employ the current approach in areas of the City where automated collection is infeasible due to density and/or traffic conditions (estimated at 40% of the City's residential customers).

With this change, all residential customers will need to use wheeled carts (to which 70% of customers already subscribe) and place those carts curbside. Customers will not be allowed to use non-wheeled cans, and all customers with non-wheeled cans will be provided new wheeled carts (affecting 30% of customers). Currently, MarBorg provides collection service customers using non-wheeled cans on-premises as a default service. For small residential customers (one to four units) the default collection option will be at the curbside, with customers being able to opt-in to on-premises collection service at an additional cost-of-service, which will be above the cost of curbside collection (with exception for customers requiring a disability accommodation).

Staff and R3 concur with MarBorg that the change to residential curbside cart collection should be included in the new Agreement. If approved by Council, the change to this new collection approach would be enacted over time as per an agreed-upon transition schedule, providing the City and MarBorg with plenty of time and opportunity to ensure a smooth transition. Customers will be provided plenty of advance notice via outreach and education regarding the change and will have the ability to keep or change their subscription levels during the transition. MarBorg leadership will personally oversee the container exchange process and will use MarBorg employees to deliver the new carts (not a third-party service provider which is typically the case for such transitions).

The transition to the residential curbside cart collection program would be conducted over several months and include the following steps to provide the community with enough time to prepare.

- Mail first packet of information to customers
- Send second letter to customers regarding container exchanges
- Send third letter to customers regarding container exchanges
- Send reminder for service change and cart delivery
- Complete exchange of remaining cans for carts

Revised Compensation Methodology

The means of compensating MarBorg in the current Agreement are complicated, outdated, and not in-keeping with industry standards. Currently, MarBorg is compensated based on a complex set of calculations wherein the amount due to MarBorg is derived based on what the City collects from solid waste customers. Additionally, MarBorg's current compensation includes compensation for the post-collection costs of processing, recycling, composting and disposal, which the City intends to pay directly to the County pursuant to its Material Delivery Agreement going forward.

Via the new Agreement, MarBorg will be compensated for collection services it provides to customers in accordance with a set of cost-of-service per unit rates. Those cost-of-service compensation rates will originally be set based on financial analysis conducted by the City, R3 and other City consultants, and subject to negotiation and agreement with MarBorg. Once set, the compensation per unit will be adjusted annually in accordance with a consumer price index (CPI) posted by the Bureau of Labor Statistics (BLS). Post-collection costs will be paid to the County by the City directly, and will no longer be included as a pass-through cost in MarBorg's compensation. MarBorg will also provide the City with financial statements necessary for the City to periodically quantify the cost-of-service provided to the City and the reasonableness of compensation to MarBorg.

BUDGET/FINANCIAL INFORMATION:

Proposed changes to solid waste programs and services to be provided by MarBorg via the new Agreement, including amounts of compensation to MarBorg for those programs and services, are still being negotiated. Staff and R3 are not yet positioned to provide specifics regarding resultant effects on MarBorg's compensation and the City's customer rates. Staff, R3 and MarBorg intend to negotiate financial outcomes that will minimize or avoid the need for increases in small residential (one to four units) curbside customer

rates for the collection services provided by MarBorg in FY 2025. Small residential customers that choose to opt-in to on-premises collection, and that are not able to demonstrate the need for a disability accommodation, will see increases in their collection rate as a result of higher cost-of-service compensation to MarBorg for on-premises collection service.

The next steps in the negotiation process will be to review compensation proposals from MarBorg, negotiate revisions as necessary to achieve desired outcomes, and present a recommended suite of programs, services, and compensation for Council consideration. Any changes in MarBorg's compensation that would require adjustments to the City's customer rates will be subject to future Council action after compliance with Proposition 218 procedural and substantive requirements.

Compensation to MarBorg is just one part of the rates that the City sets and charges for solid waste service and that overall rates in FY 2025 may still be subject to increase. This is because rates charged by the City also include post-collection costs of processing, recycling, composting and disposal which the City will pay to the County, and which are anticipated to increase in the coming fiscal year.

PREPARED BY: Lorraine Cruz Carpenter, Acting Environmental Services Manager

SUBMITTED BY: Alelia Parenteau, Acting Sustainability and Resilience Director

APPROVED BY: City Administrator's Office



CERTIFICATE OF RECOGNITION

*On behalf of the Santa Barbara City Council, it is my pleasure
to recognize the following students for achieving*

GOLD CARD STATUS

for their stellar attendance at

UNITED BOYS AND GIRLS CLUBS
OF SANTA BARBARA COUNTY

We hereby congratulate:

*Daniel Ceballos
Luca Ceballos
Mateo Ceballos
Sadie Favela
Sofia Garcia
Damian Heller
Ivana Hernandez
Asher Knapp
Angel Lippincott
Amalia Miller*

*Tony Murcia
Dylan Perkins
Kennedy Perkins
Mason Rodriguez
Melina Rodriguez
Sebastian Rodriguez
Ellie Rozhko
Colin Sullivan
Emmanuel Uribe*

IN WITNESS THEREOF, *I have hereunto set my hand and
caused the Official Seal of the City of Santa Barbara, California,
to be affixed this 15th day of November, 2022.*


MAYOR RANDY ROWSE





CITY OF SANTA BARBARA

COUNCIL AGENDA REPORT

AGENDA DATE: November 15, 2022

TO: Mayor and Councilmembers

FROM: City Clerk's Office, City Administrator's Office

SUBJECT: Interviews for City Advisory Groups

RECOMMENDATION:

That Council hold interviews of applicants to various City Advisory Groups (Est. time 6:00 p.m.).

DISCUSSION:

Interviews of applicants for various positions on City Advisory Groups are to be held on November 15, 2022, at an estimated time of 6:00 p.m.

For the current 65 vacancies, 79 individuals submitted 98 applications. Two applications have been withdrawn. A list of eligible applicants and pertinent information about the City Advisory Groups is attached. Applicants have been notified that to be considered for appointment they must be interviewed. Applicants have been requested to prepare a two to three minute verbal presentation in response to a set of questions. Those questions are specific to the group for which they are applying. Applicants applying to more than one advisory group may have up to five minutes for their presentation.

Appointments are scheduled to take place on December 6, 2022.

ATTACHMENT: List of Applicants

PREPARED BY: Niko Lopez, Deputy City Clerk

SUBMITTED BY: Sarah Gorman, MMC, City Clerk Services Manager

APPROVED BY: City Administrator's Office

ACCESS ADVISORY COMMITTEE

- One vacancy
- Term Expiration: December 31, 2026
- Qualifications/Category: Members must be qualified electors of the City.
- Appointees may not hold any full-time paid office or employment in City government.

CATEGORY (Number of Vacancies)	APPLICANT	Incumbent Appt. Dates (Years Served)	Notes
<i>Qualified elector (1)</i>	Donald DeLuccio	n/a	
	Nick Koonce	12/6/2016 & 11/12/2019 6 years	

AIRPORT COMMISSION

- Three vacancies
- Term Expiration: December 31, 2026
- Qualifications/Category: Members may be qualified electors of the City or County. Appointees may not hold any full-time paid office or employment in City government.

CATEGORY (Number of Vacancies)	APPLICANT	Incumbent Appt. Dates (Years Served)	Notes
Qualified elector (3)	Paul Bowen	6/26/2018 & 12/11/2018 4 years, 6 months	County resident
	Topaz Grabman	n/a	Qualified elector of City
	Carl Hopkins	n/a	County resident
	Dennis Houghton	12/11/2018 4 years	Qualified elector of City
	Peter Ivory	n/a	County resident
	Karen Kahn	n/a	County resident
	Bruce Miller	n/a	Qualified elector of City

ARCHITECTURAL BOARD OF REVIEW

- One vacancy
- Term Expiration: December 31, 2026
- Qualifications/Category: Can be licensed architect or possess professional qualifications (professional experience in related fields, including but not limited to, landscape architecture, building design, structural engineering or industrial design.)
- Appointees may not hold any full-time paid office or employment in City government.

CATEGORY (Number of Vacancies)	APPLICANT	Incumbent Appt. Dates (Years Served)	Notes
<i>Licensed Architect (1)</i>	Deedee Calderwood	n/a	Qualifies as a licensed architect, also has professional qualifications

ARTS ADVISORY COMMITTEE

- One vacancy
- Term Expiration: December 31, 2025
- Qualifications/Category: Appointee may be qualified elector of the City or be a resident inside the South Coast area of Santa Barbara County, and shall have acknowledged accomplishments in the arts, and demonstrate an interest in and commitment to cultural arts and activities.
- Appointees may not hold any full-time paid office or employment in City government.

CATEGORY (Number of Vacancies)	APPLICANT	Incumbent Appt. Dates (Years Served)	Notes
Qualified elector (1)	Carolyn Adams	n/a	Qualified elector of the City
	Andrew Heather	n/a	Qualified elector of the City
	Genevieve Assili	n/a	Qualified elector of the City
	Laura Dorfman	n/a	Qualified elector of the City
	Elizabeth Gallery	n/a	Qualified elector of the City
	Carole Goodman	n/a	Qualified elector of the City
	Diane Huntoon	n/a	South Coast area resident
	Serena Lee	n/a	Qualified elector of the City
	Stephanie Robertson	n/a	Qualified elector of the City
	Sarah Rothschild	n/a	Qualified elector of the City

BUILDING AND FIRE CODE BOARD OF APPEALS

- Three vacancies.
- Term Expiration: December 31, 2026
- Qualifications/Category: Member shall be a resident of the City or adjoining unincorporated area of Santa Barbara County, and shall demonstrate knowledge and expertise in specialty areas governed by the construction and fire codes of the City. Per Santa Barbara Municipal Code Sections 22.04.020 (H) (113.1.2) & 8.04.020 (E) (114.1.2), the composite of the board must have at least 3 members that are physically handicapped in order to hear items that involve Chapter 11 of the California Building Code and Chapters 11A and 11B of the California Fire Code.
- Appointees may not hold any full-time paid office or employment in City government.

CATEGORY (Number of Vacancies)	APPLICANT	Incumbent Appt. Dates (Years Served)	Notes
<i>City resident (3)</i>			

CITIZENS OVERSIGHT COMMITTEE

- Three vacancies.
- Term Expiration: December 31, 2026
- Qualifications/Category: Qualified electors of the City of Santa Barbara. One member must be a representative of the Hospitality community.
- Appointees may not hold any full-time paid office or employment in City government.

CATEGORY (Number of Vacancies)	APPLICANT	Incumbent Appt. Dates (Years Served)	Notes
<i>Qualified elector of the City (2)</i>	Pia Beck	n/a	Does not qualify as member of Hospitality community; only qualifies as elector
	Julian Sarafian	n/a	Does not qualify as member of Hospitality community; only qualifies as elector
<i>Member of the Hospitality Community (1)</i>			

CIVIL SERVICE COMMISSION

- Three vacancies.
- Term Expiration: December 31, 2026
- Qualifications/Category: Qualified electors of the City of Santa Barbara.
- Appointees may not hold any full-time paid office or employment in City government.

CATEGORY (Number of Vacancies)	APPLICANT	Incumbent Appt. Dates (Years Served)	Notes
<i>Qualified elector of the City (3)</i>	Lindsey Charles	11/12/2019 3 years	
	Henry Freund	n/a	
	William Kasting	n/a	

COMMUNITY DEVELOPMENT AND HUMAN SERVICES COMMITTEE

- Six vacancies.
- Term Expirations:
 - December 31, 2026 (Westside/Lower Westside Neighborhood, Oak Park Neighborhood, Latino Community, Human Services Organization, Homeless-related services organization)
 - December 31, 2024 (Disabled Community);
- Qualifications/Category: Must be residents or employees of the designated organizations, and must represent one of the specified categories or organizations:
 - Westside/Lower Westside;
 - Oak Park Neighborhood;
 - Latino community;
 - Human services organization;
 - Homeless-related services organization; and
 - Disabled community.
- Appointees may not hold any full-time paid office or employment in City government.

CATEGORY (Number of Vacancies)	APPLICANT	Incumbent Appt. Dates (Years Served)	Notes
<i>Westside/Lower Westside Neighborhood (1)</i>			
<i>Oak Park Neighborhood (1)</i>			
<i>Latino Community (1)</i>	Lydia Perez	n/a	Qualified elector of the County ; *Also qualifies as representative of homeless-related services organization*
	Melissa Villa	n/a	Qualified elector of the County
<i>Human services organization (1)</i>	Shakti Belway	n/a	Qualified elector of the City
<i>Homeless-related services organization (1)</i>			
<i>Disabled community (1)</i>			

COMMUNITY EVENTS AND FESTIVALS COMMITTEE

- Three vacancies.
- Term Expirations: December 31, 2026
- Qualifications/Category: Two members of the public at-large, one representative of the cultural arts.
- Appointees may not hold any full-time paid office or employment in City government.

CATEGORY (Number of Vacancies)	APPLICANT	Incumbent Appt. Dates (Years Served)	Notes
<i>Public at-large (2)</i>	Lynda Dees	n/a	
	Sarah McLelland	n/a	
<i>Cultural arts (1)</i>	Pia Beck	n/a	Also qualifies as public at-large

COUNTY OF SANTA BARBARA LIBRARY ADVISORY COMMITTEE

- One vacancy.
- Term Expiration: June 30, 2023
- Qualifications/Category: Must be a resident of the City of Santa Barbara
- Appointees may not hold any full-time paid office or employment in City government.

CATEGORY (Number of Vacancies)	APPLICANT	Incumbent Appt. Dates (Years Served)	Notes
<i>City resident (1)</i>	Nancy Henderson	n/a	
	Barbara Hershberg	12/7/2021 1 year	
	Caitlin Swalec	n/a	
	Pamela Zwehl-Burke	n/a	

CREEKS ADVISORY COMMITTEE

- Three vacancies.
- Term Expiration: December 31, 2026
- Qualifications/Category: City or non-City resident (up to two non-city residents may be appointed) who represents any of the following categories:
 - Representative of the hotel/lodging industry;
 - Representative with experience in environmental/land use issues (e.g., land use planning, environmental/natural resource protection/preservation, habitat restoration, water specialist, biologist, or hydrologist, etc.);
 - Representative with experience in ocean use (e.g., recreational user or commercial fisherman, etc.), business, environmental issues; or
 - Public at-large.
- Appointees may not hold any full-time paid office or employment in City government.

CATEGORY (Number of Vacancies)	APPLICANT	Incumbent Appt. Dates (Years Served)	Notes
3 vacancies	Lori Gaskin	12/11/2018 4 years	1. Experience in environmental/land use issues (e.g., land use planning, environmental/natural resource protection/preservation, habitat restoration, water specialist, biologist, or hydrologist, etc.); 2. City resident
	Penny Owens	12/9/2014 & 12/11/2018 8 years	1. Experience in environmental/land use issues (e.g., land use planning, environmental/natural resource protection/preservation, habitat restoration, water specialist, biologist, or hydrologist, etc.) 2. Experience in ocean use (e.g., recreational user or commercial fisherman, etc.), business or environmental issues; 3. Non-city resident

HARBOR COMMISSION

- One vacancy.
- Term Expiration: December 31, 2026
- Qualifications/Category: Qualified elector of the City or qualified elector of the County
 - Can appoint a qualified elector of the City OR a qualified elector of the County for this vacancy so long as the qualified elector of the County (if chosen) remains a qualified elector of the County throughout their term.
- Appointees may not hold any full-time paid office or employment in City government.

CATEGORY (Number of Vacancies)	APPLICANT	Incumbent Appt. Dates (Years Served)	Notes
Qualified elector (1)	Suzanne Cohen	n/a	Qualified elector of the City
	Elizabeth Cramer	3/6/2007 & 12/1/2010 & 12/9/2014 & 12/11/2018 15 years, 9 months	Qualified elector of the City
	Jaimie Diamond	n/a	Qualified elector of the County
Additional applicants	Conrad Metzenberg	n/a	Qualified elector of the City *Originally applied for Transportation and Circulation Committee

HISTORIC LANDMARKS COMMISSION

- Three vacancies.
- Term Expiration: December 31, 2026
- Qualifications/Category: City or non-City resident who represents one of the following categories:
 - One appointee must be a licensed landscape architect;
 - The remaining appointees may be a:
 - licensed architect;
 - professional architectural historian; or
 - member of the public at-large
- Appointees may not hold any full-time paid office or employment in City government.

CATEGORY (Number of Vacancies)	APPLICANT	Incumbent Appt. Dates (Years Served)	Notes
<i>Licensed landscape architect (1)</i>			
<i>Licensed architect*</i>	Deedee Calderwood	n/a	
	Robert Ooley	12/11/2018 4 years	
<i>Professional architectural historian*</i>	Dennis Doordan	12/8/2020 2 years	
<i>Public at-large*</i>	Elisabetta Riva	n/a	
	Thomas Sekula	n/a	

****Can appoint up to two new members from these categories, but must leave space open to appoint a landscape architect. Because no qualified landscape architects applied this cycle, no one can be appointed to that spot in this recruitment.***

LIBRARY BOARD

- One vacancy.
- Term Expiration: December 31, 2026
- Qualifications/Category: Qualified elector of the City
- Appointees may not hold any full-time paid office or employment in City government.

CATEGORY (Number of Vacancies)	APPLICANT	Incumbent Appt. Dates (Years Served)	Notes
<i>Qualified elector of the City (1)</i>	Shakti Belway	n/a	
	Amber Caldwell	n/a	
	Jeffrey Chang	n/a	
	Selena Devine	n/a	
	Alan Evans	n/a	
	Cecilia Harris	n/a	
	Nancy Henderson	n/a	
	Barbara Hershberg	n/a	
	David Jimenez	n/a	
	Jessica Llontop	n/a	
	David Paris	n/a	
	Caitlin Swalec	n/a	
	Lori Williams	n/a	

LIVING WAGE ADVISORY COMMITTEE

- Two vacancies.
- Term Expirations: All terms expire December 31, 2026.
- Qualifications/Categories: Members must represent one of the specified categories:
 - One member shall be a nominee of the Santa Barbara Chamber of Commerce or Santa Barbara Downtown Organization; and
 - One employee of a local non-profit entity
- Appointees may not hold any full-time paid office or employment in City government.

CATEGORY (Number of Vacancies)	APPLICANT	Incumbent Appt. Dates (Years Served)	Notes
<i>Nominee of the Santa Barbara Downtown Organization (1)</i>	Daniel Ramirez	n/a	Is also employed by a local non-profit entity.
<i>Employee of Local Non-Profit Entity (1)</i>			

NEIGHBORHOOD ADVISORY COUNCIL

- Six vacancies.
- Term Expirations: All terms expire December 31, 2026
 - Qualifications/Categories: Members must be residents of the following neighborhoods (as those neighborhoods are described in the General Plan Land Use Element). No more than three members can represent one specific neighborhood. Members who do not reside in the specified neighborhoods, but are City residents, may represent the public at large:

<ul style="list-style-type: none"> • Laguna • West Downtown • Lower Eastside 		<ul style="list-style-type: none"> • Westside; and • Public at Large (1 openings)
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- Appointees may not hold any full-time paid office or employment in City government.

CATEGORY (Number of Vacancies)	APPLICANT	Incumbent Appt. Dates (Years Served)	Notes
<i>Public at-large (1)</i>	Jane Reardon		
<i>Neighborhood representative (5)</i>	Sharyn Muir (Westside)		Also qualifies for public at-large
	Ann Pena (Westside)		Also qualifies for public at-large
	Pia Beck (Lower Eastside)		Also qualifies for public at-large

PARKS AND RECREATION COMMISSION

- One vacancy.
- Term Expiration: December 31, 2026
- Qualifications/Categories: Appointees must be qualified electors* of the City.
- Appointees may not hold any full-time paid office or employment in City government.

CATEGORY (Number of Vacancies)	APPLICANT	Incumbent Appt. Dates (Years Served)	Applicant's Preference (1 st , 2 nd , 3 rd)	Notes
<i>Qualified elector (1)</i>				

PLANNING COMMISSION

- One vacancy.
- Term Expiration: December 31, 2026
- Qualifications/Categories: Appointees must be qualified electors* of the City.
- Appointees may not hold any full-time paid office or employment in City government.

CATEGORY (Number of Vacancies)	APPLICANT	Incumbent Appt. Dates (Years Served)	Notes
<i>Qualified elector of the City (1)</i>	Lucille Boss	n/a	
	Donald Deluccio	n/a	
	William Kasting	n/a	
	Katherine Sanders	n/a	
	Calvin Weinstock	n/a	

RENTAL HOUSING MEDIATION BOARD

- Four vacancies.
- Term Expiration: December 31, 2026
- Qualifications/Categories: The majority of members (8) must be residents of the City of Santa Barbara. Non-City resident members must reside in a jurisdiction which contracts with the Rental Housing Mediation Program for services (currently Goleta and Carpinteria). Members must represent one of the following categories:
 - Three Tenant Mediators (a Tenant Mediator must rent or lease his or her residence and may not own residential property)
 - One Homeowner Mediator (a Homeowner Mediator must own his or her residence and may not own any other residential property)
- Appointees may not hold any full-time paid office or employment in City government.

CATEGORY (Number of Vacancies)	APPLICANT	Incumbent Appt. Dates (Years Served)	Notes
Homeowner (1)	Eric Grossman	n/a	
	Lydia Perez	n/a	
Tenant (3)	Rigoberto Gutierrez	12/11/2018 4 years	

SIGN COMMITTEE

- Three vacancies.
- Term Expiration: December 31, 2026
- Qualifications/Categories: Appointees must be qualified electors* of the City. One appointee may be a qualified elector of the County.
- Appointees may not hold any full-time paid office or employment in City government.

CATEGORY (Number of Vacancies)	APPLICANT	Incumbent Appt. Dates (Years Served)	Notes
Qualified elector (3)	Jaime Limon	6/26/2018 & 12/7/2021 4 years, 6 months	Qualified elector of the County
	Thomas Sekula	n/a	Qualified elector of City
	Ken Sorgman	6/26/2018 & 12/11/2018 4 years, 6 months	Qualified elector of City
	Jonathan Ziegler	n/a	Qualified elector of City

SINGLE FAMILY DESIGN BOARD*

- Three vacancies.
- Term Expirations: December 31, 2026 (Licensed Architect – 1; Professional Qualifications - 1); June 30, 2025 (Public at-large)
- Qualifications/Categories: Members must be residents of the County of Santa Barbara. Board needs:
 - One Licensed Architect
 - One member possessing Professional Qualifications in fields related to architecture, including, but not limited to, building design, structural design, structural engineering, industrial design, or landscape
 - One member of the public at-large
- Appointees may not hold any full-time paid office or employment in City government.

CATEGORY (Number of Vacancies)	APPLICANT	Incumbent Appt. Dates (Years Served)	Notes
Licensed Architect (1)	Deedee Calderwood	n/a	Also qualifies for professional qualifications and public at-large categories. Qualified elector of the County
	Joseph Moticha	n/a	Also qualifies for public at-large Qualified elector of the City
Professional qualifications (1)			
Public at-large (1)	Kathryn Gerpheide	n/a	Qualified elector of the City
Additional applicants	Jonathan Ziegler	n/a	Qualified elector of the City Originally applied for Sign Committee, Harbor Commission, and Transportation and Circulation Committee

*Please be aware, an Ordinance affecting the membership requirements for the Single Family Design Board (SFDB) was approved at the City Council meeting of November 1, 2022. Once **this Ordinance takes effect on December 1, 2022**, the SFDB membership changes will be as follows:

- **Five total members (instead of the current seven); this will change the total number of vacancies from three to one.**
- **At least one licensed architect (instead of the previously required two)**
- **Up to three members of the public at-large who are City residents (instead of the previously required one)**
- **The remaining members shall possess professional qualifications related to architecture or landscape architecture, including, but not limited to, building design, structural engineering, industrial design, urban planning, or landscape contracting**

SISTER CITIES BOARD

- One vacancy.
- Term Expiration: December 31, 2026
- Qualifications/Categories: Appointees may be qualified electors* of the City or County.
- Appointees may not hold any full-time paid office or employment in City government.

CATEGORY (Number of Vacancies)	APPLICANT	Incumbent Appt. Dates (Years Served)	Notes
<i>Qualified elector of the City (1)</i>	Mark Philibosian	n/a	
	Anita Vranjes	n/a	

TRANSPORTATION AND CIRCULATION COMMITTEE

- Five vacancies.
- Term Expirations:
 - Four terms expiring December 31, 2026
 - One term expiring December 31, 2023
- Qualifications/Category: At least three new appointees must be qualified electors of the City. The remaining two may be residents of the County of Santa Barbara.
- Appointees may not hold any full-time paid office or employment in City government.

CATEGORY (Number of Vacancies)	APPLICANT	Incumbent Appt. Dates (Years Served)	Notes
Qualified elector (5)	Larry Bickford	n/a	Qualified elector of the City
	Hillary Blackerby	6/30/2009; 12/7/2010; 12/9/2014; & 12/11/2018 13 years, 6 months	Qualified elector of the City
	Amanda Cobb	n/a	Qualified elector of the City
	Colin Gleason	n/a	Qualified elector of the City
	Florence Hu	n/a	Qualified elector of the City
	Conrad Metzenberg	n/a	Qualified elector of the City
	Kathleen Rodriguez	6/26/2012; 12/9/2014; 12/11/2018 10 years, 6 months	Qualified elector of the City
	Richard Smith	n/a	Qualified elector of the City
	Calvin Weinstock	n/a	Qualified elector of the City
	Jonathan Ziegler	n/a	Qualified elector of the City

*Qualified elector means a United States citizen 18 years of age or older